CITY OF SANTA BARBARA CITY COUNCIL

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Frank Hotchkiss
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Michael Self



James L. Armstrong
City Administrator

Stephen P. Wiley
City Attorney

City Hall 735 Anacapa Street http://www.SantaBarbaraCA.gov

OCTOBER 25, 2011 AGENDA

ORDER OF BUSINESS: Regular meetings of the Finance Committee and the Ordinance Committee begin at 12:30 p.m. The regular City Council meeting begins at 2:00 p.m. in the Council Chamber at City Hall.

REPORTS: Copies of the reports relating to agenda items are available for review in the City Clerk's Office, at the Central Library, and http://www.SantaBarbaraCA.gov. In accordance with state law requirements, this agenda generally contains only a brief general description of each item of business to be transacted or discussed at the meeting. Should you wish more detailed information regarding any particular agenda item, you are encouraged to obtain a copy of the Council Agenda Report (a "CAR") for that item from either the Clerk's Office, the Reference Desk at the City's Main Library, or online at the City's website (http://www.SantaBarbaraCA.gov). Materials related to an item on this agenda submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office located at City Hall, 735 Anacapa Street, Santa Barbara, CA 93101, during normal business hours.

PUBLIC COMMENT: At the beginning of the 2:00 p.m. session of each regular City Council meeting, and at the beginning of each special City Council meeting, any member of the public may address the City Council concerning any item not on the Council's agenda. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that public comment is taken up by the City Council. Should City Council business continue into the evening session of a regular City Council meeting at 6:00 p.m., the City Council will allow any member of the public who did not address them during the 2:00 p.m. session to do so. The total amount of time for public comments will be 15 minutes, and no individual speaker may speak for more than 1 minute. The City Council, upon majority vote, may decline to hear a speaker on the grounds that the subject matter is beyond their jurisdiction.

REQUEST TO SPEAK: A member of the public may address the Finance or Ordinance Committee or City Council regarding any scheduled agenda item. Any person wishing to make such address should first complete and deliver a "Request to Speak" form prior to the time that the item is taken up by the Finance or Ordinance Committee or City Council.

CONSENT CALENDAR: The Consent Calendar is comprised of items that will not usually require discussion by the City Council. A Consent Calendar item is open for discussion by the City Council upon request of a Councilmember, City staff, or member of the public. Items on the Consent Calendar may be approved by a single motion. Should you wish to comment on an item listed on the Consent Agenda, after turning in your "Request to Speak" form, you should come forward to speak at the time the Council considers the Consent Calendar.

AMERICANS WITH DISABILITIES ACT: In compliance with the Americans with Disabilities Act, if you need special assistance to gain access to, comment at, or participate in this meeting, please contact the City Administrator's Office at 564-5305 or inquire at the City Clerk's Office on the day of the meeting. If possible, notification at least 48 hours prior to the meeting will enable the City to make reasonable arrangements in most cases.

TELEVISION COVERAGE: Each regular City Council meeting is broadcast live in English and Spanish on City TV Channel 18 and rebroadcast in English on Wednesdays and Thursdays at 7:00 p.m. and Saturdays at 9:00 a.m., and in Spanish on Sundays at 4:00 p.m. Each televised Council meeting is closed captioned for the hearing impaired. Check the City TV program guide at www.citytv18.com for rebroadcasts of Finance and Ordinance Committee meetings, and for any changes to the replay schedule.

ORDER OF BUSINESS

12:30 p.m. - Finance Committee Meeting, David Gebhard Public

Meeting Room, 630 Garden Street

2:00 p.m. - City Council Meeting

FINANCE COMMITTEE MEETING - 12:30 P.M. IN THE DAVID GEBHARD PUBLIC MEETING ROOM, 630 GARDEN STREET (120.03)

Subject: September 30, 2011, Investment Report And September 30, 2011, Fiscal Agent Report

Recommendation: That the Finance Committee recommend that Council:

- A. Accept the September 30, 2011, Investment Report; and
- B. Accept the September 30, 2011, Fiscal Agent Report.

(See Council Agenda Item No. 1)

REGULAR CITY COUNCIL MEETING – 2:00 P.M.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL

CHANGES TO THE AGENDA

PUBLIC COMMENT

CONSENT CALENDAR

1. Subject: September 30, 2011, Investment Report And September 30, 2011, Fiscal Agent Report (260.02)

Recommendation: That Council:

- A. Accept the September 30, 2011, Investment Report; and
- B. Accept the September 30, 2011, Fiscal Agent Report.
- 2. Subject: Contract With Arcadis US, Inc., For Environmental Services For The Chapala Bridge Replacement Project (530.04)

Recommendation: That Council authorize the Public Works Director to execute a City Professional Services contract with Arcadis US, Inc., in the amount of \$13,500 for environmental services for the Chapala Bridge Replacement Project relating to the impact of pile driving in Mission Creek, and authorize the Public Works Director to approve expenditures of up to \$1,350 for extra services of Arcadis US, Inc., that may result from necessary changes in the scope of work.

3. Subject: Contract For Construction With Lash Construction For Intersection Improvement Project At Anacapa And Carrillo Streets (530.04)

Recommendation: That Council:

- A. Accept Federal Highway Safety Improvement Program funding in the total amount of \$400,000;
- B. Increase estimated revenues by \$400,000 in the Fiscal Year 2012 Streets Capital Fund and appropriate \$400,000 for the Intersection Improvement Project at Anacapa and Carrillo Streets;

(Cont'd)

CONSENT CALENDAR (CONT'D)

3. (Cont'd)

- C. Award a contract with Lash Construction in their low bid amount of \$319,895 for construction of the Intersection Improvement Project at Anacapa and Carrillo Streets, Bid No. 3576; and
- D. Authorize the Public Works Director to execute the contract and approve expenditures up to \$48,000 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment.
- 4. Subject: Contract For Capital Asset Management Support Services For El Estero Wastewater Treatment Plant (540.13)

Recommendation: That Council authorize the Public Works Director to execute a contract with Carollo Engineers, Inc., in the amount of \$223,927 to provide capital asset management support services for the El Estero Wastewater Treatment Plant, and authorize the Public Works Director to approve expenditures of up to \$24,881 for extra services of Carollo Engineers, Inc., that may result from necessary changes in the scope of work, for a total not-to-exceed amount of \$248,808.

5. Subject: Contract With Leach Mounce Architects For Design Services For The Temporary Relocation Of The 9-1-1 Call Center (550.05)

Recommendation: That Council authorize the Public Works Director to execute a City Professional Services contract with Leach Mounce Architects in the amount of \$190,808 for design services for Tenant Improvements to the Granada Garage Office Building for the Temporary Relocation of the 9-1-1 Call Center, and authorize the Public Works Director to approve expenditures of up to \$19,000 for extra services of Leach Mounce Architects that may result from necessary changes in the scope of work.

6. Subject: Authorization To Submit A Land And Water Conservation Fund Grant Application For Up To \$300,000 For The Mesa Lane Steps Reconstruction Project (570.05)

Recommendation: That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara Authorizing the Parks and Recreation Director to Submit a Grant Application to the California Department of Parks and Recreation Under the Land and Water Conservation Fund Program for the Mesa Lane Steps Reconstruction Project.

CONSENT CALENDAR (CONT'D)

7. Subject: Participation In UCSB/UCSD Application For Federal Sea Grant Funding (650.08)

Recommendation: That Council approve an in-kind staff time contribution, not to exceed \$25,000, for City participation as a community partner in a UCSB/UCSD application for a National Oceanic and Atmospheric Administration Sea Grant to research the vulnerability and adaptation of Santa Barbara coastal ecosystems to climate change effects.

8. Subject: Declare Property At 136 W. Haley Street As Excess And Subject To Disposal By Public Auction (330.03)

Recommendation: That Council declare the real property located at 136 W. Haley Street as excess to the City's needs and authorize staff to begin the process required by the City Charter and Municipal Code for the disposition of said property.

9. Subject: Final Community Priority Designation For Children's Museum At 125 State Street (640.09)

Recommendation: That Council find that the Children's Museum development project at 125 State Street meets the definition of a Community Priority Project, and grant the project a Final Community Priority Designation for an allocation of 5,106 square feet of nonresidential floor area.

10. Subject: Adoption Of An Ordinance For A Lease With Greyhound Lines, Inc. (330.04)

Recommendation: That Council adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Approving a Ten-Year Lease with Two Five-Year Options with Greyhound Lines, Inc., to Lease the City-Owned Building at 224 Chapala Street for a Passenger Bus Service Facility, Effective November 17, 2011.

11. Subject: Add Public Employee Retirement System Cost Sharing Alternatives To Memorandum Of Understanding (430.08)

Recommendation: That Council:

A. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the 2010-2013 Police Officers Association Memorandum Of Understanding to Include an Alternative Public Employee Retirement System Cost Sharing Scenario;

(Cont'd)

CONSENT CALENDAR (CONT'D)

11. (Cont'd)

- B. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the 2011-2013 Patrol Officers' and Treatment Plants' Bargaining Units (Treatment and Patrol Units) Memorandum Of Understanding to Include an Alternative Public Employee Retirement System Cost Sharing Scenario; and
- C. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the 2009-2012 Supervisory Employees' Bargaining Unit Memorandum Of Understanding to Include an Alternative Public Employee Retirement System Cost Sharing Scenario.

NOTICES

- 12. The City Clerk has on Thursday, October 20, 2011, posted this agenda in the Office of the City Clerk, on the City Hall Public Notice Board on the outside balcony of City Hall, and on the Internet.
- 13. A City Council site visit is scheduled on Monday, October 31, 2011, at 1:30 p.m. to the property located at 1117 Las Alturas Road, which is the subject of an appeal hearing set for November 1, 2011, at 2:00 p.m.

This concludes the Consent Calendar.

REPORT FROM THE FINANCE COMMITTEE

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS

CITY ADMINISTRATOR

14. Subject: Annual Performance Management Program Report For Fiscal Year 2011 And Comparative Indicators Report (170.01)

Recommendation: That Council:

- A. Receive a status report on the City's performance management program and a summary of department performance highlights for Fiscal Year 2011; and
- B. Receive a report on how the City of Santa Barbara compares with other California communities on key indicators.

CITY COUNCIL ADMINISTRATIVE AND ATTORNEY REPORTS (CONT'D)

COMMUNITY DEVELOPMENT DEPARTMENT

15. Subject: Human Services And Community Development Block Grant Application Release And Funding Process (610.05)

Recommendation: That Council:

- A. Approve the City of Santa Barbara's Community Development Block Grant Administrative Manual and the updated City of Santa Barbara's Citizen Participation Manual;
- B. Review and provide input and direction to the Community Development and Human Services Committee on funding priorities for the Fiscal Year 2013 Human Services and Community Development Block Grant allocation process;
- C. Authorize staff to release the Fiscal Year 2013 funding application along with the committee application review process, criteria and schedule;
- D. Establish a funding commitment from the Fiscal Year 2013 General Fund in the amount of \$703,256 for the Human Services Program; and
- E. Authorize the allocation of up to \$75,000 from Fiscal Year 2013 Human Services Program funds to pay the City's share of staffing costs associated with a regional homeless collaborative.

COUNCIL AND STAFF COMMUNICATIONS

COUNCILMEMBER COMMITTEE ASSIGNMENT REPORTS

CLOSED SESSIONS

16. Subject: Closed Session Regarding Real Property (330.03)

Recommendation: That Council hold a closed session pursuant to Section 54956.8 of the Government Code to consider real property negotiations between the staff of the City Administrator's Office for the City of Santa Barbara and the staff of the Santa Barbara County Executive Officer concerning a possible lease of parking spaces to the County. The subject real property is known as: Granada Parking Garage, 1221 Anacapa Street, Santa Barbara, CA 93101, APNs 039-183-046 and -054.

Scheduling: 30 minutes; anytime

Report: None anticipated

CLOSED SESSIONS (CONT'D)

17. Subject: Conference With Labor Negotiator (440.05)

Recommendation: That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the Hourly Employees' bargaining unit.

Scheduling: Duration, 15 minutes; anytime

Report: None anticipated

ADJOURNMENT

To Monday, October 31, 2011, at 1:30 p.m. at 1117 Las Alturas Road. (See Item No. 13)

CITY OF SANTA BARBARA

FINANCE COMMITTEE

MEETING AGENDA

DATE: October 25, 2011 Dale Francisco, Chair

TIME: 12:30 P.M. Michael Self PLACE: David Gebhard Public Meeting Room Bendy White

630 Garden Street

James L. Armstrong Robert Samario
City Administrator Finance Director

ITEM TO BE CONSIDERED:

Subject: September 30, 2011, Investment Report And September 30, 2011, Fiscal Agent Report

Recommendation: That the Finance Committee recommend that Council:

A. Accept the September 30, 2011, Investment Report; and

B. Accept the September 30, 2011, Fiscal Agent Report.

Agenda Item No.

File Code No. 260.02



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Treasury Division, Finance Department

SUBJECT: September 30, 2011, Investment Report And September 30, 2011,

Fiscal Agent Report

RECOMMENDATION: That Council:

A. Accept the September 30, 2011, Investment Report; and

B. Accept the September 30, 2011, Fiscal Agent Report.

DISCUSSION:

On a quarterly basis, staff submits a comprehensive report on the City's portfolio and related activity pursuant to the City's Annual Statement of Investment Policy. The current report covers the investment activity for July through September 2011.

Financial markets experienced a number of shocks during the third quarter, resulting in investor sell-off and the worst market performance since the first quarter of 2009. The Dow Jones Industrial Average (DJIA) index, which measures stocks from 30 industrial "blue-chip" companies, declined 11.49 percent from the previous quarter; the S&P 500, composed of 500 "large-cap" companies across various sectors, was down 13.87 percent; and NASDAQ, which largely measures technology stocks, was lower by 12.91 percent.

Early in the quarter, investor confidence was shaken due to economic data indicating a slowing of the domestic economy and concerns over the U.S. debt ceiling limit and the federal budget deficit. As the quarter progressed, investor concerns shifted overseas as the European debt crisis worsened potentially to include Italy and Spain, and a Greek default on debt payments seemed imminent. Investors sold stock holdings and sought less risky investments as concerns of a double-dip recession rose once again.

At its September meeting, the Federal Reserve Bank's Open Market Committee (FOMC) acknowledged the soft economic recovery, continuing high unemployment levels, and "significant downside risks to the economic outlook, including strains in

global financial markets". It also noted that inflation has moderated from earlier in the year as energy and some commodity prices have declined from their peaks and is expected to remain at or below the Fed target rate of 2 percent.

In light of the soft economic conditions and stable inflation forecasts, and reiterating its statutory mandate to foster maximum employment and price stability, the Committee announced it would continue economic stimulus efforts by manipulating investment yields, rather than using monetary policy as it had in its previous quantitative easing programs. Dubbed "Operation Twist", the Committee announced that it would extend the average maturity of \$400 billion in securities holdings to lower longer term Treasury yields, encouraging investors to take on riskier assets, lower the cost of borrowing, and stimulate consumer spending.

Again this quarter, the committee maintained the current federal funds rate at a target range of 0-1/4 percent and stated that the exceptionally low levels would likely continue at least through mid-2013.

Treasury note yields were lower by the end of the quarter. As shown in the table to the right, the change in Treasury vields ranged from a decrease of 8 basis points on the 1-year Treasury note to a decrease of 81 basis points on the 5-vear Treasury

	U.S. Treasury Market									
	6/30/2011	7/31/2011	8/31/2011	9/30/2011	Cumulative Change					
3 Month	0.01%	0.09%	0.02%	0.01%	0.00%					
6 Month	0.10%	0.16%	0.04%	0.05%	-0.05%					
1 Year	0.18%	0.20%	0.10%	0.10%	-0.08%					
2 Year	0.46%	0.36%	0.20%	0.24%	-0.22%					
3 Year	0.80%	0.54%	0.32%	0.40%	-0.40%					
4 Year	1.28%	0.95%	0.64%	0.68%	-0.60%					
5 Year	1.76%	1.36%	0.96%	0.95%	-0.81%					
10 Year	3.16%	2.80%	2.22%	1.92%	-1.24%					
30 Year	4.39%	4.12%	3.60%	2.91%	-1.48%					
LAIF	0.48%	0.38%	0.38%	0.38%	-0.10%					

note. With the slow recovery and implementation of "Operation Twist", investors continued to demand the safety of Treasury investments, keeping prices high and driving yields lower.

Investment Activity

As shown in the table on the next page, the City invested \$18.5 million during the quarter. The purchases consisted of:

- \$10 million in "AAA" rated Federal Agency callable securities;
- \$2.5 million in "AAA" rated Federal Agency bullets, which are non-callable securities that will be held to final maturity;
- \$2 million in a "AAA" rated corporate note (Harvard College); and,
- \$4 million in "AA-" rated corporate notes (Proctor & Gamble and Toyota Motor Credit).

Council Agenda Report September 30, 2011, Investment Report And September 30, 2011, Fiscal Agent Report October 25, 2011 Page 3

During the quarter, \$21.495 million of Federal Agency securities were called and there were no maturities.

The "AAA" rating for the purchases cited above is shown as the overall composite rating for the purchased federal agency debt. Note that in early August, Standard & Poor's lowered the credit rating of long-term U.S. debt to "AA+" (one step below the highest "AAA" rating), citing concerns about the bi-partisan divide in Washington over the debt ceiling limit and the federal budget deficit. This is the first time that the credit rating of U.S. debt dipped below the highest "AAA" rating. Despite the political controversy, Moody's and Fitch maintained their "AAA" rating of U.S. debt, although Moody's changed its outlook of U.S. debt to "negative". Regardless, the federal agency debt purchased into the City's investment portfolio during the quarter remains well within the allowable investing parameters under state law and the city's own Investment Policy guidelines of "A" or better.

	Face	Purchase	Final	Call	Yield	Yield
Issuer	Amount	Date	Maturity	Date	To Call	To Maturity
Purchases:						
Federal National Mortgage Association (FNMA)	2,000,000	07/05/11	07/05/16	01/05/12	2.200%	2.200%
Pres & Fellows of Harvard College (HARVRD)	2,000,000	07/12/11	01/15/14	-	-	1.000%
Federal National Mortgage Association (FNMA)	1,000,000	07/19/11	07/19/16	01/19/12	1.900%	2.106%
Proctor & Gamble (PGAMBL)	2,000,000	09/20/11	11/15/15	-	-	1.085%
Toyota Motor Credit (TOYOTA)	2,000,000	09/26/11	09/15/16	-	-	1.800%
Federal Home Loan Bank (FHLB)	1,500,000	09/26/11	10/30/13	-	-	0.400%
Federal Home Loan Bank (FHLB)	1,000,000	09/26/11	08/28/13	-	-	0.381%
Federal Home Loan Mortgage Corp (FHLMC)	2,000,000	09/27/11	09/27/16	12/27/11	1.550%	1.550%
Federal Home Loan Mortgage Corp (FHLMC)	2,000,000	09/28/11	09/28/16	09/28/12	1.400%	1.400%
Federal National Mortgage Association (FNMA)	2,000,000	09/28/11	09/28/16	03/28/12	1.300%	1.475%
Federal National Mortgage Association (FNMA)	1,000,000	09/28/11	09/28/16	09/28/12	1.050%	1.401%
	18,500,000					
Calls:						
Federal National Mortgage Association (FNMA)	2,000,000	07/07/10	07/07/15	07/07/11	2.350%	2.350%
Federal Home Loan Bank (FHLB)	2,000,000	07/14/10	07/14/15	07/14/11	2.000%	2.336%
Federal Home Loan Bank (FHLB)	2,000,000	12/28/10	07/28/14	07/28/11	0.650%	1.816%
Federal National Mortgage Association (FNMA)	2,000,000	08/05/10	08/05/15	08/05/11	2.125%	2.125%
Federal Home Loan Bank (FHLB)	1,995,000	06/15/11	06/15/16	08/15/11	2.500%	2.500%
Federal Home Loan Mortgage Corp (FHLMC)	1,500,000	02/22/11	08/22/14	08/22/11	1.700%	1.700%
Federal Home Loan Bank (FHLB)	2,000,000	05/25/11	11/25/15	08/25/11	1.000%	2.555%
Federal Home Loan Bank (FHLB)	2,000,000	05/26/11	05/26/16	08/26/11	1.250%	2.421%
Federal National Mortgage Association (FNMA)	2,000,000	09/09/10	09/09/15	09/09/11	1.951%	1.871%
Federal Home Loan Bank (FHLB)	2,000,000	06/30/11	06/30/16	09/30/11	2.110%	2.110%
Federal Home Loan Bank (FHLB)	2,000,000	06/30/11	06/30/16	09/30/11	2.200%	2.200%
	21,495,000					
Maturities:						
	0					
	0					

Council Agenda Report September 30, 2011, Investment Report And September 30, 2011, Fiscal Agent Report October 25, 2011 Page 4

The weighted average yield to maturity measures the average yield for securities in the portfolio that have varying interest rates. This helps provide a measure of the future rate of return on the investment portfolio. The weighted average yield to maturity on the quarter's purchases totaled 1.379 percent, or 81.2 basis points lower than the 2.191 percent on the quarter's called/matured investments. Over the past two years, the weighted average yield spread between the purchases and called/matured investments averaged 147.2 basis points lower each quarter, compared to 81.2 basis points lower this quarter. Like last quarter, this narrowing of the spread indicates that the older, higher yielding securities previously held in the portfolio, i.e. purchased before the recession, have either been called or matured and are no longer in the portfolio. Also, since market yields have remained relatively stable at exceptionally low levels, we are only able to replace the current called investments with investments of similar or slightly lower investment yields.

The average rate at which the City earned interest at the Local Agency Investment Fund (LAIF), the State's managed investment pool, was 0.38 percent for the quarter ended September 30, 2011. Staff expects to reinvest a portion of the City's LAIF balances in short-term or callable securities during the next quarter.

Summary of Cash and Investments

The book rate of return, or portfolio yield, measures the percent return of actual interest earnings generated from the portfolio. During the quarter, the City's book rate of return decreased by 2.3 basis points from 1.773 percent at June 30, 2011 to 1.750 percent at September 30, 2011. The book rate of return continues

Mo.		Days to
Ended	Yield	Maturity
6/30/2011	1.773%	1,047
7/31/2011	1.833%	1,098
8/31/2011	1.722%	979
9/30/2011	1.750%	1,046

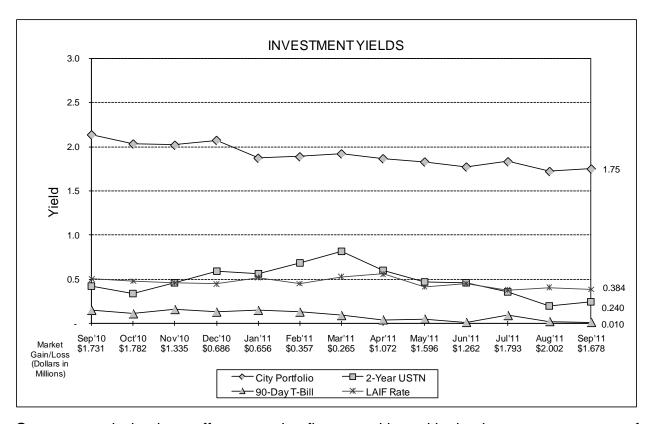
to decline through the attrition of overall higher-yielding securities and reinvestment at lower market rates as discussed previously. The portfolio's average days to maturity decreased by 1 day from 1,047 to 1,046 days, which includes the long-term Airport promissory note authorized by Council in July 2009. The portfolio's average days to maturity excluding the Airport note is 838 days, reflecting reinvestment of maturities and calls during the quarter in the one to five year range in accordance with the City's Annual Statement of Investment Policy.

Credit Quality on Corporate Notes

Over the quarter ended September 30, 2011, there were no credit quality changes to the five corporate issuers of the medium-term notes held in the portfolio (i.e., Berkshire Hathaway Financial, General Electric Capital Corp, Harvard College, Proctor & Gamble, and Toyota Motor Credit). The ratings of all corporate notes remain within the City's Investment Policy guidelines of "A" or better.

Portfolio Market Gains/Losses

As shown on the Investment Yields table below, the City's portfolio continues to significantly outperform the three benchmark measures (the 90-day T-Bill, 2-year T-Note and LAIF). The portfolio also reflects unrealized market gains during the quarter due to lower market yields compared to the yields on securities held in the portfolio. At September 30, 2011, the overall portfolio had an unrealized market gain of \$1.678 million.



On a quarterly basis, staff reports the five securities with the largest percentage of unrealized losses when comparing book value to market value at the end of the quarter. Note, however, since securities in the portfolio are held to maturity, no market losses will be realized.

ace Amount	Maturity	\$ Mkt Change	% Mkt Change
		Transcondings	,
\$2,000,000	09/15/16	-\$28,027	-1.39%
\$2,000,000	11/09/15	-\$23,060	-1.15%
\$1,000,000	09/28/16	-\$8,044	-0.80%
\$2,000,000	09/28/16	-\$9,420	-0.47%
\$2,000,000	09/27/16	-\$8,140	-0.41%
\$	52,000,000 51,000,000 52,000,000	22,000,000 09/15/16 22,000,000 11/09/15 31,000,000 09/28/16 32,000,000 09/28/16	22,000,000 09/15/16 -\$28,027 22,000,000 11/09/15 -\$23,060 31,000,000 09/28/16 -\$8,044 32,000,000 09/28/16 -\$9,420

Council Agenda Report September 30, 2011, Investment Report And September 30, 2011, Fiscal Agent Report October 25, 2011 Page 6

On a quarterly basis, staff also reports all securities with monthly market declines of greater than 1 percent compared to the prior month. There were two securities with a market decline of greater than 1 percent compared to the prior month.

			Aug-Sep Mkt	Aug-Sep Mkt	% Mkt Gain/(Loss) at
Issuer	Face Amount	Maturity	Change (\$)	Change (%)	09.30.11
GENERAL ELECTRIC CAPITAL CORP FEDERAL NATL MORTGAGE ASSN	\$2,000,000 \$2,000,000	11/09/15 09/21/15	-\$34,720 -\$23,960	-1.73% -1.14%	-1.15% 3.49%

The following confirmations are made pursuant to California Code Sections 53600 et seq.: (1) the City's portfolio as of September 30, 2011 is in compliance with the City's Statement of Investment Policy; and (2) there are sufficient funds available to meet the City's expenditure requirements for the next six months.

Fiscal Agent Investments

In addition to reporting requirements for public agency portfolios, a description of any of the agency's investments under the management of contracted parties is also required on a quarterly basis. Attachment 2 includes bond funds and the police and fire service retirement fund as of September 30, 2011.

ATTACHMENTS: 1. September 30, 2011, Investment Report

2. September 30, 2011, Fiscal Agent Report

PREPARED BY: Jill Taura, Treasury Manager

SUBMITTED BY: Robert Samario, Finance Director

APPROVED BY: City Administrator's Office

CITY OF SANTA BARBARA Activity and Interest Report September 30, 2011

INVESTMENT ACTIVITY			INTEREST REVENUE	
PURCHASES OR DEPOSITS			POOLED INVESTMENTS	
9/1 LAIF Deposit - City	\$	2,000,000	Interest Earned on Investments	\$ 248,2
9/7 LAIF Deposit - City		1,000,000	Amortization	(16,6
9/20 Proctor & Gamble (PGAMBL)		2,000,000	Interest on SBB&T Accounts	2
9/26 Toyota Motor Credit (TOYOTA)		2,000,000	Total	\$ 231,7
9/26 Federal Home Loan Bank (FHLB)		1,500,000		
9/26 Federal Home Loan Bank (FHLB)		1,000,000		
9/27 Federal Home Loan Mortgage Corp (FHLMC)		2,000,000		
9/28 Federal Home Loan Mortgage Corp (FHLMC)		2,000,000		
9/28 Federal National Mortgage Association (FNMA)		2,000,000		
9/28 Federal National Mortgage Association (FNMA)		1,000,000		
Total	\$	16,500,000		
SALES, MATURITIES, CALLS OR WITHDRAWALS				
9/9 Federal National Mortgage Association (FNMA) - Call	\$	(2,000,000)		
9/21 LAIF Withdrawal - City		(2,000,000)		
9/23 LAIF Withdrawal - City		(4,500,000)		
9/26 LAIF Withdrawal - City		(2,000,000)		
9/27 LAIF Withdrawal - City		(4,500,000)		
9/29 LAIF Withdrawal - City		(500,000)		
9/30 Federal Home Loan Bank (FHLB) - Call		(2,000,000)		
9/30 Federal Home Loan Bank (FHLB) - Call		(2,000,000)		
Total	\$	(19,500,000)		
ACTIVITY TOTAL	\$	(3,000,000)	TOTAL INTEREST EARNED	\$ 231,7

CITY OF SANTA BARBARA Summary of Cash and Investments September 30, 2011

ENDING BALANCE AS OF AUGUST 31, 2011

Description	Book Value	Yield to Maturity (365 days)	Percent of Portfolio	Average Days to Maturity
State of California LAIF	\$ 47,000,000	0.408%	28.55%	1
Certificates of Deposit	2,000,000	1.750%	1.21%	78
Federal Agency Issues - Coupon	101,467,556	2.001%	61.64%	1,107
Corporate/Medium Term Notes	8,180,520	1.947%	4.97%	1,197
	158,648,075	1.523%	96.37%	771
SB Airport Promissory Note	5,962,504	7.000%	3.62%	6,512
Totals and Averages	\$ 164,610,579	1.722%	100.00%	979
SBB&T Money Market Account	4,103,972			
Total Cash and Investments	\$ 168,714,551			

NET CASH AND INVESTMENT ACTIVITY FOR SEPTEMBER 2011

\$ (1,235,682)

ENDING BALANCE AS OF SEPTEMBER 30, 2011

Description	Book Value	Yield to Maturity (365 days)	Percent of Portfolio	Average Days to Maturity
State of California LAIF	\$ 36,500,000	0.384%	22.57%	1 (1)
Certificates of Deposit	2,000,000	1.750%	1.24%	48
Federal Agency Issues - Coupon	105,019,173	1.923%	64.93%	1,086
Corporate/Medium Term Notes	12,250,419	1.779%	7.57%	1,331
	155,769,591	1.549%	96.31%	838
SB Airport Promissory Note	5,962,504	7.000%	3.69%	6,482
Totals and Averages	\$ 161,732,095	1.750%	100.00%	1,046
SBB&T Money Market Account	5,746,774			
Total Cash and Investments	\$ 167,478,869			

Note:

(1) The average life of the LAIF portfolio as of September 30, 2011 is 236 days.

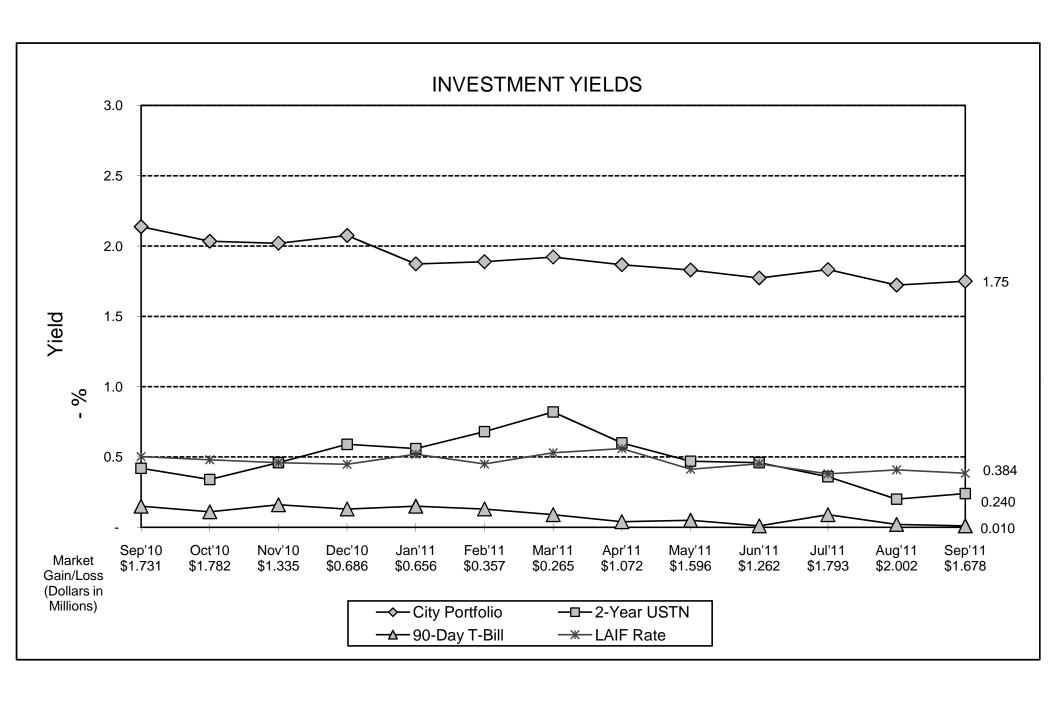
CITY OF SANTA BARBARA Investment Portfolio September 30, 2011

DESCRIPTION	PURCHASE DATE	MATURITY DATE	QUALITY MOODY'S	RATING S&P	STATED RATE	YIELD AT 365	FACE VALUE	BOOK VALUE	MARKET VALUE	BOOK GAIN/(LOSS)	COMMENTS
LOCAL AGENCY INVESTMENT FUNDS											
LOCAL AGENCY INVESTMENT FUND	-	-	-	-	0.384	0.384	36,500,000.00	36,500,000.00	36,500,000.00	0.00	
LOCAL AGENCY INV FUND/RDA	-	-	-	-	0.408	0.408	0.00	0.00	0.00	0.00	
Subtotal, LAIF							36,500,000.00	36,500,000.00	36,500,000.00	0.00	
CERTIFICATES OF DEPOSIT											
MONTECITO BANK & TRUST	11/18/09	11/18/11	-	-	1.750	1.750	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
Subtotal, Certificates of deposit							2,000,000.00	2,000,000.00	2,000,000.00	0.00	
FEDERAL AGENCY ISSUES - COUPON											
FEDERAL FARM CREDIT BANK	03/06/09	04/24/12	Aaa	AA+	2.250	2.120	2,000,000.00	2,001,414.52	2,022,240.00	20,825.48	
FEDERAL FARM CREDIT BANK	10/28/10	10/28/15	Aaa	AA+	1.540	1.540	2,000,000.00	2,000,000.00	2,002,120.00	2,120.00	Callable 10/28/11, then cont.
FEDERAL FARM CREDIT BANK	12/10/10	12/08/14	Aaa	AA+	1.500	1.662	2,000,000.00	1,997,660.61	2,003,220.00	5,559.39	Callable 12/08/11, then cont.
FEDERAL FARM CREDIT BANK	02/02/11	02/02/15	Aaa	AA+	2.000	2.000	1,500,000.00	1,500,000.00	1,506,450.00	6,450.00	Callable 02/02/12, then cont.
FEDERAL FARM CREDIT BANK	02/10/11	02/10/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,040,760.00	40,760.00	
FEDERAL FARM CREDIT BANK	03/09/11	03/09/16	Aaa	AA+	2.600	2.621	2,000,000.00	1,999,122.22	2,020,580.00	21,457.78	Callable 03/09/12, then cont.
FEDERAL FARM CREDIT BANK	12/15/10	12/15/15	Aaa	AA+	2.480	2.480	2,000,000.00	2,000,000.00	2,008,440.00	8,440.00	Callable 12/15/11, then cont.
FEDERAL FARM CREDIT BANK	03/04/09	01/17/12	Aaa	AA+	2.000	2.002	2,000,000.00	2,000,000.00	2,011,060.00	11,060.00	
FEDERAL FARM CREDIT BANK	03/05/09	03/04/13	Aaa	AA+	2.600	2.600	2,000,000.00	2,000,000.00	2,063,160.00	63,160.00	
FEDERAL FARM CREDIT BANK	05/08/09	04/08/13	Aaa	AA+	2.200	2.200	2,000,000.00	2,000,000.00	2,054,700.00	54,700.00	
FEDERAL FARM CREDIT BANK	06/19/09	06/18/12	Aaa	AA+	2.125	2.125	2,000,000.00	2,000,000.00	2,026,300.00	26,300.00	
FEDERAL FARM CREDIT BANK	09/30/09	10/03/11	Aaa	AA+	1.125	1.125	2,000,000.00	2,000,000.00	2,000,000.00	0.00	
FEDERAL FARM CREDIT BANK	04/30/10	04/09/15	Aaa	AA+	2.900	2.916	2,000,000.00	1,999,591.19	2,019,700.00	20,108.81	Callable 04/09/12, once
FEDERAL FARM CREDIT BANK	11/23/10	11/23/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,019,640.00	19,640.00	Callable 05/23/12, then cont.
FEDERAL FARM CREDIT BANK	02/16/11	02/16/16	Aaa	AA+	2.570	2.570	2,000,000.00	2,000,000.00	2,122,560.00	122,560.00	
FEDERAL HOME LOAN BANK	06/30/11	06/30/16	Aaa	AA+	1.300	2.297	2,000,000.00	2,000,000.00	2,003,860.00	3,860.00	SU 3% Callable 12/30/11, then qtrly
FEDERAL HOME LOAN BANK	03/04/09	06/08/12	Aaa	AA+	4.375	2.110	1,700,000.00	1,725,390.72	1,747,719.00	22,328.28	
FEDERAL HOME LOAN BANK	04/15/10	10/15/13	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,064,080.00	64,080.00	
FEDERAL HOME LOAN BANK	08/05/10	09/12/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,043,100.00	43,100.00	
FEDERAL HOME LOAN BANK	09/17/09	12/13/13	Aaa	AA+	3.125	2.440	2,000,000.00	2,028,444.66	2,116,340.00	87,895.34	
FEDERAL HOME LOAN BANK	01/15/10	10/30/12	Aaa	AA+	1.700	1.700	2,000,000.00	2,000,000.00	2,030,540.00	30,540.00	
FEDERAL HOME LOAN BANK	04/05/10	11/29/13	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,066,520.00	66,520.00	
FEDERAL HOME LOAN BANK	06/29/10	10/29/12	Aaa	AA+	1.125	1.125	2,000,000.00	2,000,000.00	2,018,160.00	18,160.00	
FEDERAL HOME LOAN BANK	05/28/10	05/28/15	Aaa	AA+	2.000	2.653	2,000,000.00	2,000,000.00	2,037,200.00	37,200.00	SU 3.35%, Callable 11/28/12, once
FEDERAL HOME LOAN BANK	06/30/10	06/30/14	Aaa	AA+	1.125	2.277	2,000,000.00	2,000,000.00	2,001,880.00	1,880.00	SU 3% Callable 12/30/11, once
FEDERAL HOME LOAN BANK	09/26/11	08/28/13	Aaa	AA+	1.000	0.381	1,000,000.00	1,011,764.38	1,011,470.00	(294.38)	•
FEDERAL HOME LOAN BANK	09/17/09	09/13/13	Aaa	AA+	4.375	2.272	2,000,000.00	2,077,982.62	2,153,880.00	75,897.38	
FEDERAL HOME LOAN BANK	02/22/10	12/13/13	Aaa	AA+	3.125	2.130	2,000,000.00	2,041,812.52	2,116,340.00	74,527.48	
FEDERAL HOME LOAN BANK	03/26/10	06/08/12	Aaa	AA+	1.375	1.325	2,000,000.00	2,000,673.64	2,015,360.00	14,686.36	
FEDERAL HOME LOAN BANK	02/09/11	01/29/15	Aaa	AA+	1.750	1.750	2,000,000.00	2,000,000.00	2,065,420.00	65,420.00	
FEDERAL HOME LOAN BANK	04/15/11	05/27/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,082,140.00	82,140.00	
FEDERAL HOME LOAN BANK	09/26/11	10/30/13	Aaa	AA+	2.000	0.400	1,500,000.00	1,549,678.37	1,548,705.00	(973.37)	

CITY OF SANTA BARBARA Investment Portfolio September 30, 2011

	PURCHASE		QUALITY			YIELD AT	FACE	воок	MARKET	воок	
DESCRIPTION	DATE	DATE	MOODY'S	S & P	RATE	365	VALUE	VALUE	VALUE	GAIN/(LOSS)	COMMENTS
FEDERAL HOME LOAN MTG CORP	09/03/09	09/21/12	Aaa	AA+	2.125	1.699	2,000,000.00	2,008,032.79	2,035,960.00	27,927.21	
FEDERAL HOME LOAN MTG CORP	11/23/10	11/23/15	Aaa	AA+	1.750	1.845	2,000,000.00	1,998,700.00	2,003,960.00	5,260.00	Callable 11/23/11, once
FEDERAL HOME LOAN MTG CORP	01/06/11	02/25/14	Aaa	AA+	1.375	1.375	2,000,000.00	2,000,000.00	2,041,720.00	41,720.00	
FEDERAL HOME LOAN MTG CORP	09/27/11	09/27/16	Aaa	AA+	1.550	1.550	2,000,000.00	2,000,000.00	1,991,860.00	(8,140.00)	Callable 12/27/11, then qtrly
FEDERAL HOME LOAN MTG CORP	09/28/11	09/28/16	Aaa	AA+	1.400	1.400	2,000,000.00	2,000,000.00	1,990,580.00	(9,420.00)	Callable 09/28/12, once
FEDERAL HOME LOAN MTG CORP	06/09/09	08/17/12	Aaa	AA+	1.000	2.420	2,000,000.00	1,976,140.35	2,010,620.00	34,479.65	
FEDERAL HOME LOAN MTG CORP	03/26/10	04/25/12	Aaa	AA+	1.125	1.197	1,000,000.00	999,596.90	1,004,890.00	5,293.10	
FEDERAL HOME LOAN MTG CORP	02/11/11	04/02/14	Aaa	AA+	4.500	1.615	2,000,000.00	2,140,208.66	2,191,420.00	51,211.34	
FEDERAL NATL MORTGAGE ASSN	09/28/11	09/28/16	Aaa	AA+	1.000	1.401	1,000,000.00	999,504.17	991,460.00	(8,044.17)	SU 1%-3%, Call 09/28/12, then qtrly
FEDERAL NATL MORTGAGE ASSN	02/17/11	02/17/16	Aaa	AA+	2.500	2.500	2,000,000.00	2,000,000.00	2,017,900.00	17,900.00	Callable 02/17/12, once
FEDERAL NATL MORTGAGE ASSN	06/07/11	03/07/16	Aaa	AA+	2.075	2.075	2,000,000.00	2,000,000.00	2,020,640.00	20,640.00	Callable 06/07/12, once
FEDERAL NATL MORTGAGE ASSN	07/05/11	07/05/16	Aaa	AA+	2.200	2.200	2,000,000.00	2,000,000.00	2,008,300.00	8,300.00	Callable 01/05/12, then qtrly
FEDERAL NATL MORTGAGE ASSN	07/19/11	07/19/16	Aaa	AA+	1.900	2.106	1,000,000.00	1,000,000.00	1,004,540.00	4,540.00	SU 2%-3.5%, Call 01/19/12, then qtrly
FEDERAL NATL MORTGAGE ASSN	09/28/11	09/28/16	Aaa	AA+	1.300	1.475	2,000,000.00	2,000,000.00	1,998,680.00	(1,320.00)	SU 1.3%-2.25%, Call 03/28/12, then qtrly
FEDERAL NATL MORTGAGE ASSN	08/10/10	08/10/15	Aaa	AA+	2.000	2.055	2,000,000.00	1,997,768.33	2,029,040.00	31,271.67	Callable 08/10/12, once
FEDERAL NATL MORTGAGE ASSN	11/17/10	11/17/14	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,037,040.00	37,040.00	
FEDERAL NATL MORTGAGE ASSN	12/28/10	12/28/15	Aaa	AA+	2.000	2.011	2,000,000.00	1,999,758.33	2,007,040.00	7,281.67	Calllable 12/28/11, once
FEDERAL NATL MORTGAGE ASSN	04/11/11	04/11/16	Aaa	AA+	2.500	2.500	2,000,000.00	2,000,000.00	2,022,060.00	22,060.00	Callable 04/11/12, once
FEDERAL NATL MORTGAGE ASSN	06/27/11	06/27/16	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,027,960.00	27,960.00	Callable 06/27/13, once
FEDERAL NATL MORTGAGE ASSN	09/21/10	09/21/15	Aaa	AA+	2.000	2.000	2,000,000.00	2,000,000.00	2,069,820.00	69,820.00	
FEDERAL NATL MORTGAGE ASSN	12/10/10	10/26/15	Aaa	AA+	1.625	2.067	2,000,000.00	1,965,927.90	2,053,000.00	87,072.10	
FEDERAL NATL MORTGAGE ASSN	04/18/11	04/18/16	Aaa	AA+	2.500	2.500	2,000,000.00	2,000,000.00	2,057,080.00	57,080.00	Callable 04/18/13, once
FEDERAL NATL MORTGAGE ASSN	06/29/11	12/29/14	Aaa	AA+	1.300	1.300	2,000,000.00	2,000,000.00	2,008,440.00	8,440.00	Callable 03/29/12, once
Subtotal, Federal Agencies							104,700,000.00	105,019,172.88	106,667,654.00	1,648,481.12	
CORPORATE/MEDIUM TERM NOTES											
BERKSHIRE HATHAWAY FIN	12/15/10	12/15/15	Aa2	AA+	2.450	2.530	2,000,000.00	1,993,691.67	2,049,160.00	55,468.33	
GENERAL ELECTRIC CAPITAL CORP	11/10/10	11/09/15	Aa2	AA+	2.250	2.250	2,000,000.00	2,000,000.00	1,976,940.00	(23,060.00)	
GENERAL ELECTRIC CAPITAL CORP	01/07/11	01/07/14	Aa2	AA+	2.100	2.100	2,000,000.00	2,000,000.00	2,011,940.00	11,940.00	
PRES & FELLOWS OF HARVARD COLL	07/12/11	01/15/14	Aaa	AAA	5.000	1.000	2,000,000.00	2,180,385.74	2,198,760.00	18,374.26	
PROCTOR & GAMBLE	09/20/11	11/15/15	Aa3	AA-	1.800	1.085	2,000,000.00	2,057,473.98	2,052,200.00	(5,273.98)	
TOYOTA MOTOR CREDIT	09/26/11	09/15/16	Aa3	AA-	2.000	1.800	2,000,000.00	2,018,867.12	1,990,840.00	(28,027.12)	
Subtotal, Corporate Securities							12,000,000.00	12,250,418.51	12,279,840.00	29,421.49	
SB AIRPORT PROMISSORY NOTE (LT)											
SANTA BARBARA AIRPORT	07/14/09	06/30/29	_	_	7.000	7.000	5,962,504.03	5,962,504.03	5,962,504.03	0.00	
Subtotal, SBA Note	01/14/09	00/00/29			7.000	7.000	5,962,504.03	5,962,504.03	5,962,504.03	0.00	
TOTALS							161,162,504.03	161,732,095.42	163,409,998.03	1,677,902.61	

Market values have been obtained from the City's safekeeping agent, Santa Barbara Bank and Trust (SBB&T). SBB&T uses Interactive Data Pricing Service, Bloomberg and DTC.



CITY OF SANTA BARBARA Fiscal Agent Investments September 30, 2011

Guaranteed

	CASH & CASH	Investment								
	EQUIVALENTS	Contracts (GIC)	STO	CKS	BON	DS	US GOVT &	AGENCIES	ТОТ	ALS
	Book & Market	Book & Market	Book	Market	Book	Market	Book	Market	Book	Market
BOND FUNDS RESERVE FUNDS				_						_
2004 RDA -	-	=	-	-	-	-	-	-	-	=
Housing Bonds										
2002 Municipal Improvement -	6,709.27	547,530.00	-	-	-	-	-	-	554,239.27	554,239.27
Refunding COPs										
2002 Water -	8,833.82	1,088,268.76	-	-	-	-	-	-	1,097,102.58	1,097,102.58
Refunding COPs										
1994 Water -	19,914.61	757,680.00	-	=	-	-	-	=	777,594.61	777,594.61
Revenue Bonds	000 700 40	4 202 202 50							0.004.005.00	0.004.005.00
2002 Waterfront - Reference COPs	928,723.43	1,393,262.50	-	-	-	-	-	-	2,321,985.93	2,321,985.93
1992 Seismic -	87,465.19	_	_	_	_	_	_	_	87,465.19	87,465.19
Safety Bonds	07,400.10								07,400.10	07,400.10
,										
Subtotal, Reserve Funds	1,051,646.32	3,786,741.26	-	-	-	-	-	-	4,838,387.58	4,838,387.58
PROJECT FUNDS 2001 RDA Bonds	2,365,740.93								2,365,740.93	2,365,740.93
2001 RDA Bollus	2,303,740.93	-	-	-	-	-	-	-	2,303,740.93	2,365,740.93
2003 RDA Bonds	10,686,412.10	-	-	-	-	-	-	-	10,686,412.10	10,686,412.10
2004 Sewer	2,175,435.89	1,357,140.00	_	_	-	-	-	_	3,532,575.89	3,532,575.89
Revenue Bonds	2,170,100.00	1,001,110.00							0,002,010.00	0,002,070.00
2009 Airport Bonds	4,046,797.25		-	- .			3,100,000.00	3,161,101.00	7,146,797.25	7,207,898.25
Subtotal, Project Funds	19,274,386.17	1,357,140.00	-	-	-	-	3,100,000.00	3,161,101.00	23,731,526.17	23,792,627.17
0.14.4.15	00.000.000.40	5 4 40 004 00					0.400.000.00	0.404.404.00	00 500 040 75	00 004 044 75
Subtotal Bond Funds	20,326,032.49	5,143,881.26	-	-	-	-	3,100,000.00	3,161,101.00	28,569,913.75	28,631,014.75
POLICE/FIRE -										
SVC RETIREMENT FUND	66 047 00		224 700 75	252 440 00	200 027 05	200 440 05			640 602 40	627 000 00
Police/Fire Funds	66,047.38 66,047.38		234,708.75	253,440.96 253,440.96	309,937.05 309,937.05	308,410.95 308.410.95			610,693.18	627,899.29 627,899.29
	00,041.38	-	234,100.15	200,440.90	309,93 <i>1</i> .05	300,410.93	-	-	010,093.18	021,099.29
TOTAL FISCAL AGENT										
INVESTMENTS	20,392,079.87	5,143,881.26	234,708.75	253,440.96	309,937.05	308,410.95	3,100,000.00	3,161,101.00	29,180,606.93	29,258,914.04

Notes:

⁽¹⁾ Cash & cash equivalents include money market funds.

⁽²⁾ Market values have been obtained from the following trustees: US Bank, Bank of New York and Santa Barbara Bank & Trust

Agenda	Item	No

File Code No. 530.04



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Contract With Arcadis US, Inc. For Environmental Services For The

Chapala Bridge Replacement Project

RECOMMENDATION:

That Council authorize the Public Works Director to execute a City Professional Services contract with Arcadis US, Inc., in the amount of \$13,500 for environmental services for the Chapala Bridge Replacement Project relating to the impact of pile driving in Mission Creek, and authorize the Public Works Director to approve expenditures of up to \$1,350 for extra services of Arcadis US, Inc., that may result from necessary changes in the scope of work.

DISCUSSION:

BACKGROUND

In 2010 the Public Works Department contracted with an environmental firm, Arcadis US, Inc. (Arcadis), to prepare a Biological Assessment and Natural Environment Study documentation for the Chapala, Cota, and Mason Street Bridge Projects. These three City bridges received federal aid Highway Bridge Program (HBP) funding, and the Biological Assessment and Natural Environment Study were requirements under the National Environmental Policy Act (NEPA). The three bridge projects are currently in preliminary design phases. The Biological Assessment and Natural Environment Study were used as a basis for the preparation of the Initial Study for the Chapala Bridge Replacement Project (Project). Following the December 2010 public comment period on the Initial Study, the City received a letter from the California Department of Fish and Game in August 2011 requesting an analysis be provided in the Initial Study to address the impacts on fish in Mission Creek from the pile driving operation that is required to construct the new bridge supports.

Public Works closed the original contract in the amount of \$21,975 with Arcadis in July 2011 once Caltrans deemed the Biological Assessment and Natural Environment Study complete. Staff proposes to enter into a new contract with Arcadis to address the additional analysis subsequently requested by Fish and Game. Since the original

Council Agenda Report
Contract With Arcadis US, Inc. For Environmental Services For The Chapala Bridge
Replacement Project
October 25, 2011
Page 2

contract and proposed contract exceed a combined \$25,000, it is necessary to obtain City Council for approval of the additional cost for environmental services from Arcadis.

PROJECT DESCRIPTION

Arcadis will provide an analysis of the anticipated vibration magnitude that will result from the pile driving of the bridge abutments in the adjacent Mission Creek Estuary, beginning approximately 42 feet from the nearest pile insertion point. The resulting vibration will then be compared to existing data on fish impacts resulting from various levels of vibration. If necessary, recommendations regarding mitigation will be provided. Additional extra services are also requested for any other unforeseen issues in the remaining environmental review process.

FUNDING

The Project is funded by the HBP. The HBP will pay 80% of design costs and 100% of right of way and construction costs. The following summarizes estimated total Project costs:

ESTIMATED TOTAL PROJECT COST

Design	Project Costs	City Contribution
Design (by Contract) - Drake Haglan & Associates – Concept Design	\$173,785	\$34,757
Design (by Contract) - Drake Haglan & Associates – Preliminary And Final Design	\$394,066	\$78,813
Applied Earth Work, Inc – Cultural Resource Review	\$74,012	\$14,802
Arcadis (biological studies) Note the \$21,975 is not documented here since the original contract was spread across three bridge projects.	\$7,325	\$1,465
Arcadis – additional biological services	\$14,850	\$2,970
RGA Environmental – lead and asbestos analysis	\$5,875	\$1,175
City Staff Review (Spent \$95k in staff time to date)	\$165,000	\$33,000
Design Subtotal	\$834,913	\$166,982
Construction	Project Costs	City Contribution
Construction Contract	\$1,292,485	\$0
Construction Change Order Allowance	\$129,248	\$0
Construction Management/Inspection (by Contract)	\$129,248	\$0
Project Management (by City Staff)	\$129,248	\$0

Council Agenda Report
Contract With Arcadis US, Inc. For Environmental Services For The Chapala Bridge
Replacement Project
October 25, 2011
Page 3

	Construction Subtotal	\$1,680,229	\$0
TOTAL PROJECT COST		\$2,515,142	\$166,982

Staff has negotiated an acceptable proposal with Arcadis to perform these contract services. Arcadis was recommended by Community Development staff because of their expertise in preparing biological assessments, knowledge of National Environmental Policy Act/California Environmental Quality Act requirements, and their familiarity with the Caltrans review process. Located in Santa Maria, Arcadis has completed many projects for many surrounding cities, and has proven to be a capable firm. There are sufficient appropriated funds in the Streets Fund to cover the cost of the services.

PREPARED BY: John Ewasiuk, Principal Civil Engineer/JG/DS/sk

SUBMITTED BY: Christine F. Andersen, Public Works Director

APPROVED BY: City Administrator's Office

Agenda	Item	No.
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File Code No. 530.04



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Contract For Construction With Lash Construction For Intersection

Improvement Project At Anacapa And Carrillo Streets

RECOMMENDATION: That Council:

A. Accept Federal Highway Safety Improvement Program funding in the total amount of \$400.000:

- B. Increase estimated revenues by \$400,000 in the Fiscal Year 2012 Streets Capital Fund and appropriate \$400,000 for the Intersection Improvement Project at Anacapa and Carrillo Streets;
- C. Award a contract with Lash Construction, in their low bid amount of \$319,895 for construction of the Intersection Improvement Project at Anacapa and Carrillo Streets, Bid No. 3576; and
- D. Authorize the Public Works Director to execute the contract and approve expenditures up to \$48,000 to cover any cost increases that may result from contract change orders for extra work and differences between estimated bid quantities and actual quantities measured for payment.

EXECUTIVE SUMMARY:

The purpose of the Intersection Improvement Project at Anacapa and Carrillo Streets (Project) is to improve safety by increasing signal visibility at this intersection, which has among the highest number of intersection collisions in the City. The City contracted with Penfield & Smith Engineers (P&S) in 2008 to evaluate existing intersection conditions and develop recommendations for improving the Anacapa and Carrillo Streets intersection.

After significant review by the Transportation Circulation Committee (TCC), Historic Landmarks Commission (HLC), and City Council, Council approved the final P&S design concept on September 14, 2010.

Council Agenda Report
Contract For Construction With Lash Construction For Intersection Improvement Project
At Anacapa And Carrillo Streets October 25, 2011
Page 2

DISCUSSION:

BACKGROUND

The Anacapa and Carrillo Streets intersection has been identified as having among the highest number of motor vehicle collisions in the City. Traffic collision records associated with this intersection reflect 62 collisions between December 31, 2003 and December 31, 2007, with 49 collisions reported as occurring within 75 feet of this intersection.

Of the 49 collisions, 33 were broadside collisions and 5 were rear-end collisions (the other 11 were unpreventable or not correctable with changes to the intersection control; for example, driving under the influence, or other impairment). In 28 of the 49 collisions, a red light violation occurred. Poor signal visibility is the most probable cause for collisions of this nature at an intersection where the signal timing is adequate.

Given the collision history data and field observations, P&S proposed an intersection improvement project that included the installation of new signal poles with mast arms over Carrillo Street to improve signal visibility, and the installation of curb extensions and directional access ramps on the northeast and southeast side of Carrillo Street to improve signal visibility on Anacapa Street without additional mast arms.

The Project was presented to the TCC in September 2008, at which time the TCC found the Project consistent with the Circulation Element. The Project was also presented to the HLC in December 2008, where it received preliminary approval. In April 2009, the Project went before Council and P&S was awarded a contract to complete the final design. At that meeting, there was discussion with Council regarding the proposed elements of the Project, including the curb extensions. With Council approval, staff proceeded with final design.

In June 2010, the Project was presented again to the HLC. Although no major changes to the Project had occurred since the HLC's initial review in 2008, the preliminary approval for the Project had expired and the Public Works Department was required to present the entire Project again. At that meeting, the HLC approved the Project. In July 2010, the HLC voted to reconsider its approval of the Project based on concerns with the proposed curb extensions. To address the HLC's concerns with curb extensions, the Project was subsequently redesigned to remove the curb extensions, and to add a mast arm over Anacapa Street to improve the visibility issue for the southbound Anacapa Street approach. Council approved the increase in extra services for P&S to complete the redesign on September 14, 2010.

Council Agenda Report

Contract For Construction With Lash Construction For Intersection Improvement Project At Anacapa And Carrillo Streets October 25, 2011
Page 3

PROJECT DESCRIPTION

The Project consists of new signal poles with mast arms over Carrillo Street, one new signal pole with a mast arm over Anacapa Street, new pedestrian signal indicators with countdown heads in all directions, and relocation of the traffic control equipment cabinet. New access ramps will also be installed on the northeast, southeast, and southwest corners of the intersection. In addition, new safety lighting and drainage improvements will be installed as part of the Project.

CONSTRUCTION SCHEDULING

There is a six to nine month lead time necessary for delivery of the traffic signal and light poles that are included in the Project. Therefore, construction is anticipated to begin in spring or summer of 2012. The Contractor will not begin any work on-site, aside from initial exploratory potholing, until the traffic signal and light poles have been delivered and accepted by the City. The Contractor shall have 30 working days to complete construction upon acceptance of the traffic signal and light poles by the City.

CONTRACT BIDS

A total of five bids were received for the subject work, ranging as follows:

	BIDDER	BID AMOUNT
1.	Lash Construction Santa Barbara	\$319,895.00
2.	Berry General Engineering Ventura	\$383,423.65
3.	Lee Wilson Electric Arroyo Grande	\$389,341.70
4.	Granite Construction Santa Barbara	\$392,106.00
5.	Nye & Nelson Ventura	\$492,610.10*

^{*}corrected bid total

The low bid of \$319,895, submitted by Lash Construction, Inc. (Lash), is an acceptable bid that is responsive to and meets the requirements of the bid specifications.

The change order funding recommendation of \$48,000, or 15%, is typical for this type of work and size of project.

Council Agenda Report

Contract For Construction With Lash Construction For Intersection Improvement Project At Anacapa And Carrillo Streets October 25, 2011
Page 4

CONSTRUCTION PHASE CONTRACT SERVICES

As part of this Project, the Public Works Director will also execute a professional services contract with P&S in the amount of \$7,500 for design support services during construction. Additionally, the Public Works Director will also execute a professional services contract with Fugro West in the amount of \$5,000 for material testing services during construction.

COMMUNITY OUTREACH

During the Project's design phase, a postcard notice was sent to all property owners and tenants within a one block area of the intersection. A construction notification will be mailed once the construction contract is awarded and the tentative construction timeline is known. Engineering staff will coordinate with the Downtown Organization to inform the property owners and tenants throughout the Downtown area of the upcoming construction Project. News releases will be utilized to announce construction scheduling. The Contractor will be responsible for the final notice (door hanger) 72 hours prior to construction.

FUNDING

The City has been awarded a Highway Safety Improvement Program grant in the amount of \$400,000 for construction costs. The grant requires a 10% City match, which is funded by Measure D, Measure A, and Underground Utility Tax revenue. With the addition of the \$400,000 in appropriated grant funds, there are sufficient appropriated funds in the Streets Fund to cover the City cost of this Project.

The following summarizes the expenditures recommended in this report:

CONSTRUCTION CONTRACT FUNDING SUMMARY

	Basic Contract	Change Funds	Total
Lash Construction	\$319,895	\$48,000	\$367,895
P&S	\$7,500	\$1,125	\$8,625
Fugro West	\$5,000	\$750	\$5,750
TOTAL RECOMMENDED AUTHORIZATION			\$382,270

Contract For Construction With Lash Construction For Intersection Improvement Project At Anacapa And Carrillo Streets October 25, 2011 Page 5

The following summarizes all Project design costs, construction contract funding, and other Project costs:

ESTIMATED TOTAL PROJECT COST

*Cents have been rounded to the nearest dollar in this table.

TOTAL PROJECT COST	\$622,813
Subtotal	\$79,375
Construction Management/Inspection (by City Staff)	\$65,000
P&S)	φο,025
Other Construction Costs – Construction Support Services (by	\$8,625
Other Construction Costs – Material Testing	\$5,750
Subtotal	\$367,895
Construction Change Order Allowance	\$48,000
Construction Contract	\$319,895
Subtotal	\$175,543
City Engineering Costs for Design and Redesign Costs	\$83,758
Consultant Design Services (Redesign)	\$18,895
CEQA/NEPA	\$9,120
Phase 1 Archaeological Report/Section 106 Study for	CO 100
Consultant Design Services	\$36,290
Consultant Conceptual Study/Design	\$27,480

BUDGETARY/FINANCIAL INFORMATION:

The total construction cost of the Project, including construction management and inspection (by City staff), is \$447,270 (\$367,895 + \$79,375). As previously discussed, the City was awarded a Highway Safety Improvement Program grant in the amount of \$400,000 for construction costs. The grant requires a minimum 10% City match (\$40,000). Therefore, the City's portion of construction costs for this Project is \$47,270, which covers the City match requirement. There are sufficient funds in the Streets Fund to cover the cost of this Project.

SUSTAINABILITY IMPACT:

This Project will facilitate alternative and environmentally friendly modes of transportation by improving pedestrian access at the Anacapa and Carrillo Streets intersection. Safety lighting will also improve pedestrian safety at the intersection.

Council Agenda Report Contract For Construction With Lash Construction For Intersection Improvement Project At Anacapa And Carrillo Streets October 25, 2011 Page 6

PREPARED BY: John Ewasiuk, Principal Civil Engineer/AS/sk

SUBMITTED BY: Christine F. Andersen, Public Works Director

APPROVED BY: City Administrator's Office

Agenda	Item	No.

File Code No. 540.13



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Water Resources Division, Public Works Department

SUBJECT: Contract For Capital Asset Management Support Services For El

Estero Wastewater Treatment Plant

RECOMMENDATION:

That Council authorize the Public Works Director to execute a contract with Carollo Engineers, Inc., in the amount of \$223,927 to provide capital asset management support services for the El Estero Wastewater Treatment Plant, and authorize the Public Works Director to approve expenditures of up to \$24,881 for extra services of Carollo Engineers, Inc., that may result from necessary changes in the scope of work, for a total not-to-exceed amount of \$248,808.

DISCUSSION:

El Estero Wastewater Treatment Plant (El Estero) cleans approximately 8.5 million gallons of wastewater each day. Originally constructed in 1952, a majority of the current infrastructure was constructed in 1978 to meet 1972 Clean Water Act requirements. While many capital improvements have been made to El Estero in its 59 years of operation, El Estero requires continual maintenance and refurbishment due to the nature of its operations and its age.

El Estero staff has developed a capital asset management system to ensure that these important activities are performed in an timely and cost-effective manner. The strategy of managing capital assets to minimize costs was first developed in Australia. Capital asset management has since been embraced by utilities around the world as an effective approach to maintaining critical equipment and minimimizing costs, while maximizing equipment performance. Fundamental to any capital asset management program is a systemized preventative maintenance approach that effectively manages remaining capital asset life A sound capital asset management program can result in significant savings to a utility.

On July 12, 2005, Council first awarded a contract to Linjer, Inc. (Linjer) who continued to provide professional services to El Estero staff through subsequent contract phases that were approved by Council on December 5, 2006; on September 23, 2008; and

Council Agenda Report
Contract For Asset Management Support Services For El Estero Wastewater Treatment
Plant
October 25, 2011
Page 2

again on July 7, 2010. This contract work included initial capital asset system gap analysis reporting, asset identification and documentation, development of a maintenance work order system, and prioritized scheduling of capital improvement projects' construction. The more recent focus of this project has been on the development of an inventory management system to maintain critical parts for El Estero's capital assets.

On May, 26, 2011, City staff updated the scope of work required for the next phase of the project and decided to go out to bid for these services to obtain updated competitive pricing. The one-year contract's updated scope of work includes the provision of Computer Maintenance Management System application and underlying database maintenance support services; project management and implementation of the existing Asset Management Plan's (AMP) Inventory Management component currently under development; optimization of AMP's existing business and work practices; and AMP training and documentation development for El Estero's maintenance and operations personnel.

Requests for proposals were sent to twenty-one firms. Carollo Engineers, Inc. and Brown and Caldwell were the only two firms to submit proposals. Carollo Engineers, Inc. was selected to provide this scope of work. They have provided similar services for numerous other public wastewater agencies with similar asset management support needs. Staff requests that Council authorize the Public Works Director to execute the new contract.

BUDGET/FINANCIAL INFORMATION:

This project was anticipated and there are adequate appropriated funds in the Wastewater Capital Fund for this work.

PREPARED BY: Chris Toth, Wastewater System Manager/avb

SUBMITTED BY: Christine F. Andersen, Public Works Director

APPROVED BY: City Administrator's Office

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File Code No. 550.05



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Contract With Leach Mounce Architects For Design Services For The

Temporary Relocation Of The 9-1-1 Call Center

RECOMMENDATION:

That Council authorize the Public Works Director to execute a City Professional Services contract with Leach Mounce Architects in the amount of \$190,808 for design services for Tenant Improvements to the Granada Garage Office Building for the Temporary Relocation of the 9-1-1 Call Center, and authorize the Public Works Director to approve expenditures of up to \$19,000 for extra services of Leach Mounce Architects that may result from necessary changes in the scope of work.

DISCUSSION:

BACKGROUND

On June 28, 2011, Council received and accepted a report that outlined the recommendations of the Ad Hoc Council Subcommittee on the Police Station Building, concerning the fate of the current Police Station. One of those recommendations included exploring options for moving the 9-1-1 Call Center ("9-1-1") to a better interim location while plans moved forward for the replacement of the Police Station Building. This recommendation comes in light of concerns about the current Police Station's seismic performance, based on a recently completed structural evaluation, and the fact that 9-1-1 will need to be relocated at some point for construction of a new Police Station.

After review of City owned facilities, the Granada Garage Office Building ("Granada") was determined to be the best temporary location for 9-1-1. The Granada is one of the City's newest buildings, offering a back-up generator, sufficient parking, access to fiber optic communication, close proximity to the current Police Station, and newer building systems which can more easily be modified to meet the stringent demands of a 9-1-1 call center. In addition, the building's seismic performance is significantly more robust than the current 9-1-1 location. However, it must be noted that the move to the Granada is only temporary since the building does not meet the most stringent seismic

Council Agenda Report
Contract With Leach Mounce Architects For Design Services For The Temporary
Relocation Of The 9-1-1 Call Center
October 25, 2011
Page 2

requirements set by the State for housing a 9-1-1 call center. It is anticipated that 9-1-1 would remain in this temporary location until at least 2017, pending approval of funding for the Police Station Replacement in 2012 and an estimated five years to complete design and construction.

PROJECT DESCRIPTION

The work consists of making tenant improvements to the Granada's second floor that would accommodate both Environmental Services, which is currently located in that area, and 9-1-1. Additionally, this would entail building an entirely new communication system to support 9-1-1 operations. This aspect is among the most complicated, as 9-1-1 relies on numerous forms of communication to manage emergency responses.

DESIGN PHASE CONSULTANT ENGINEERING SERVICES

Staff recommends that Council authorize the Public Works Director to execute a contract with Leach Mounce Architects ("LMA") in the amount of \$209,808 for design services. LMA was selected from a competitive request for proposal process for the conceptual design of the new police station. Their work on the temporary relocation of 9-1-1 is a natural extension of their work on the main Police Station, and LMA is experienced in this type of work.

FUNDING

Funding for the temporary relocation of 9-1-1 will be provided via the cooperation agreement between the City and the Redevelopment Agency, approved on June 21, 2011, for the Police Station Replacement Project ("Project"). There is approximately \$6 million remaining in the Project account, following the award of the Conceptual Design of the Police Station Replacement Project.

In addition, staff will be utilizing a state grant for replacement of 9-1-1 equipment to help offset the cost of this Project. A small fee is collected by the State on every phone bill to help maintain the State's 9-1-1 system. What these funds can be used for is very specific, but staff anticipates using approximately \$450,000 of these funds to purchase new equipment.

On June 8, 2010, Council adopted Resolution No. 10-035, making the findings required under Health and Safety Code Section 33445.1 to allow the use of redevelopment tax increment funds for the Police Station Replacement Project. The relocation of 9-1-1 is one element of this larger Project.

Council Agenda Report
Contract With Leach Mounce Architects For Design Services For The Temporary
Relocation Of The 9-1-1 Call Center
October 25, 2011
Page 3

The following summarizes all estimated total project costs:

ESTIMATED TOTAL PROJECT COST

Design (by Contract)	\$209,808
Project Administration (by City staff)	\$85,000
Subtotal	\$294,808
Estimated Construction Contract w/Change Order Allowance	\$1,250,000*
Estimated Construction Management/Inspection/ and Design	\$180,000
Support Services (by Contract and City Staff)	
Estimated Other Construction Costs (permiting, testing, etc.)	\$25,000
Subtotal	\$1,455,000
TOTAL PROJECT COST	\$1,749,808

^{*}Approximately \$450,000 will be covered by a State 9-1-1 Grant.

There are sufficient budgeted funds in the Redevelopment Agency Fund to cover these costs.

SUSTAINABILITY IMPACT:

The tenant improvements to the Granada will be consistent with green building standards and the City's policies for energy conservation, recycled materials, and waste prevention.

PREPARED BY: Joshua Haggmark, Principal Civil Engineer/mj

SUBMITTED BY: Christine F. Andersen, Public Works Director

APPROVED BY: City Administrator's Office

Agenda Item No._

 $\mathsf{File}\ \mathsf{Code}\ \mathsf{No.}\ \ 570.05$



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Administration Division, Parks and Recreation Department

SUBJECT: Authorization To Submit A Land And Water Conservation Fund Grant

Application For Up To \$300,000 For The Mesa Lane Steps

Reconstruction Project

RECOMMENDATION:

That Council adopt, by reading of title only, A Resolution of the Council of the City of Santa Barbara authorizing the Parks and Recreation Director to submit a grant application to the California Department of Parks and Recreation under the Land and Water Conservation Fund Program for the Mesa Lane Steps Reconstruction Project.

DISCUSSION:

Background

Mesa Lane Steps is one of three public beach access stairways maintained by the Parks and Recreation Department (Department). First constructed in 1982, the stairway is popular with residents of the West Mesa and Alta Mesa neighborhoods, including children, dog walkers, surfers, families, and others. The steps are also well used by other South Coast residents. In addition to general maintenance over the years, in 1991, the foundation of the stairs at the beach was repaired and the foundation footprint expanded. In the 20 years since the last reconstruction, the landing foundation and concrete stairs have degraded significantly due to wear and tear from the beach tidal environment. In Fiscal Year 2007, the Department prioritized repairs of the stairs in the Capital Improvement Program (CIP). By Fiscal Year 2011, adequate CIP funding enabled project design and permitting to move forward.

In December 2010, Council approved the coastal engineering study and preliminary design contract with Bengal Engineering. Preliminary design plans were complete in June 2011. The Architectural Board of Review conducted a concept review hearing on June 30, 2011, and the Parks and Recreation Commission discussed the project at its regular meeting on July 27, 2011. The Planning Commission approved the Coastal Development Permit for the project on September 8, 2011.

Council Agenda Report
Authorization To Submit A Land And Water Conservation Fund Grant Application For Up
To \$300,000 For The Mesa Lane Steps Reconstruction Project
October 25, 2011
Page 2

Project Description

The Mesa Lane Steps Reconstruction Project will remove the last flight of wood stairs and landing, and remove the concrete stairs and concrete block foundation. The existing stairs and support structures will be replaced with new stainless steel stairs and handrails, supported by 12" diameter stainless steel piles in holes drilled into bedrock and grouted in place with concrete. The existing concrete stairs will be replaced with new concrete stairs. The lower part of the stainless steel stairs will be supported by 12" stainless steel piles in holes drilled into bedrock and grouted in place with concrete.

LWCF Program

The LWCF Program, administered nationally by the National Park Service, provides funds to federal agencies, the 50 states, and 6 territories. The expenditure of funds allocated to California is assigned to the Department of Parks and Recreation. LWCF funds can be used for acquisition or development projects. Development projects include the construction of new and/or renovation of existing facilities for public outdoor recreation.

Due November 1, 2011, the LWCF grant application requires a Council resolution authorizing the grant application and designating the Parks and Recreation Director as the City's agent to conduct all contract negotiations.

BUDGET/FINANCIAL INFORMATION:

Staff proposes to request up to \$300,000 in grant funding through the LWCF Program. These grant funds would cover almost half of the project construction costs. The City Council committed \$200,000 from the General Fund during the Fiscal Year 2012 Capital Program Budget approval hearings. The Department will be seeking additional grant funding from other sources.

SUSTAINABILITY IMPACT:

The stairs are designed to meet criteria established by the California Coastal Commission related to projected sea rise and durability. The lowest section is designed to last a minimum of 50 years, withstand a 50-year storm event, and meet expected sea rise over a 50-year period. The total footprint of the stairs on the beach will decrease from an estimated 150 square feet to 50 square feet.

PREPARED BY: Jill E. Zachary, Assistant Parks and Recreation Director

SUBMITTED BY: Nancy L. Rapp, Parks and Recreation Director

APPROVED BY: City Administrator's Office

A RESOLUTION OF THE COUNCIL OF THE CITY OF SANTA BARBARA AUTHORIZING THE PARKS AND RECREATION DIRECTOR TO SUBMIT A GRANT APPLICATION TO THE CALIFORNIA DEPARTMENT OF PARKS AND RECREATION UNDER THE LAND AND WATER CONSERVATION FUND (LWCF) PROGRAM FOR THE MESA LANE STEPS RECONSTRUCTION PROJECT

WHEREAS, the Congress under Public Law 88-578 has authorized the establishment of a federal Land and Water Conservation Fund Grant-In-Aid program, providing Matching funds to the State of California and its political subdivisions for acquiring lands and developing Facilities for public outdoor recreation purposes;

WHEREAS, the California Department of Parks and Recreation is responsible for administration of the program in the State, setting up necessary rules and procedures governing Applications by local agencies under the program;

WHEREAS, said adopted procedures established by the State Department of Parks and Recreation require the APPLICANT to certify by resolution the approval of Applications and the availability of eligible Matching funds prior to submission of said Applications to the State;

WHEREAS, the proposed *Mesa Lane Steps Reconstruction Project* is consistent with the most recent *California Outdoor Recreation Plan (CORP)*; and

WHEREAS, the Project must be compatible with the land use plans of those jurisdictions immediately surrounding Project.

NOW, THEREFORE, BE IT RESOLVED THAT THE COUNCIL OF THE CITY OF SANTA BARBARA HEREBY:

- 1. Approves the filing of an Application for Land and Water Conservation Fund assistance: and
- 2. Agrees to abide by SECTION 6(F)(3) of Public Law 88-578 which states "No property acquired or developed with assistance under this section shall, without the approval of the National Secretary of the Interior, be converted to other than public outdoor recreation uses. The Secretary shall approve such conversion only if he finds it to be in accord with the then existing comprehensive statewide outdoor recreation plan and only upon such conditions as he deems necessary to assure the substitution of other recreation properties of at least equal fair market value and of reasonably equivalent usefulness and location."; and
- 3. Certifies that said agency has Matching funds from eligible source(s) and can finance 100 percent of the Project, which up to half may be reimbursed; and
- 4. Certifies that the Project is compatible with the land use plans of those jurisdictions immediately surrounding the Project; and

5. Appoints the Parks and Recreation Director as agent of the City of Santa Barbara to conduct all negotiations and execute and submit all documents, including, but not limited to, applications, contracts, amendments, payment requests and compliance with all applicable current state and federal laws which may be necessary for the completion of the aforementioned Project.

Agenda Item No	Agenda	Item	No
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File Code No. 650.08



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Planning Division, Community Development Department

SUBJECT: Participation In UCSB/UCSD Application For Federal Sea Grant

Funding

RECOMMENDATION:

That Council approve an in-kind staff time contribution, not to exceed \$25,000, for City participation as a community partner in a UCSB/UCSD application for a National Oceanic and Atmospheric Administration Sea Grant to research the vulnerability and adaptation of Santa Barbara coastal ecosystems to climate change effects.

DISCUSSION:

The natural coastal environment is a fundamental part of Santa Barbara's resources, beauty, character, and economy. Climate change has the potential to substantially affect coastal resources such as beaches, wetlands, riparian areas, creeks, and kelp forests.

An assessment of local resource vulnerability to changing climate is the first necessary step toward identifying resource management actions. Without this type of local scientific research, staff would have to look to more general statewide studies to extrapolate information that is helpful to our City plans and resource management programs.

In November 2011, researchers at the UC Santa Barbara Marine Sciences Institute and the UC San Diego Scripps Institute of Oceanography intend to submit a joint application for funding from the National Oceanic and Atmospheric Administration (NOAA) Sea Grant program for a research study of Santa Barbara coastal ecosystems. The Sea Grant funding awards are distributed in January 2012 and the two-year study will occur from February 2012 to January 2014.

Ecologist Dr. Daniel Reed (head of the Long-Term Ecological Research Program at the UCSB Marine Sciences Institute, which focuses on the Santa Barbara Channel and coastal watersheds) and eminent climatologist Dr. Daniel R. Cayan (of the UC San Diego Scripps Institute CNAP RISA program - California and Nevada Applications Program for Regional Integrated Science and Assessment) will lead the research team.

Council Agenda Report Participation In UCSB/UCSD Application For Federal Sea Grant Funding October 25, 2011 Page 2

The study will identify foreseeable effects of local climate change, assess the vulnerability of Santa Barbara coastal ecosystems to climate changes, and provide recommendations for managing and adapting to changes.

The UCSB research team has requested City participation in the study as a community partner. City departments with coastal knowledge and responsibilities, along with departments that could benefit from the results, will participate in the study. This is inclusive to Community Development (lead), Waterfront, Parks & Recreation, Airport, and Public Works.

The City staff role would involve: (1) attendance at a meeting with researchers to discuss study parameters and assumptions, identify study information that would be most useful to the City, and provide input data to researchers, (2) staff internal peer review of the draft study report; and (3) discerning report recommendations for potential incorporation into City resource management programs and plans. The report recommendations are not binding on the City.

BUDGET/FINANCIAL INFORMATION:

UCSB and UCSD are applying for \$100,000 in funding for the study's researchers. The Sea Grant program stipulates a 50% local match (\$50,000 including in-kind contributions), which is proposed to be split between UCSB and the City (\$25,000 each).

The City will provide an in-kind contribution, up to \$25,000, of staff time as its local match contribution. Staff time is estimated at a total of 200 hours, which will be spread out among four or five City departments over two calendar years (2012 & 2013). Each participating department will contribute an average of 40 hours over the course of the two-year study. There will be no additional expenditures requested from the General Fund.

SUSTAINABILITY IMPACT:

Staff expects the proposed Sea Grant study of coastal ecosystems to benefit the environmental sustainability of City operations and the larger community by providing research specific to local climate change, resource vulnerability and adaptation measures that would directly assist planning for resource protection.

PREPARED BY: Barbara R. Shelton, Project Planner/ Environmental Analyst

SUBMITTED BY: Paul Casey, Assistant City Administrator/ Community Development

Director

APPROVED BY: City Administrator's Office

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File Code No. 330.03



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Engineering Division, Public Works Department

SUBJECT: Declare Property At 136 W. Haley Street As Excess And Subject To

Disposal By Public Auction

RECOMMENDATION:

That Council declare the real property located at 136 W. Haley Street as excess to the City's needs and authorize staff to begin the process required by the City Charter and Municipal Code for the disposition of said property.

DISCUSSION:

The property located at 136 W. Haley Street was acquired along with other properties in the area as a necessary right of way acquisition for the Haley/De La Vina Streets Bridge Replacement Project. Council approved the acquisition of the Bridge Project properties on April 1, 2008. The subject property was acquired in full due to its proximity to the bridge and the potential for damage to the residence as a consequence of pile driving and other heavy construction activity. The property is a small corner parcel of approximately 2,263 square feet and contains a single family residence of approximately 606 square feet. A permanent street easement for the bridge infrastructure and some utility easements on the southwest corner of the parcel were also acquired.

With its acquisition, it was intended that the property be available for sale upon the completion of the bridge construction and any necessary repairs or appropriate renovations to the residence. The bridge replacement is now complete. Permanent easements have been secured on the subject parcel. Renovations to the residence should be finished by November 1, 2011.

Staff will follow all necessary procedures, including noticing to agencies and the preparation and coordination of the execution of documents by authorized parties as required. All actions will be subject to the review and approval of the City Attorney to dispose of said property by public auction in accordance with the City Charter and Santa Barbara Municipal Code Chapter 4.28, if applicable.

Council Agenda Report
Declare Property At 136 W. Haley Street As Excess And Subject To Disposal By Public Auction
October 25, 2011
Page 2

Pending any interest expressed by the state and local agencies noticed of the excess land sale, staff proposes to offer the property for sale via public auction. It is intended that the auction will be advertised for a two-week period with a deadline designated for receipt of sealed bids by interested parties. Bid packages containing general information about the property, including the appraisal, will be made available. Initial bids will be required at a price no lower than that of the appraisal valuation. An appraisal has been authorized for completion by Stephen Schott and Associates.

Acceptable bids shall require a deposit of \$5,000 dollars by cashier's check or money order. At bid opening, a designated City official will open the sealed bids and declare the highest bidder eligible to purchase the property. From this point, overbidding in increments of \$5,000 dollars shall be allowed until the highest bid is determined. The remaining bidders shall have their respective deposits returned. The successful high bidder will then be required to complete any subsequent negotiations with staff in order to execute a Land Purchase Agreement to be approved and accepted by a City ordinance adopted by the City Council.

This process was successfully used in the sale of the City's excess property at 404 Garden Street in 2005.

This project was funded at 88.53% by the Federal Highway Administration's Bridge Replacement Program with the City making up the remaining 11.47%. Proceeds from the sale shall be deposited to a specified City Streets Fund account as appropriate per Federal Highway Administration standards and can only be used on similar federally funded projects such as those in the Federal Highway Bridge Program. These funds can be used as the City's match for Federal funding of several bridge replacement projects anticipated in upcoming years. The success of this effort will be a significant boost to the City's ability to finance its share of Highway Bridge Program projects going forward.

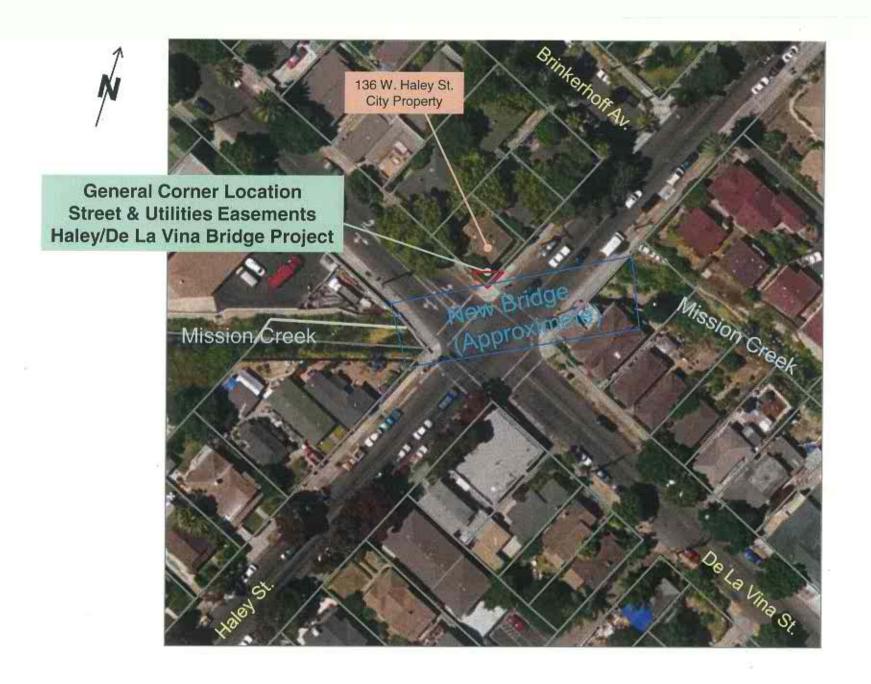
ATTACHMENT(S): 1) Aerial Map of Property Location

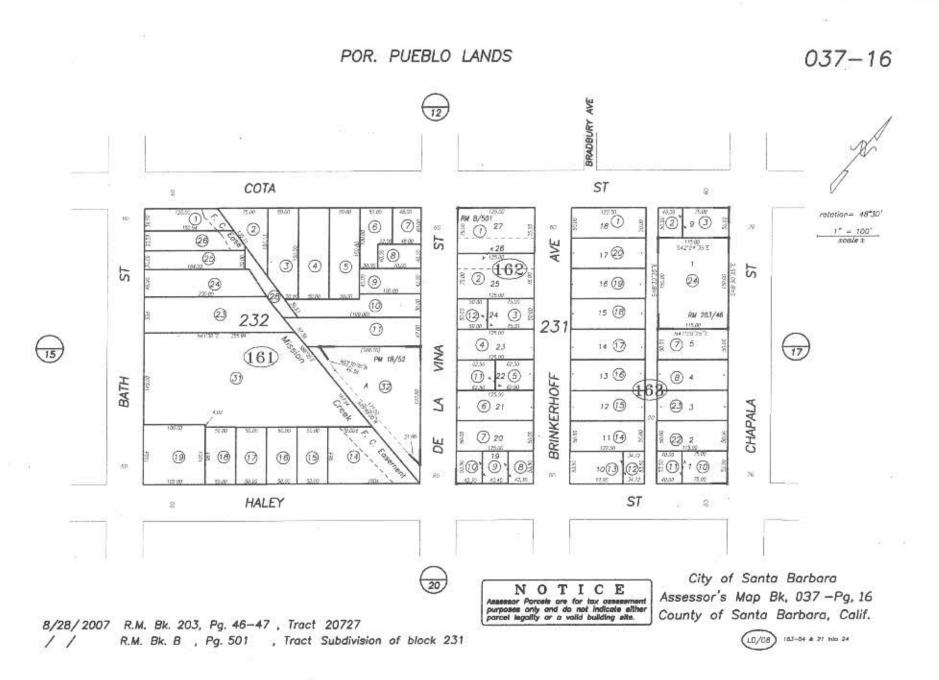
2) Assessor's Parcel Map of 136 W. Haley Street

PREPARED BY: John Ewasiuk, Principal Civil Engineer/DT/mj

SUBMITTED BY: Christine F. Andersen, Public Works Director

APPROVED BY: City Administrator's Office





Agenda Item No._

File Code No. 640.09



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Planning Division, Community Development Department

SUBJECT: Final Community Priority Designation For Children's Museum At 125

State Street

RECOMMENDATION:

That Council find that the Children's Museum development project at 125 State Street meets the definition of a Community Priority Project, and grant the project a Final Community Priority Designation for an allocation of 5,106 square feet of nonresidential floor area.

DISCUSSION:

The project consists of a proposal to construct a new 16,691 square foot building for the Children's Museum of Santa Barbara (refer to Attachment 2 for a complete project description). The applicant is requesting an allocation of 5,106 square feet from the Community Priority category. In addition to the requested allocation from the Community Priority category, the applicant has proposed the use of 5,585 square feet from the Vacant Property category and 6,000 square feet from the Minor and Small Addition categories, as defined in Santa Barbara Municipal Code Section 28.87.300.B in order to construct the building.

On April 7, 2009, the City Council granted a preliminary allocation of 2,500 square feet from the Community Priority category for the Children's Museum project. The Council also approved a Memorandum of Understanding with the Museum regarding development of the property at 125 State Street, since the property is owned by the City and would be leased to the Museum. Since the preliminary allocation in 2009, the applicant has refined the scope of the project and the associated site plan and floor plans. As a result, the amount of square footage has increased and the applicant is now requesting a final allocation of 5,106 square feet be granted from the Community Priority category in order to proceed with this project.

Council Agenda Report Final Community Priority Designation For Children's Museum at 125 State Street October 25, 2011 Page 2

Community Priority Category

Santa Barbara Municipal Code Section 28.87.300 provides for City Council designations of square footage for projects of broad public benefit deemed "necessary to meet present or projected needs directly related to public health, safety or general welfare". The Municipal Code further defines "general welfare" as "a community priority project, which has a broad public benefit (for example: museums, child care facilities, or community centers) and which is not principally operated for private profit."

To date, a total of 234,636 square feet has been allocated (both preliminary and final designations) out of the Community Priority Category, with 65,364 square feet still available. Please refer to Attachment 3 for a list of Community Priority projects that have received a Preliminary or Final Designation. If the subject request is approved, a total of 237,242 square feet would be allocated with a remainder of 62,758 square feet. As noted on the list, there are some preliminary designations that may be reallocated to other categories, or withdrawn. These changes could possibly result in 27,000 to 99,500 square feet being added back to the Community Priority category to be used for future allocations.

Needs Assessment

The proposed development will meet an existing need for a children's museum in order to provide a youth-oriented educational opportunity that does not currently exist in Santa Barbara. Both Staff and the Planning Commission think that the project satisfies the definition of a community priority and therefore recommend the final approval of Community Priority Category allocation of 5,106 square feet.

Planning Commission Approval

On October 6, 2011, the Planning Commission approved the project (Modifications, Development Plan and Coastal Development Permit) and recommended that Council grant the requested Final Community Priority allocation. As part of their action, the Planning Commission also determined that the project's proposed Community Priority designation and its exemplary design as a LEED Silver building warrant expedited processing. They included the following recommendation to the City Council in their Resolution approving the project:

"Due to the project's priority land use as a Community Priority project, its importance in setting a positive precedent for youth-oriented science education in and around the community and the project's goal of designing a LEED Silver building, every effort should be made to expedite project review through the design review and building permit review phases. This should include, but not be limited to, priority on Historic Landmarks Commission agendas, consent calendar status for approval of design plans in substantial conformance with the plans approved by

Council Agenda Report Final Community Priority Designation For Children's Museum at 125 State Street October 25, 2011 Page 3

the Planning Commission, reduced and expedited plan check turn-around times during building permit review, and regular and recurring processing oversight by the Assistant City Administrator."

In consideration of this recommendation, staff placed the item on the first available Council Agenda for the Final Community Priority allocation. Additionally, staff currently has a program to provide expedited building permit plan check for buildings that meet "green" building criteria, and this project will qualify for the expedited review.

ATTACHMENT(S): 1. Site Plan and floor plans

2. Applicant Letter dated September 23, 2011

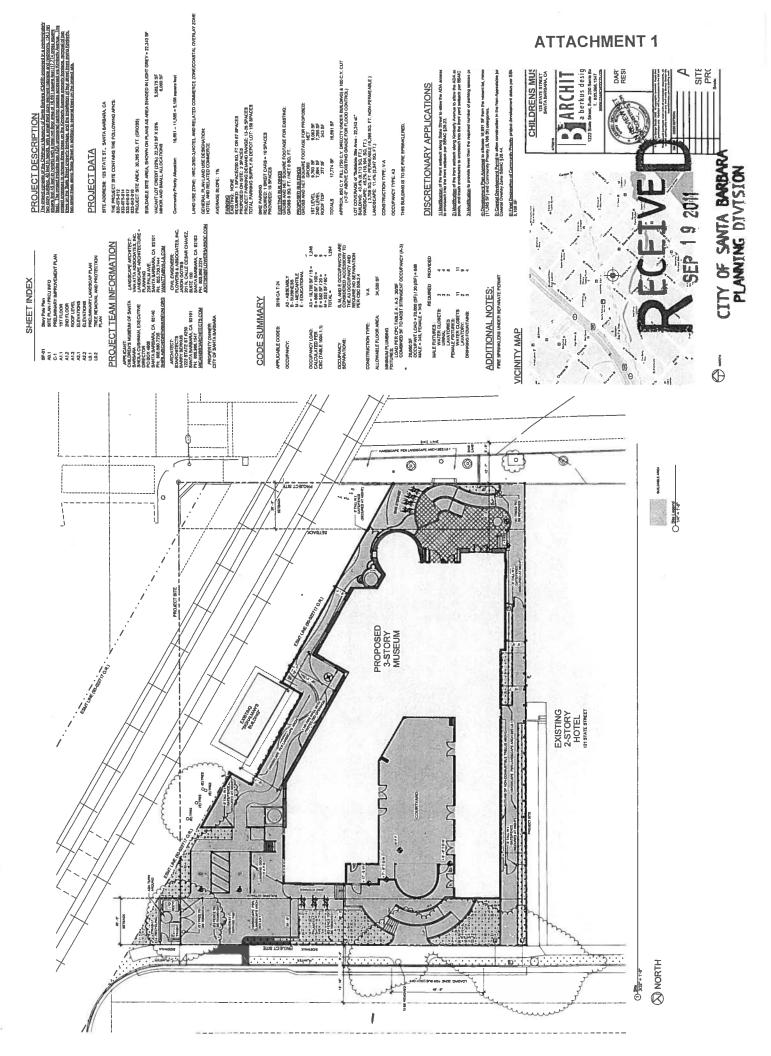
3. Community Priority Projects List

PREPARED BY: Allison De Busk, Project Planner

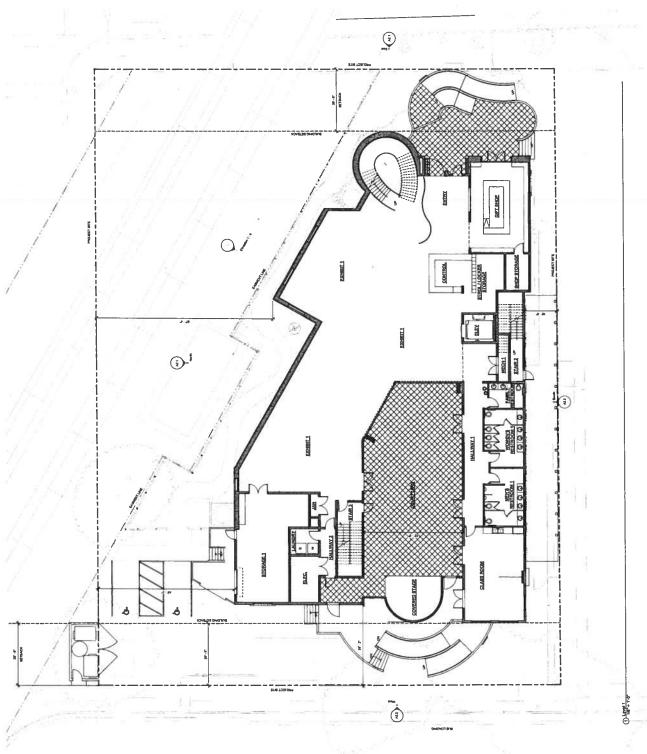
SUBMITTED BY: Paul Casey, Assistant City Administrator/ Community

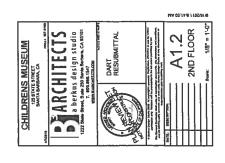
Development Director

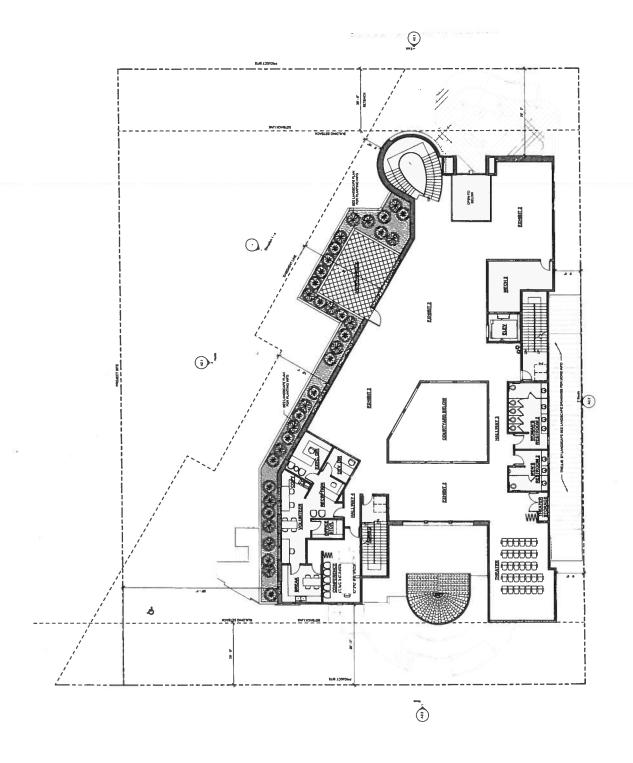
APPROVED BY: City Administrator's Office

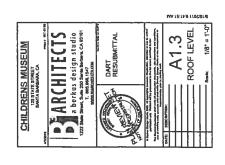


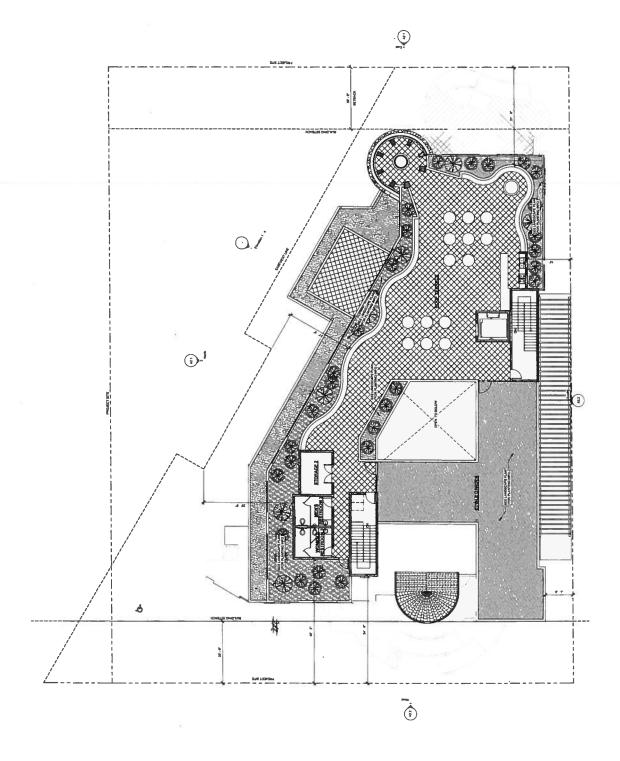










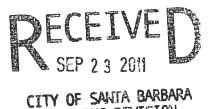


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PRINCIPAL PLANNERS SUZANNE ELLEDGE • LAUREL F. PEREZ

23 September 2011

Planning Commission City of Santa Barbara 630 Garden Street Santa Barbara, CA 93101



PLANNING DIVISION

RE: 125 State Street – Children's Museum of Santa Barbara Applicant Letter/Project Description

Dear Commissioners,

On behalf of the Children's Museum of Santa Barbara, applicants of 125 State Street, we are pleased to submit this Applicant Letter/Project Description for your review as part of the project consideration.

I. Background

Since the late 1990s, the Children's Museum of Santa Barbara (CMSB) has been seeking a suitable location to develop their museum. In 2002, the portion of the property adjacent to City Parking Lot #6, owned by the City of Santa Barbara Redevelopment Agency (RDA), was evaluated as a potential site. In fact, RDA provided a grant of \$25,000 to the CMSB to fund half of the cost of a Feasibility Study to determine the need and market for the project. The year-long study concluded that there was definitely a need and market for a children's museum. However, when construction on the Granada Parking Garage was temporarily halted late in the year, the Children's Museum Board of Directors decided to seek another location.

In June 2007, the RDA staff suggested that the CMSB consider the parcel at 125 State Street to locate the museum. In December 2007 the Children's Museum made a compelling presentation on its vision to the City Council who voted unanimously to direct Agency staff to move forward in exclusive negotiations with the CMSB for the development of a children's museum at 125 State Street.

The project site is especially suitable for the CMSB for a variety of reasons. It is centrally located in the City's Waterfront and is readily accessible to families that reside in both the lower Westside and Eastside neighborhoods by an established transportation corridor. It is also surrounded by other cultural and recreational uses in

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 2 of 14

the Waterfront that will encourage visitors to enjoy a diversity of experiences while visiting the museum. It will bring a vitality to this portion of the downtown that has been absent for a number of years due to pending development.

In acknowledgement of this project's potential of becoming a considerable community benefit, this project received a unanimous Preliminary Community Priority Designation by City Council on April 7, 2009.

II. Project Description

The subject property is an irregularly shaped lot and is bound by State Street to the east and Kimberly Avenue to the west. The property is located in the Lower State Street neighborhood of downtown Santa Barbara, and is adjacent to the Railroad Depot to the north and the newly renovated State House Hotel to the south. The project site has a buildable area of 22,343 square feet (net) and is located in the El Pueblo Viejo Landmark District (EPV). An historic "Signalman's Building1", exists on the site that is eligible for listing in the National Register of Historic Places.

The project consists of a new predominately two-story structure 40 feet in height with a small third story element (storage and restrooms, 343 net square feet and 45 feet in height) with a total net floor area of 16,691 square feet (17,774 gross square feet). This new structure will contain numerous hands-on exhibits for children, with indoor and outdoor galleries, a courtyard, and roof terrace complete with a seasonal garden. The Signalman's building will be surrounded on its south, east and west sides by a fence. The fence design will be based on the historic pipe style railings that characterize the depot complex and meet preservation guidelines. On-site parking is accessed off of Kimberly Avenue and includes two ADA compliant parking spaces and a loading area for deliveries. School bus drop off is proposed along the Kimberly right-of-way. In order for the children to have an optimum opportunity to explore, discover and learn, the museum schedule includes two school buses each day, four times a week (refer to the Traffic and Parking Analysis dated April 18, 2011 prepared by Associated Transportation Engineers for additional detail). Tour buses will not be scheduled to visit the museum. Pedestrian access is provided on both sides of the proposed structure, providing a pedestrian connection between State Street and Kimberly Avenue. Additional parking will be accommodated in the adjacent Railroad Depot parking lot via an off-site parking agreement. The project proposes

¹ Built in 1910, the Signalman's Building is set parallel to the south side of the railroad tracks. With its tile roof, stuccoed walls, and arched door openings, the building is a modest example of the Mission Revival style. The Signalman's building was placed on the City of Santa Barbara Potential Historic Structures/Sites List "after 1991" (MEA Guidelines, page 51 of 60, City of Santa Barbara, Potential Historic Structures/Sites List: 2002). The Signalman's Building was determined eligible for listing in the National Register of Historic Places in 1994.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 3 of 14

to remove three trees on the Kimberly Avenue property frontage, removal of two trees on the State Street property frontage, and the installation of four street trees along Kimberly, two street trees along State Street in addition to several trees on the project site.

The Children's Museum of Santa Barbara will provide a unique learning environment where children, families, and the community come together to learn through play. CMSB will offer services and programs for young children and their families, teens and tweens, grandparents, caregivers, and professional educators. A silver-level LEED certification is proposed as CMSB intends to highlight the sustainable elements of their building in order to demonstrate that environmental sustainability is important and achievable. The Children's Museum is planning to partner with more than 20 community organizations, including Boys and Girls Clubs, the Housing Authority, Storyteller, as well as SBCC and UCSB, to share resources and expand and enhance opportunities for children and families.

In addition to the engaging learning experiences for children, the project will also provide an on-site commemoration of the Signalman's Building by the creation of the "Signalman's Placita" which honors the building's historic function and association with the Santa Barbara Train Depot and the Southern Pacific Railroad.

III. Discretionary Approvals for Consideration

The CMSB project requires City approval of three modifications, a Development Plan Approval, a Coastal Development Permit Approval, and Final Designation of a Community Priority project. Further description is provided below:

- 1) Modification of the front setback along State Street to allow the ADA access ramp and bike posts to encroach into the front setback per SBMC §28.22.
- <u>2) Modification</u> of the front setback along Kimberly Avenue to allow the ADA access ramp, bike posts, and trash enclosure to encroach into the front setback per SBMC §28.22.
- 3) Modification to provide fewer than the required number of parking spaces per SBMC §28.90.
- 4) Development Plan Approval to allocate 16,691 square feet from the vacant lot, minor, small additions (11,585 SF) and Community Priority (5,106 SF) categories per SBMC §28.87.300.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 4 of 14

- <u>5) Coastal Development Permit</u> to allow construction in the Non-Appealable jurisdiction of the Coastal Overlay Zone SBMC §28.44.
- <u>6) Final Designation of Community Priority</u> project development status per SBMC §28.87.300.

IV. Public Benefits

As previously mentioned, the Children's Museum of Santa Barbara has been recognized as a significant community benefit as evidenced by the Santa Barbara City Council unanimous vote to grant a Preliminary Community Priority Designation and the City's redevelopment agency's decision to rent the land to the CMSB for a nominal fee. A Community Priority project must be found to meet a "present or projected need directly related to public health, safety or general welfare."

The mission and goals of the CMSB are aligned with the intent and purposes of a Community Priority project. The public benefits of such a facility are far reaching and will positively impact the entire Santa Barbara community. The following is a list of just some of the community benefits that will be provided by the CMSB.

A. A Unique Educational Opportunity for Children, Teens, Families, and the Community

- The CMSB will provide a unique educational opportunity where children, teens, parents, grandparents, and educators can come together to interact and learn in a creative and innovative environment.
- CMSB will be able to fill an important gap in our region's education system by offering activities that are not available in most classrooms.
- The Children's Museum has established relationships with youth-serving agencies and organizations, including Boys & Girls Clubs, Girls, Inc., SB Housing Authority, First 5 Santa Barbara County, Family Service Agency, Community Action Commission, Storyteller, A-OK Program, Carpinteria Main School Family Center and others. The CMSB will offer free admission for youth groups during after school hours and summer camps and will offer Free Family Passes.
- CMSB will partner with the agencies to offer meaningful activities for middle and high school students during after school hours and weekends. Each year, middle school students, recommended by the agencies, will be able to earn community service credits by volunteering at the Children's Museum and high school students, recommended by the agencies, will be eligible for paid positions as floor staff.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 5 of 14

B. Support for Schools, Teachers, and Educators

- The Children's Museum is committed to serving teachers, schools, and educators, especially those serving disadvantaged students and communities.
- Title 1 elementary schools in Santa Barbara County public schools will be eligible for discounted school field trip programs.
- Thousands of the school children that visit will receive a Free Family Pass to return to the museum with their family.
- Students at SBCC and UCSB will earn graduation credits through CMSB internships.

C. Economic Stimulus for Santa Barbara and Lower State Street

- The Children's Museum will serve as an economic stimulus to lower State Street, generating revenue for the adjacent businesses and for the City.
- Locals will increase patronage at nearby businesses and restaurants.
- Tourists will travel to Santa Barbara to visit CMSB and during their visit will patronize local hotels, restaurants, and other businesses.
- The construction and operation of CMSB will create much-needed jobs.

D. Community Model for Environmental Sustainability

- The Children's Museum will serve as an example for the community and for tourists that environmental sustainability is important and achievable and that the City of Santa Barbara is at the forefront of these efforts.
- The CMSB building is proposing to be the first LEED-certified museum in Santa Barbara and will serve as an exhibit itself, highlighting the green elements in creative and fun ways.
- CMSB green operations will set new benchmarks for environmental sustainability in the Community.
- Located conveniently near bus and shuttle routes and in close proximity to other family attractions such as the Zoo, Maritime Museum, Sea Center, Skater's Paradise, and Chase Palm Park, CMSB will reduce waterfront traffic by offering discounted admissions for visitors that don't travel in cars.

V. Environmental Considerations

The following section provides a summary of the technical studies included in the DART submittal package for evaluation of the existing site conditions relative to potential environmental impacts as a result of the proposed project.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 6 of 14

Soil Remediation

A Phase II Environmental Site Assessment (ESA) was conducted at the request of the City of Santa Barbara Redevelopment Agency by Rincon Consultants, dated July 15, 2009, as part of the City's Site Remediation project (the Phase II report is included in the DART submittal package). Said report detected the presence of elevated concentrations of total lead, STLC lead, TPH, and PNAs in the soil samples collected. A remedial action plan was developed for the property which involved excavation of soil to depths over 6 feet below grade. All excavated areas were backfilled with clean imported fill material and covered with a 4-inch thick layer of mulch, extending 3 feet beyond the disturbed area. This work was completed in August 2010.

The City Redevelopment Agency contracted with TRAK Environmental Group to provide an independent review of the project summary report prepared by Rincon Consultants, Inc. TRAK concurs with the Rincon findings that, "The remedial excavation has removed soils impacted with lead, TEPH, and PNA concentrations exceeding site cleanup goals." Additionally, the TRAK memo accurately describes the proposed Children's Museum project in that there is limited exposed soil and that the majority of the site consists of the structure and hardscape. Further, the required foundation construction methods will include a minimum of five (5) feet of compacted soil below the proposed mat foundation system. The mat foundation system also consists of 6" to 8" thick concrete slab.

Archaeological

As part of the site remediation work described above, a Phase 1 Archaeological Resources Report was required. The Phase 1 Archaeological study detected no cultural resources within the project site. There have been previous infrastructure-related disturbances within the project site since at least 1892, at various depths below grade. Recent soil remediation activities have disturbed the soil to depths of more than six (6) feet and no cultural resources were detected during and of these operations. For this and other reasons, the archaeologist has concluded that there is a low possibility for *in situ* cultural resources on the site, based on the results of archival research, field survey, previous studies, and known previous disturbances within the proposed project site.

A Letter Report Confirming No Archaeological Resources dated November 10, 2010 was prepared to analyze the proposed CMSB project. The Letter Report further confirms that no known prehistoric or historic archaeological resources will be impacted by the proposed project. No mitigation measure were recommended or required.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 7 of 14

Historical Resources

A Historic Structures/Sites Report (HSSR) was prepared by *Post/Hazeltine Associates* to evaluate the proposed project with respect to historic resources. This HSSR was accepted with comments by the Historical Landmarks Commission on February 17, 2010. The primary issue regarding the proposed building is its bulk, scale, and massing in relation to the scale of the Signalman's Building. According to this report, the features that clearly differentiate the proposed Children's Museum from the Signalman's Building are:

- The museum building would not obscure, through new construction or related development, the existing sight line from the vantage point of the Southern Pacific Train Depot towards the Signalman's Building.
- The museum has been set back a minimum of 16 feet on the first floor and 22 feet from the second floor, from the north elevation of the existing building to allow the Signalman's Building to visually read as a separate structure.
- The setting of the Signalman's Building viewed from the train depot will not be altered.

In its conclusion, the HSSR determined that the proposed construction of the Children's Museum of Santa Barbara at 125 State Street would not result in significant impacts to historic resources. The proposed project would have a Class III (less than significant) impact and it would not result in a material impairment to significant historic resources. Additionally, the HSRR provided advisory recommendations including "photo-documenting the Signalman's Building and its setting prior to its alteration, and to provide onsite commemoration of the Signalmen's Building, its history, function, and association with the Santa Barbara Train Depot and the Southern Pacific Railroad." The project incorporates each of these advisory recommendations. Please refer to Final HSSR dated March 11, 2010 which incorporates HLC comments.

Traffic and Circulation

A Traffic and Parking Analysis dated April 18, 2011was prepared by Associated Transportation Engineers (ATE). Potential traffic and parking impacts associated with the project were assessed based on operational data provided by museum staff. It is important to note that the museum intends to only have two (2) school buses scheduled each day, four (4) days a week. In this way, the children will have the best opportunity to fully experience and explore the exhibits. Tour buses will not be dropping off visitors to the museum.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 8 of 14

The operational data defines attendance patterns for visitors as well as employee/volunteer schedules for three time periods: non-summer weekdays, summer weekdays, and summer weekends. The attendance data developed by museum staff show that there will be different attendance patterns at the facility during the summer and non-summer periods. Due to the project location on lower State Street, it is anticipated that some visitors to the museum will be a result of "walkin" trips. Additionally, some children will arrive via buses or carpools from local schools and will enter the building at the Kimberly Avenue side of the museum (the public will enter the main entrance off of State Street). Bus access to the site is provided along Kimberly Avenue in the public right of way adjacent to the project site.

The attendance patterns provided by museum staff indicate that the museum would experience its peak visitation during the summer weekend period. Parking demands will range from 13-26 spaces on weekdays and 31 spaces on weekends. The project provides two (2) parking spaces adjacent to the Children's Museum building and additional parking demands will be met in the Railroad Depot parking lot via an off-site parking agreement. This parking lot is located north of the site and provides 158 parking spaces. According to data provided by City staff, this parking lot is typically 30% occupied during the weekdays and approximately 60% occupied during the weekends. As a result, there is adequate parking supply to accommodate parking space demands forecast for this project.

The project is also proposing to implement a transportation management plan (TMP) which will offer incentives to employees as well as visitors, to use public transit, or alternative means of transportation. These incentives include discounted admission, travel packages associated with Amtrak, and subsidies for staff to purchase bicycles or to carpool. Please see ATE's traffic analysis for more detailed information.

Noise

A Sound Level Assessment was conducted by David Lord, Ph.D. of 45dB.com, Acoustics Consulting in July 2009. Due to design and layout changes to the project, Dr. Lord revised his former report in May 2010. The primary Sound Level Measurement Location was selected at the northeast boundary of the site near State Street and the railroad tracks. The sound level was cross-checked at other points on the site to confirm potential noise from the distant freeway and other streets. The existing noise environment and future impacts on the proposed commercial development were determined by means of the noise measurement survey and by acoustic modeling.

According to his analysis, Mr. Lord concluded that the exterior noise levels at the first floor, second floor and roof terrace levels along the north boundary of the site and within the site are within the allowable LDN level for outdoor activity and no noise

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 9 of 14

mitigation measure are required. In addition, construction of the proposed development will result in interior LDN sound levels of less than 50 dBA. Please see the enclosed Sound Level Assessment for more information.

Grading and Drainage

The project site is relatively flat and will not require large amounts of grading or retaining walls. The existing topography of the site has less than 2% slope in any direction. The proposed project will result in a maximum slope of 5% in any direction. Minor grading of 100 cubic yards of cut and 850 cubic yards of fill is proposed in order to facilitate drainage from the site to the existing storm drain system. A Preliminary Hydrology and Storm Water Management Report has been prepared by Flowers and Associates, dated November 5, 2010, provides the pre and post-project drainage condition of the site. The proposed project improvements will be able to retain the volume difference between the pre-project and post-project 25-year, 24-hour storm event. These measures will remove the primary pollutants of concern and reduce storm water runoff from the site, thereby satisfying water quality requirements for the project. The enclosed civil plans incorporate the City's Storm Water Treatment Guidelines and Policies.

The project site is located in the 100-year floodplain and as a result will require the finished floor elevation of the structure to be at least three (3) feet above the existing grade. A base flood elevation (BFE) determination was processed and is included in the submittal package.

Visual Resources

Photo simulations have been prepared demonstrating how the proposed project may impact public view sheds (please refer to enclosed photos prepared by Todocad). The design team has made every effort to soften the proposed building's mass from every perspective by giving it whimsical character, by stepping back the second floor, and by incorporating generous landscaping around the building perimeter. The project's State Street façade is compatible with the surrounding structures in the neighborhood and creates an attractive gateway from Lower State Street into the main Downtown area.

At the HLC hearing on February 17, 2010, the HLC conducted the required compatibility analysis in order for the project to proceed into the City's formal application process. The analysis is intended to communicate to the Planning Commission that the project is appropriate in terms of its mass, bulk, and scale, that the project is compatible with the desirable architectural qualities and characteristics of Santa Barbara and the project neighborhood, and that the project

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 10 of 14

is appropriately sensitive to adjacent historic resources, in this case the Railroad Depot and Signalman's Building.

On May 11, 2011, the project team presented the landscape plan to the HLC and provided updated elevations that incorporated the comments received from the previous hearing. The Commission reiterated their comments related to size, mass, bulk, height and scale relative to neighborhood compatibility and they expressed appreciation regarding how the project had responded to the Commission's previous comments.

Taking into consideration the City's criteria for significant visual resources, it is our opinion that the project does not result in potential impacts to visual resources in that there are no significant public views from the project site or the immediate surrounding area that would be altered. Currently portion of the site contains the Signalman's Building with the remaining portion undeveloped. It is secured by a locked chain link fence located on the perimeter of the property. The view corridor to the lower foothills and Santa Ynez Mountains from State Street would not be altered; this view corridor is effectively established by State Street. The proposed building is set back from the street and is consistent with the scale of the structures surrounding the site. The view from Kimberly affords a glimpse of the Santa Ynez mountain range, but this is not considered a significant public view as Kimberly Avenue does not exhibit high volumes of either pedestrian or vehicular traffic as compared to the level of activity along State Street. Further, the project site is located outside of the visual resources map, "Visual Resources in the Coastal Zone" which delineates and qualifies view potential from various station points located along transportation corridors within the coastal zone.

In fact, the project *creates* a new visual resource by providing a public space between the Signalman's Building and the Children's Museum building referred to as the Signalman's Placita. From vantage points on either side of the Signalman's Building, a view corridor toward the mountain range will exist that does not today. Additionally, the project design incorporates an observation deck on the second floor toward the mountains, and a roof top that will have virtual panoramic City mountain and ocean views.

VI. General Plan and Zoning Consistency

The designated Land Use Zone of the project site is HRC-2/SD-3, Hotel and Related Commerce Zone/Coastal Overlay Zone. The General Plan Land Use Designation is Hotel and related commerce. The HRC-2 zone "strives to promote, maintain and protect visitor-serving and commercial recreational uses. Tourist and traveler related uses shall be encouraged in this zone" (§28.22.010). The Children's Museum of Santa

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 11 of 14

Barbara is consistent with the intent of this zone as it will serve tourist related uses, as well as the community by providing familial educational and recreational opportunities.

The proposed draft General Plan anticipates that the site will continue to be devoted to Hotel and Related Commercial Uses. According to the City of Santa Barbara Land Use Element, hotel and related commercial development would provide a business and tourist link between the central business district and the oceanfront (Land Use Element, page 6). The proposed Children's Museum has the potential of becoming a popular location in Downtown Santa Barbara, and could provide a physical link from tourists' oceanfront-oriented activities and into the central business district.

The proposed project also meets the intention of the General Plan's goals with respect to Parks and Recreation by way of a "Special Use Facility". Such facility "provides space for a single activity, although it may accommodate several closely related activities and is not generally considered as part of the park system, even though it may provide a type of recreational activity. Examples include: [...] museum. Such a special use facility does not include the necessary ingredients to qualify as a park and therefore is developed in addition to and for the purpose of supplementing the park and recreation program" (City of Santa Barbara Land Use Element, pg. 23). Because the City is deficient in neighborhood and community parks, special use facilities such as the proposed Children's Museum of Santa Barbara, helps to satisfy the intention of the City's park and recreation program.

As mentioned above, the project site has a General Plan land use designation of Hotel Related Commerce. According to the DRAFT General Plan, said project site will be designated as "Ocean Related Commercial/Medium High Density Residential", which is described as follows:

"This designation is applied to much of the hotel and limited residential areas between Cabrillo Boulevard and the freeway, with a residential density of 15-25 units per acre. The areas bordering Cabrillo and Castillo Street do not allow residential uses and allow primarily hotels and motels as well as other auxiliary uses for hotel guests [...]. The existing zoning varies between HRC-1, HRC-2 [...] and O-C. [...] The area below the railroad tracks [...] is zoned for primarily ocean dependent and ocean oriented uses, commercial recreational uses, arts and related uses, restaurants, and small stores" (Emphasis added).

The CMSB project is consistent with the existing and proposed General Plan land use designation as it would provide arts and related uses and other auxiliary uses for hotel guests.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 12 of 14

VII. Modification Requests

Front Setback

The subject site is a through-lot and is subject to two front setback requirements as there are two property lines that are parallel to a public street. This type of configuration is typically recognized as a site constraint relative to fulfilling development standards. The proposed project requires two modification requests to allow ADA access ramps, bike posts and stairs to encroach into both front setbacks.

The first modification request is to allow the ADA access ramp, bike posts and the trash enclosure to encroach in the front setback along the Kimberly Avenue frontage. This modification request is appropriate given the recognized site constraint of two front setback requirements and that the provision of the ramp fulfills ADA accessibility compliance by providing an ADA-accessible path of travel and entry. Further, the proposed development would provide visual relief from the public right-of-way on Kimberly Avenue as the building itself is setback 20 feet and meets the required front yard setback with the proposed planters providing additional visual buffer.

The second modification request is to allow the ADA access ramp and bike posts to encroach into the front setback along State Street. This modification request is appropriate given that: a) it assists in the fulfillment of ADA accessibility compliance by providing an ADA-accessible path of travel and entry; and, b) the base flood elevation requires that the finished floor elevation to be above the existing grade. Additionally, the building façade is setback more than 20 feet thereby meeting setback requirements while providing visual relief from the public right-of-way.

<u>Parking</u>

As described above, the project parking demand ranges from 13-26 spaces on weekdays and 31 spaces on weekends. The project site is constrained in that it is irregularly shaped and it contains a significant historic resource, the Signalman's Building which necessitated a considerable buffer between the structures. Based on data provided by City staff the Depot parking lot is typically 30% occupied during the week and during the weekend the lot is 60% occupied. The project requires a parking modification; however, the parking supply in the adjacent parking lot provides adequate parking to meet the project demands. The project provides two (2) parking spaces adjacent to the Children's Museum building and additional parking demands will be met in the Railroad Depot parking lot via an off-site parking agreement. We also expect that museum visitors would park once and visit other businesses and points of interest in the vicinity including Stearn's Wharf, Chase Palm

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 13 of 14

Park, the Harbor, and area restaurants which is consistent with many of the Circulation Element Policies and Implementation Strategies for parking in and around the Coastal Zone relative to alternative approaches to meeting parking demand without impacting public spaces available for shoreline and beach access.

VIII. Project Justification and Findings

The CMSB would not only provide a visitor-serving use (which is consistent with the goals of the City's Local Coastal Plan), but it would a benefit to the local community. With the approval of the proposed zoning modifications, the project would be consistent with the zoning ordinance and will serve as an example of sound community planning. Additionally, the project would be subject to additional review and approval by the Historic Landmarks Commission in accordance with the Commission's design, mass, bulk, scale and neighborhood compatibility standards.

The project is also consistent with new visitor serving development in the Coastal Zone in that it creates a public view corridor on a property that is not accessible, it provides an open space area adjacent to the Signalman' building, and incorporates walkways on both sides of the building for pedestrian circulation between State Street and Kimberly Avenue. The project includes bike racks and has incorporated incentives for alternative means of transportation in order to minimize circulation impacts.

The proposed project meets all required findings for both Coastal Development Permit and Development Plan approvals according to SBMC §28.44.150, and §28.87.300. The project is consistent with all applicable policies and guidelines of the City's Local Coastal Plan as well as the California Coastal Act. The project is also consistent and compatible with the size, bulk and scale of its immediate surroundings as evidenced by comments made by the Historical Landmarks Commission. The CMSB project does not result in any adverse impact to water resources, traffic, or the affordable housing stock in the area.

The mission and goals of the CMSB are aligned with the intent and purposes of a Community Priority designation. A Community Priority project must be found to meet a "present or projected need directly related to public health, safety or general welfare." The mission of the Children's Museum is to provide unique learning environment where children, families, and the community come together to learn through play. It is clear that the general welfare of the community will benefit by providing experiences and opportunities where children, teens, parents, grandparents, and educators can come together to interact and learn in a creative and innovative environment.

Applicant Letter/Project Description Children's Museum of Santa Barbara 23 September 2011 Page 14 of 14

This concludes our Applicant Letter/Project Description as part of the Children's Museum of Santa Barbara project consideration. Please do not hesitate to call me or any of the project team if you have any questions or require additional information related to our submittal.

On behalf of the applicant and project team, we thank you for your consideration of this project.

Sincerely,

SUZANNE ELLEDGE

PLANNING & PERMITTING SERVICES

Trish Allen, AICP Senior Planner

PROJECTS WITH PRELIMINARY OR FINAL COMMUNITY PRIORITY DESIGNATIONS

Project/Address	PRELIM. DESIG. (SQ. FT.)	FINAL DESIG. (SQ. FT.)	STATUS/ COMMENT
Boys & Girls Club Addition 602 W Anapamu Street MST2002-00786	4,800		Initial application 1990; potential - working on revised
Housing Authority 702 Laguna Street MST92-00043		4,550	Completed
Natural History Museum 2559 Puesta Del Sol MST92-00608		2,165	Completed
Airport Fire Station 40 Hartley Place MST92-00746		5,300	Completed
Santa Barbara Zoo 500 Niños Drive MST95-00330		210	Completed
Desalination Plant 525 E. Yanonali Street MST95-00425 (MST90-00360)		528	Completed
Santa Barbara Rescue Mission 535 E. Yanonali Street MST96-00228		7,213	Completed
Airport Master Plan 601 Firestone Road MST96-00355		12,557*	Airline Terminal expansion; portion or all may be considered for
Airport Master Plan 601 Firestone Road MST96-00355		50,000*	Economic Development category at later date
Rehabilitation Institute 2405 and 2415 De la Vina Street MST97-00196		9,110	Completed
Visitor Information Center - Entrada de Santa Barbara 35 State Street MST97-00357		2,500	Approved 8/21/01
Santa Barbara Harbor Restrooms 134 Harbor Way MST97-00387		1,200	Completed
Airport Terminal Expansion (trailers) 500 Fowler Rd. MST97-00392		2,300	Completed

Project/Address	PRELIM. DESIG. (SQ. FT.)	FINAL DESIG. (SQ. FT.)	STATUS/ COMMENT
Waterfront Department Offices 132 Harbor Way MST97-00503		3,240	Completed
Transitions Preschool 2121 De la Vina Street MST97-00696		723	Completed
S.B. Maritime Museum 113 Harbor Way MST97-00832		2,805	Completed
Santa Barbara Cottage Hospital (Hospitality House) 2407-2409 Bath Street MST98-00042		4,158	Completed
MacKenzie Park Lawn Bowls Clubhouse 3111 State Street MST98-00076		763	Completed
Cottage Hospital 320 West Pueblo Street MST98-00287		980	Completed
The Full Circle Preschool 509 West Los Olivos Street MST98-00231		832	Completed
Storyteller Children's Center 2115 State Street MST98-00364		2,356	Completed
Free Methodist Church 1435 Cliff Drive MST98-00877		2,544	Completed
Salvation Army 423 Chapala Street MST99-00014		2,968	Completed
Homeless Day Center and Shelter 816 Cacique Street MST99-00432		10,856	Completed
Emmanuel Lutheran Church 3721 Modoc Road MST99-00510		8,120	Completed
Marymount School 2130 Mission Ridge Road MST99-00542	ŀ	4,000	Completed
Parking Lot 6 – Granada Theater 1221 Anacapa MST1999-00909/MST2003-00908		7,810	Completed

Project/Address	PRELIM. DESIG. (SQ. FT.)	FINAL DESIG. (SQ. FT.)	STATUS/ COMMENT
Planned Parenthood 518 Garden Street MST1999-00916		3,565	Completed
Sea Center 211 & 213 Stearns Wharf MST2000-00324		3,212	Completed
Santa Barbara Zoo 500 Ninos Drive MST2000-00707 (& MST2002-00676)	ā	10,000	Final Designation 4/10/2007
Clean Water and Creeks Restoration Office 620 Laguna Street MST2000-00828		480	Completed
Elings Park 1298 Las Positas Road MST2001-00007/MST2006-00509	12,190		Draft EIR stage
Braille Institute 2031 De la Vina Street MST2001-00048		4,000	Completed
Modular Classrooms at Boys & Girls Club 632 E. Canon Perdido Street MST2001-00150		6,502	Completed
Cater Water Treatment Plant 1150 San Roque Road MST2001-00732		6,750	Completed
Santa Barbara Neighborhood Medical Clinics 915 North Milpas Street MST2001-00774	1	2,518	Completed
632 E. Canon Perdido St. Boys and Girls Club MST2002-00786 MST2008-00563	7,600		Preliminary Designation 7/15/03
617 Garden St. Mental Health Assoc. MST2002-00257		2,703	BP Issued 11/17/06
4000 La Colina Rd Bishop Diego High School MST 2004-00673		9,512	Final Designation 12/20/2005
540 W Pueblo St Cancer Center MST2007-00092		5,845	Final Designation 7/13/2010
125 State St Children's Museum MST2009-00119	2,500		Preliminary Designation 4/7/2009

Project/Address	PRELIM. DESIG. (SQ. FT.)	FINAL DESIG. (SQ. FT.)	STATUS/ COMMENT
602 Anacapa St Antioch University MST2011-00145			Final Designation 8/23/2011
SUBTOTALS:	27,090	207,546	
ALLOCATED TO DATE: 234,636 SQ. FT. REMAINING UNALLOCATED: 65,364 SQ. FT.			

ORDINANCE NO.	
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AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA APPROVING A TEN-YEAR LEASE WITH TWO FIVE-YEAR OPTIONS WITH GREYHOUND LINES, INC., TO LEASE THE CITY OWNED BUILDING AT 224 CHAPALA STREET FOR A PASSENGER BUS SERVICE FACILITY, EFFECTIVE NOVEMBER 17, 2011

THE COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. In accordance with the provisions of Section 521 of the Charter of the City of Santa Barbara, An Ordinance of the Council of the City of Santa Barbara approving a ten-year lease with two five-year options with Greyhound Lines, Inc., to lease the City owned building at 224 Chapala Street for a passenger bus service facility, effective November 17, 2011, is hereby approved.

Agenda Item No._

File Code No. 430.08



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Add Public Employee Retirement System Cost Sharing Alternatives

To Memorandum Of Understanding

RECOMMENDATION: That Council:

A. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the 2010-2013 Police Officers Association Memorandum Of Understanding to Include an Alternative Public Employee Retirement System Cost Sharing Scenario;

- B. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the 2011-2013 Patrol Officers' and Treatment Plants' Bargaining Units (Treatment and Patrol Units) Memorandum Of Understanding to Include an Alternative Public Employee Retirement System Cost Sharing Scenario; and
- C. Introduce and subsequently adopt, by reading of title only, An Ordinance of the Council of the City of Santa Barbara Amending the 2009-2012 Supervisory Employees' Bargaining Unit Memorandum Of Understanding to Include an Alternative Public Employee Retirement System Cost Sharing Scenario.

EXECUTIVE SUMMARY:

Under the current Labor Agreement with the Police Officers Association, employees participate in California Public Employees Retirement System (PERS) retirement costsharing. There are three methods to accomplish cost-sharing. Members of the POA wished to continue to pay their contribution through the method that has been used since January 2011, rather than switching to a different method effective September 24, 2011, as provided under the Memoranda Of Understanding (MOU). Since there is no difference to the savings that the City will achieve under this method, staff recommends amending the POA MOU to allow this method.

Council Agenda Report
Add Public Employee Retirement System Cost Sharing Alternatives To Memorandum Of
Understanding
October 25, 2011
Page 2

DISCUSSION:

PERS Cost Sharing Methods

There are three ways to accomplish employee retirement cost-sharing through PERS:

- Method #1: The first way is for the employee to contribute toward the employee's 9% PERS member contribution. This reduces both the City's employer-paid member contribution (EPMC) and the cost of the PERS-on-PERS roll-up benefit, under which the EPMC is treated as additional compensation for retirement calculation purposes. Of the three methods, this method requires the lowest employee contribution to achieve similar City savings and can be applied to different bargaining units separately. However, this negatively affects the employee's retirement calculation by reducing the reported single highest year of compensation.
- Method #2: The second way is to share part of the cost of the 2001 3% at 50 benefit enhancement by amending the PERS contract pursuant to Government Code Section 20516(a), which reduces the City's required employer contribution. The advantage to employees of using this method is that it does not affect the PERS-on-PERS roll-up benefit, the contributions can be made on a pre-tax basis, and contributions are credited to the member's account and refundable in the event the member does not retire under the PERS system. Because the PERS-on-PERS roll-up benefit is not affected, in order to achieve similar City savings, employees must contribute a higher percentage amount than under the first method to achieve the same City savings.
- Method #3: The third way is for employees to reimburse the City directly for part of the cost of the 2001 3% at 50 benefit enhancement, as contemplated under state Government Code Section 20516(f). This does not affect the PERS-on-PERS roll-up benefit, but must be done on a post-tax basis and must be done completely outside of the PERS. As with the second method, in order to achieve similar City savings, employees must contribute a higher percentage amount than under the first method.

Proposed MOU Change

Employees in the POA have been paying toward their PERS under Method #1 since January 2011. At the time the MOU was negotiated, it was hoped that Method #2 could be implemented by September 24, 2011 so that the reduction in the reported single highest year of compensation could be avoided.

Council Agenda Report
Add Public Employee Retirement System Cost Sharing Alternatives To Memorandum Of
Understanding
October 25, 2011
Page 3

However, Method #2 requires the other affected bargaining units under the City's Police Safety PERS plan to agree to contribute equally, and requires a member election of participants. The following employee groups are under the PERS Police Sworn pension plan:

Police Officers Association (Sworn only)
Police Managers Association
Treatment and Patrol Units, SEIU Local 620 (Harbor Patrol only)
Supervisors Association (Harbor Patrol only)
Unrepresented Police Managers

The City agreed to approach each of the other employee groups in the PERS Police Safety Plan and ask them to agree to conduct a contract amendment election under California Government Code § 20516(a), and to pay the required deductions if the election were successful. The MOU stipulated that no election would occur until other affected employee groups agreed to participate in a manner that would not increase overall costs to the City. The City negotiated agreements with the Patrol Unit and the Supervisors Association that would allow the election to proceed. However, after multiple meetings with the Police Management Association (PMA), negotiators were not able to agree for PMA members to participate in a manner that would not increase overall costs to the City.

The City and the POA's original agreement provided that, if for some reason Method #2 could not be implemented by September 2011, employees would begin making a contribution under Method #3 instead. This would avoid the reduction in reported single highest year of compensation.

However, since then, the POA has asked the City to consider allowing employees to continue paying under Method #1 rather than switching to Method #3. Although this will not avoid the reduction in employees' reported single highest year, it will require a lesser deduction from employee pay to achieve the needed City savings (2.226% rather than 3%), and it will allow deductions to be made on a pre-tax basis.

Since this can be done with no difference to the savings that the City will achieve, staff recommends amending the POA MOU to allow this. Other bargaining units will participate by contributing under Method #3 as planned. Slight modifications to the Treatment and Patrol MOU and the Supervisors MOU must be implemented to allow these groups to participate in a manner different from the POA.

Correction of Under Deduction

Under the agreement with the POA, employees were to have paid 3% of the 9% employee contribution for the period between June 2011 and September 23, 2011. Due to a typo in the MOU document, employees only paid 2.5% during that three-month period. Rather than do a single retroactive deduction to correct this, under the revised

Council Agenda Report Add Public Employee Retirement System Cost Sharing Alternatives To Memorandum Of Understanding October 25, 2011 Page 4

agreement employees will continue to pay 2.5% through April 2012, and then the deduction will be reduced to 2.266%.

BUDGET/FINANCIAL INFORMATION:

This change will not affect the budgeted value of concessions from employees.

PREPARED BY: Kristine Schmidt, Employee Relations Manager

SUBMITTED BY: Marcelo Lopez, Assistant City Administrator

APPROVED BY: City Administrator's Office

ORDINANCE NO.

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING THE 2010-2013 POLICE OFFICERS ASSOCIATION MOU TO INCLUDE AN ALTERNATIVE PERS COST SHARING SCENARIO.

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. The Memorandum of Understanding between the City of Santa Barbara and the Santa Barbara Police Officers Association entered into as of July 1, 2010 and adopted as Ordinance No. 5538 (the "Agreement") is hereby amended as follows:

Effective September 23, 2011, Article 35 c (Retirement) is modified as follows:

- A. Effective September 24, 2011, sworn employees will pay 3.0% of PERS-able compensation to share the cost of the 3% at 50 retirement benefit as allowed under CA Govt Code § 20516(a), subject to the following:
 - 1. Upon ratification of this Agreement, the City shall begin the process necessary to implement a contract amendment to allow employee contributions toward retirement through CA Govt Code § 20516(a) with a target effective date of September 24, 2011. All reasonable efforts will be made by both parties to finalize procedures necessary to implement these payments effective September 24, 2011.
 - 2. The City will approach each of the other employee groups in the PERS Police Safety Plan and ask them to agree to conduct an election under CA Govt Code § 20516(a), and to pay the required deductions if the election is successful. No election will occur until other affected employee groups agree to participate in a manner that will not increase overall costs to the City.
 - 3. In the event deductions under Govt Code §20516(a) cannot be implemented by the September 24, 2011 effective date, such as because other bargaining units have not yet agreed or because the PERS election is unsuccessful, then employee cost-sharing will be accomplished by employees continuing to pay 2.5% of the 9% employee's portion of the PERS contribution rate (with the City paying the remaining 6.5%) through April 20, 2012. This amount will be reduced to 2.266% of the 9% employee's portion of the PERS contribution rate (with the City paying the remaining 6.734%) effective April 21, 2012. Thereafter, the City will conduct an election under Government Code §20516(a) only if the parties mutually agree to conduct such an election. in the interim through post-tax payroll deductions in the manner contemplated by Govt Code §

- 20516(f). Such payments will not be credited under the retirement system.
- 4. Effective June 29, 2013, employee contributions to PERS under this section will cease unless extended by mutual agreement between the City and the Association.

ORDINANCE NO.

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING THE 2011-2013 PATROL OFFICERS' AND TREATMENT PLANTS' BARGAINING UNITS (TAP UNITS) MOU TO INCLUDE AN ALTERNATIVE PERS COST SHARING SCENARIO.

THE CITY COUNCIL OF THE CITY OF SANTA BARBARA DOES ORDAIN AS FOLLOWS:

SECTION 1. The term of the Memorandum of Understanding between the City of Santa Barbara and the Service Employees' International Union, Local 620, Airport and Harbor Patrol Officers' and Treatment Plants' Bargaining Units, effective as of January 1, 2011, and adopted by Ordinance No 5554, is hereby amended as follows.

Effective September 23, 2011 Article 45.b.5. (Retirement, Harbor Patrol Safety Employees) is amended as follows:

- 5. Notwithstanding the above, harbor patrol officers will temporarily participate in PERS cost-sharing as follows:
 - a. Effective June 18, 2011, sworn employees will participate in retirement costsharing by paying 3.0% of earnings to the City through post-tax payroll deductions in the manner contemplated by Govt. Code § 20516(f). Such payments will not be credited under the retirement system. Such payments will not affect the City's payment of the 9% EPMC.
 - b. Effective September 24, 2011, employees will begin to cost share pursuant to Govt. Code § 20516(a) or 20516 (f) in the same amount and through the same method as City of Santa Barbara Police Officers under the labor agreement applicable to those positions, or if a PERS contract amendment under Govt. Code § 20516(a) is not in place, post-tax payroll deductions will continue as in part "a" of this section. The parties acknowledge that cost-sharing under a Section 20516(a) PERS contract amendment may not exceed the PERS actuarially determined limit of 13.095% until July 14, 2021 and 4.460% thereafter.
 - c. In the event that cost-sharing deductions for Police Officers cease for any reason before December 31, 2013, Harbor Patrol employees will continue to cost share at 3% of earnings through post-tax payroll deductions directly to the City in the manner contemplated by Govt. Code § 20516(f), which will continue until December 31, 2013, unless extended by agreement.

ORDINANCE NO.

AN ORDINANCE OF THE COUNCIL OF THE CITY OF SANTA BARBARA AMENDING THE 2009-2012 SUPERVISORY EMPLOYEES' BARGAINING UNIT MOU TO INCLUDE AN ALTERNATIVE PERS COST SHARING SCENARIO.

SECTION 1. The Memorandum of Understanding between the City of Santa Barbara and the Santa Barbara City Supervisory Employees Bargaining Unit, Ordinance No. 5484, is hereby amended as follows:

Effective September 23, 2011Section 2.a.iii, 2 of the Supervisors Supplementary Agreement, adopted by Ordinance No. 5555, is amended as follows:

- <u>iii.</u> Sworn to pay 3% of salary to PERS: Sworn employees in the Police Safety Plan (currently just the Harbor Patrol Supervisor) will contribute toward PERS pension benefits, as follows:
 - Effective June 18, 2011, sworn employees will participate in retirement cost-sharing by paying 3.0% of earnings to the City through post-tax payroll deductions in the manner contemplated by Govt Code § 20516(f). Such payments will not be credited under the retirement system. Such payments will not affect the City's payment of the 9% EPMC.
 - 2. Effective September 24, 2011, employees will begin to cost share Govt Code § 20516 in the same amount and through the same method as City of Santa Barbara Police Officers under the labor agreement applicable to those positions, or if a PERS contract amendment under Govt. Code § 20516(a) is not in place, post-tax payroll deductions will continue as in part "a" of this section.

Agenda	Item	No.

File Code No. 170.01



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Annual Performance Management Program Report For Fiscal Year

2011 And Comparative Indicators Report

RECOMMENDATION: That Council:

A. Receive a status report on the City's performance management program and a summary of department performance highlights for Fiscal Year 2011; and

B. Receive a report on how the City of Santa Barbara compares with other California communities on key indicators.

DISCUSSION:

In 2002, the City of Santa Barbara implemented a performance management system to promote long-term planning, and improve program efficiency and effectiveness. The management system consists of program owners developing performance objectives each fiscal year, monitoring progress through regular status reports, and assessing progress on the objectives as part of the management performance evaluations. Through this process the City is able to plan and prioritize work, evaluate organizational effectiveness, identify opportunities for improvement and align program goals with City Council's goals for the organization.

In Fiscal Year 2011 there were 792 objectives reported on with 659 (83%) of those being achieved. Attachment 1 summarizes some of the highlights and challenges for 2011 by area of focus including: financial management, employee safety, adherence to state and federal guideline, timeliness of service, environmental leadership and special projects. Some of the more challenging and complex projects have carried forward into Fiscal Year 2012.

Monthly reports on key management indicators are provided to managers and supervisors to maintain performance awareness at a program level. Key indicators include: sick leave, lost hours due to injury, vehicle collisions, work schedules, and timeliness of completing employee evaluations. Hours lost due to injury in 2011 were 19,886 hours which is increase from all time low achieved in 2010 of 12,438. In response to the increase, departments have evaluated their training programs and

Council Agenda Report
Annual Performance Management Program Report For Fiscal Year 2011 And
Comparative Indicators Report
October 25, 2011
Page 2

made improvements. Additionally, managers and supervisors achieved an 86% rate of employee evaluations completed on time and employee sick leave hours and average sick leave remained flat for the third year in a row.

Also included in this report is the Annual Comparative Indicators Report. This report compares Santa Barbara with 10 other California communities. Comparing benchmarks between cities provides a starting point for Council and staff to evaluate the effectiveness and efficiency in providing services relative to other communities. Attachment 2 is a snapshot of how the City compares in six areas for Fiscal Year 2012: General Demographics, Financial, Public Safety, Library, Parks and Public Works. The ten communities that were selected are: Santa Cruz, Redondo Beach, Newport Beach, Santa Monica, Carlsbad, Berkeley, City of Ventura, Sunnyvale, Oceanside and Huntington Beach. These cities were selected because they are mainly coastal communities, have similar demographics, provide similar services and are close in population and land size.

ATTACHMENT(S): 1. Fiscal Year 2011 Performance Highlights and Performance

Objectives Not Met

Fiscal Year 2012 Comparative Indicators Report
 Fiscal Year 2012 Ten Cities Comparative Graphs

4. Fiscal Year 2012 Ten Cities Data Tables

PREPARED BY: Lori Pedersen, Administrative Analyst

SUBMITTED BY: James L. Armstrong, City Administrator

APPROVED BY: City Administrator's Office

<u>Department</u>	Total Objectives	Percent of Objectives Achieved
Administrative Services	27	63%
Airport Department	55	82%
City Administrator's Office	15	100%
Community Development Department	81	89%
Finance Department	102	81%
Fire Department	41	90%
Library Department	24	75%
Parks and Recreation Department	136	82%
Police Department	94	81%
Public Works Department	169	83%
Waterfront Department	44	95%
Total	792	83%

1.) FINANCIAL MANAGEMENT		
Department	Program	Highlight
Airport	Business and Property Management	Exceeded annual lease revenue target by 5.4% through effective management of commercial and industrial lease assets and received 97% of the base rents on time.
City Administrator's Office	Administration	Exceeded General Fund revenue estimates by \$1.5 million.
Finance	Revenue & Cash Management	Provided quarterly comprehensive financial reviews to the Finance Committee and Council.
Finance	Licenses & Permits	Identified 236 unlicensed businesses using Franchise Tax Board, State Board of Equalization records and periodicals, resulting in the collection of \$21,450 in license fees.
Public Works	Engineering Services	Limited Public Works change orders for capital improvement to an average of 5% of the total value of construction projects awarded.
Public Works	Street Sweeping	Competitively bid the residential and commercial street sweeping contracts resulting in a 16% saving in the residential contract and 7% saving in the commercial contract.
Waterfront	Property Management	Collected 98.5% of base rents by the due date.

2.) NEW REVENUE		
Department	Program	Highlight
Fire	Fire Administration	Developed a HazMat Cost Recovery Program to charge mitigation fees to the responsible party for the deployment of emergency services delivered by the Fire Department. Fees will be implemented in FY 12.
Parks and Recreation	Creeks	Applied for and received \$1,000,290 in grant funding from the California Department of Fish and Game for the Mission Creek Fish Passage Project.
Parks and Recreation	Parks and Recreation	Received \$221,325 from various grants for facility projects and recreation programs.
Parks and Recreation	Golf	Golf concessionaire revenue increased by 12% to \$309,527.
Police	Traffic	Received \$139,000 from the Office of Traffic and Safety for DUI enforcement.
Public Works	Alternative Transportation	Received \$400,000 from the Highway Safety Index Program.

3.) EMPLOYEE SAFETY		
Program	Highlight	Highlight
Finance	Risk Management - Workers Compensation	The use of modified duty placement rate was 94%; reducing Temporary Total Disability (TTD) payments by \$530,472.

3.) EMPLOYEE SAFETY		
Program	Highlight	Highlight
Fire	Operations	Provided 25,629 hours of training to reduce injuries and improve performance.
Public Works	Fleet Management	Completed 100% of inspections and certifications for aerial equipment, youth buses, and commercial vehicles.

4.) IMPROVED SERVICE TO PUBLIC		
Department	Program	Highlight
Community Development	CDBG and Human Services	Provided \$7.6 million in Redevelopment Agency, State, and Federal funds for affordable housing projects, an increase of \$3.4 million from FY '10.
Finance	Billing	Notified 100% of Extraordinary Water Use applicants of credit determination within 45 days of receipt of application.
Finance	Billing and Customer Service	Issued 98% of refunds for closed water accounts within 30 days.
Police	Traffic	Maintained the number of DUI traffic collisions below the three- year average.
Police	Tactical Patrol Office	Criminal offenses in the downtown corridor were below the most recent two year average.
Public Works	Traffic Operations	Maintained the service level at 97% of identified signalized intersections at Level C (SBCAG Congestion Management Program) during peak hours, up from 95% in FY 10.

5.) PREVENTATIVE MAINTENANCE		
Department	Program	Highlight
Fire	Wildland Fire Mitigation	Completed 17 miles of road clearance in the Wildland Fire Suppression Benefit District and four miles in the High Fire Hazard Area.
Parks and Recreations	Grounds and Facilities	Inspected Skater's Point skateboard park daily for abnormal wear, graffiti and vandalism.
Police Department	Range and Equipment	Cleaned 100% of long rifles.
Public Works	Communications Systems	Maintained the Combined Communications Center (9-1-1) at 100% operational readiness and completed 100% of the preventative maintenance work orders.
Public Works	Water Distribution	Exercised 48% (3,613) valves in the water distribution system exceeding the target by 13%.
Public Works	Wastewater Collection	Implemented a new, system-wide, sewer main preventive maintenance cleaning program resulting in a significant reduction in overflow incidents.

Department	Program	Highlight
Public Works	Wastewater Collection	Inspected 146 restaurants for compliance with grease trap maintenance requirements; reducing the impact grease has on the City's wastewater collection system.
Public Works	Water Treatment	Performed 100% of the preventative maintenance for the Cater Water Treatment plant and the C. Meyer Desalination Facility.
Waterfront	Facilities Maintenance	Achieved 90% in service rate for the Harbor Patrol fleet through preventive maintenance and services.

6.) ACCURACY		
Department	Program	Highlight
Administrative Services	Information Systems	Maintained 99.9% uptime of the City's Wide Area Network, Financial Management System and the Geospatial Data Browser and servers.
Community Development	Housing Development and Preservation	Certified 98% of affordable rental units and 100% of owner- occupied units for compliance with the City's affordability and occupancy requirements.
Finance	Payroll	Processed the biweekly employee payroll accurately 99.97% of the time.
Fire	Aircraft Rescue and Firefighting	Completed 100% (74) of the building and fuel handling annual inspections.
Police	Information Technology	Maintained critical systems availability at 99.99%
Police	Property Room	Received and processed 18,770 items and conducted quarterly audits of the Property Room.
Police	Crime Lab	Tested and calibrated weekly a 100%of the Drager E-PAS intoxillizer devises used in Driving Under the Influence investigations.

7.) TIMELINESS OF SERVICE		
Department	Program	Highlight
Administrative Services	City Clerk	Completed 100% (1,569) of Customer Service Requests within 2 working days or by the requested deadline.
Administrative Services	City Clerk	Filed 99% (455) Statements of Economic Interests on time.
Airport	Certification and Operations	Responded to 95% (19/20) of emergency response drills within FAA time requirements.
City Administrator's Office	Administration	Ensured that 90% of citizens' service requests were responded to within five working days.

7.) TIMELINESS OF SERVICE		
Department	Program	Highlight
Community Development	Records, Archives, and Clerical Services	Delivered a 100% (39,154) of building and planning file documents and commercial plan view requests on-time. The number or requests increased by 7% from FY 10.
Community Development	Building Inspection and Code Enforcement	Completed a 100% (11,527) of building inspections on the day scheduled for permitted work. The number of inspections increased by 4% from FY 10.
Finance	Accounts Payable	Issued 100% of vendor payments within 24 hours of receipt from departments.
Finance	Risk Management – Liability	Completed 99% of claim investigations within 45 days.
Fire	Operations	Achieved an average fire emergency response time of three minutes three seconds.
Fire	Aircraft Rescue and Firefighting Operations	Responded to 100% (38) of the emergencies in the aircraft operational area within three minutes.
Fire	Fire Prevention	Conducted 100% (272) of new construction related inspections within two working days of request. The number of inspections increased by 46% from FY 10.
Library	Support Services	Made new books available to patrons within 7.7 days of receipt from vendor.
Parks and Recreation	Forestry	Acted on 100% (32) of tree ordinance violations within 30 days of reporting date. The number of violation reports increased by 18% from FY 10.
Police	Combined Communications Center	Answered 9-1-1 calls for service within an average of 3.5 seconds.
Police	Animal Control	Responded to 100% (3,224) of animal control cases within 24 hours.
Police	Patrol Division	Maintained an average response time to Priority One Emergency calls at six minutes twenty seconds.
Waterfront	Marina Management	Processed 97% of slip trades, transfers, live-aboard permits within 10 working days of application.
Waterfront	Harbor Patrol	Responded to 100% (89) of in-harbor emergencies within five minutes.

Department	Program	Highlight
Airport	Marketing and	Captured 63.7% of the air service market for San Luis Obispo,
·	Communications	Santa Maria and Santa Barbara.
City Administrator's Office	Administration	Maintained frequent communication with community leaders via the City Administrator's Report and annual State of the City Report.

8.) EXCELLENCE IN PUB	BLIC OUTREACH AND MAR	KETING
Department	Program	Highlight
City Administration	City TV	Maintained a 100% Channel 18 broadcast system uptime.
City Administration	City TV	Televised 271 public meetings totaling 672 hours.
Community Development	City Arts Advisory	Produced 7 events in the Cultural Arts District including: Free Movie Nights in the Courthouse Sunken Garden, City Arts Grant Reception, and sculpture exhibitions at Jardin de Las Granadas.
Community Development	CDBG/Rental Housing Mediation Task Force	Provided 1,271 residents with information about mediation services.
Community Development	Long Range Planning and Special Studies	Completed public review and submitted to Council, as part of the Plan Santa Barbara process, the Land Use Element and Map and the Housing Element.
Parks and Recreation	Business Services	Increased recreation registrations by 10% to 11,368 registrations through marketing and innovative promotions.
Parks and Recreation	Facilities	Successfully planned and coordinated City-sponsored special events; Fiesta, Summer Solstice, Oak Park Ethnic Festival and 4 th of July.
Library	Public Services Small Branches	Made contact with 60,443 youths through Library programs.
Library	Public Services	Served 214 adult literacy learners.
Parks and Recreation	Creeks Restoration and Water Quality Improvement	Provided 204 youth watershed education programs.
Police	Beat Coordinator	Completed one Spanish and one English Citizen's Academy.
Public Works	Water Supply Management	Provided 534 Home Water Check-ups and received a 98% customer satisfaction rating on the services provided."
Waterfront	Administrative Support and Community Relations	Continued a comprehensive communications program involving: Waterfront sponsored events, distribution of the Docklines publication, coordination of ship visits, and submittal of articles to the City Administrator's Report.

9.) INCREASED PARTICIPATION		
Department	Program	Highlight
Community Development	Housing Development and Preservation	Assisted in the development and preservation of 70 affordable housing units. Exceeding the annual target of 40 units.
Finance	Payroll	Increased the number of employees opting out of printed pay advices to 620 employees, up from 583 in FY 10.

Department	Program	Highlight
Library	Public Service	Increased the number of downloadable books checked out to 20,191 a 91% increase from FY 10.
Library	Small Branches	Increased the number of public computer sessions to 56,090 a 13% from FY 10.
Library	Goleta Branch	Increased the circulation by 6% for a total of 606,741 items checked out.
Parks and Recreation	Facilities and Special Events	Increased outdoor wedding ceremony rentals by 8% from FY 10.
Parks and Recreation	Youth Activities	Provided summer, winter and spring camps and clinics for 1,139 youths an increase of 2% from FY 10.
Parks and Recreation	Active Adults and Classes	Served 8,364 participants in Ballroom, Swing, and Contra dance programs. This is an increase of 148% from FY 10 and reflects the re-opening of the Carrillo Recreation Center,
Parks and Recreation	Neighborhood and Outreach Services	Mentored 530 youths and adults through the Job Apprenticeship Program an increase of 127% from FY 10.
Parks and Recreation	Sports	Increased participation by 5% to 1,813 participants. This is the third year in a row participation has increased.
Parks and Recreation	Sports	Increased participation by 2% to 1,607 participants. This is the third year in a row that participation has increased.

Department	Program	Highlight
Administrative Services	Information Systems	100% of employees reported that training improved their ability to use desktop applications.
Community Development	Rental Housing Mediation Task Force	93% of clients surveyed reported that they were satisfied with their dispute resolution, the customer service they received and would recommend the program to others.
Parks and Recreation	Sports	90% of participants in adult sports programs rated their overall customer satisfaction as "good" to "excellent".
Parks and Recreation	Sports	97% of participants in youth sports programs rated their overall customer satisfaction as "good" to "excellent."

11.) TRAINING FOR EMPLOYEES TO IMPROVE PERFORMANCE		
Department	Program	Highlight
Airport	Certification and Operations	Conducted a full scale emergency exercise on October 6, 2010.
Community Development	Staff Hearing Officer, Environmental Review and Training Section	Conducted 18 training sessions for planning staff that included non-conforming building alterations, ordinance changes and internal processes.

Department	Program	Highlight
Finance	Risk Management – Liability	Conducted five Defensive Driver Training classes with 82 employees participating.
Fire	Aircraft Rescue and Firefighting (ARFF)	100% of ARFF personnel received mandated training in compliance with FAA standards.
Fire	Emergency Services and Public Education	Provided workshops for Emergency Operations Center staff.
Parks and Recreation	Aquatics	Provided 185 hours of training for Aquatics staff.
Waterfront	Harbor Patrol	Achieved an average of 70 hours of training per officer.
Waterfront	Harbor Patrol	Hosted one joint Fire emergency response drill in the Harbor plus a SWAT training exercise.

Department	Program	Highlight
Finance	Solid Waste	Collected 244,114 pounds of household hazardous waste at the ABOP and UCSB facilities and 396,199 pounds of electronics waste at City sponsored events.
Fire	Wildland Fire Mitigation	Utilized 99% of chipped material from road clearance program.
Parks and Recreation	Creeks Restoration and Water Quality Improvement	Certified 20 additional businesses as Clean Water Businesses for a total of 101 businesses.
Parks and Recreation	Creeks Restoration and Water Quality Improvement	Completed installation of the Catch Basin Inlet Storm Drain Screen project. This project was completed in one year with American Recovery and Reinvestment Act funding instead of 10 years.
Parks and Recreation	Creeks Restoration and Water Quality Improvement	Planted 1,651 new riparian trees and shrubs.
Parks and Recreation	Beach Maintenance	Hand cleaned the perimeter of Mission Creek Lagoon and Sycamore Creek Outfall to prevent trash from entering the ocean.
Public Works	Facilities Maintenance	Energy usage at 630 Garden Street continued to decline due to energy conservation projects. Annual kilowatt hours are 259,000 down from 367,840.
Public Works	Building Maintenance	The Corporate Yard Solar panels generated 544,517 kWh of energy, providing approximately 87% of the energy for the Corporate Yard facilities. This is a 10% increase in kWh generated from FY 10.
Public Works	Fleet Management	37% of the vehicles in the City fleet are capable of using alternative fuels.

12.) ENVIRONMENTAL LEADERSHIP		
Department	Program	Highlight
Waterfront	Marina Management	Conducted annual Operation Clean Sweep Event collecting 3,500 pounds of seafloor debris.

13.) ADHERENCE TO	STATE/FEDERAL GUIDELIN	IES
Department	Program	Highlight
Airport	Air Operations Area Maintenance and Certification and Operations	Achieved a 100% compliance with Federal Aviation Regulations (FAR) Part 139 for airfield maintenance requirements and daily airfield inspections.
Airport	Airport Security	Responded to 100% of security checkpoint calls for service within 5 minutes as required by Transportation Security Administration (TSA).
Airport	Facilities Planning and Development	Achieved 100% compliance with permit conditions of approval pertaining to project development, environmental monitoring, and maintenance activities.
Community Development	CDBG and Human Services Administration	Submitted the required Consolidated Annual Performance Evaluation Report (CAPER) to the U.S. Department of Housing and Urban Development on-time.
Finance	Risk Management	Achieved 100% compliance with State and Federal mandates for employee safety.
Fire	Operations	100% of Fire personnel received mandated training.
Parks and Recreation	Golf Course	Submitted a 100% of the monthly pesticide usage reports on-time to the County Agricultural Commissioner.
Police Department	Training and Recruitment	Developed and implemented a calendar of in-house POST–certified training classes to ensure all officers are compliant with required hours of professional training and perishable skills training.
Public Works	Environmental Compliance	Completed 100% of required fuel site assessments and remediation efforts and reports on time.
Public Works	Fleet Management	Completed 100% of mandated inspections and certifications for aerial equipment, youth buses, and commercial vehicles.
Public Works	Water Distribution	Operated the water distribution system to meet 100% of all State and Federal requirements.
Public Works	Wastewater Treatment	Achieved 99.9% compliance with wastewater discharge limits as listed in the National Pollutant Discharge Elimination System permit and 100% compliance with local air emissions as specified in the Air Pollution Control District permit.

14.) USE OF TECHNOLOGY		
Department	Program	Highlight
Administrative Services	City Clerk's Office	Coordinated an electronic campaign filing system for candidates, committees, and elected officials.

14.) USE OF TECHNOLOGY		
Department	Program	Highlight
Police Department	Information Systems	Converted physical servers to virtual machines.
Police	Crime Lab	Submitted 100% of latent fingerprints to the Department of Justice within four working days.
Public Works	Meter Reading	Updated hand-held meter reading equipment and software system.
Waterfront	Parking Services	Installed new electronic self parking pay system which utilizes cash coin, credit and debit card payment.
Waterfront	Marina Management	Installed an automated information distribution program to deliver voice and/or email messages to Waterfront tenants and slip permit holders.

15.) SPECIAL PROJECTS COMPLETED				
Department	Program	Highlight		
Administrative Services	City Clerk	Administered the 2010 special municipal election to vote on ballot measures.		
Airport	Marketing and Communications	Held three different grand opening events for the new Airline Terminal, with approximately 2,000 attendees.		
City Administrator's Office	Administration	Held a special work session on the Fiscal Year 2012 budget.		
Community Development	Redevelopment Agency	Completed the following FY 2011 projects under budget: West Beach Pedestrian Improvements, West Downtown Pedestrian Improvements and Parking Structures Nos. 9 & 10 Upgrades.		
Fire	Fire Prevention	Successfully prepared and presented local revisions to the 2010 California Fire and Building Codes and the new Residential Code. The new codes went into effect January 1, 2011.		
Fire	Operations	Equipped, trained operators and placed into service a new ladder truck. Funding for equipment and installation provided by a Chumash Foundation Grant.		
Library	Administration	Reorganized the staffing plan for the library system to align with modern library services and fiscal realities.		
Parks and Recreation	Project Management Team	Completed renovation of the Oak Park main restroom and the Stanwood Entrance Improvements at Parma Park.		
Parks and Recreation	Neighborhood and Outreach Services	Worked with neighborhoods and City leaders to create and implement a new Neighborhood Advisory Council.		
Parks and Recreation	Forestry	Developed and held training for contractors and management companies related to City Tree Preservation Policies.		

15.) SPECIAL PROJECTS COMPLETED				
Department	Program	Highlight		
Police	Tactical Patrol Force	Managed the Neighborhood Improvement Program and conducted 26 camp clean-ups in coordination with other agencies and city departments.		
Public Works	Transportation and Drainage System Maintenance	Performed annual maintenance of the 5.9 mile railroad corridor per new agreement. Clean-up was consolidated into 21 consecutive days in the fourth quarter.		
Public Works	Building Maintenance	Completed City's 2010 Greenhouse Gas Emissions Inventory.		
Public Works	Engineering	Completed construction and opened the Haley/De la Vina Bridge on April 29, 2011. The project was completed on time and on budget.		
Waterfront	Facilities Design and Capital	Completed Phase II of Marina 1 Replacement project including replacement of O and P fingers' walkway and slips, with minimal impact to slip holder.		

Performance Objectives Not Met

DEPARTMENT	OBJECTIVE	COMMENTS
Administrative Services	Implement the Cartegraph GEODATA Connect feature to link Cartegraph database with GIS data by September 30, 2010.	This project will carry forward to FY 12.
Airport	Complete construction of the new Airline Terminal building and begin efforts to rehabilitate and relocate the historic portions of the current Terminal building.	The new terminal was completed in mid August 2011 and the relocation and rehabilitation of the historic portion of the terminal building is in process.
Community Development	Complete 75% of building permit Initial Reviews within the promised timelines.	Due to revisions to the building codes, reduced staffing and a high number of new applications the goal was not met.
Finance	Obtain 90% of collision preventability determination reports from the operating departments.	Received 86% of the reports. Will continue to work with departments on timely submittal of preventability reports.
Fire	Conduct 90% of prevention re-inspections within three weeks of initial inspection.	Conducted 82% of re-inspections within three weeks. Will work with inspection crews to reach target.
Library	Increase circulation at Small Branches to 285,000 items.	Increased circulation by 7% to 278,057 but missed the target of 285,000. A reduced collection budget resulted in fewer best sellers and new items being readily available for checking out.
Parks and Recreation	Achieve an overall participation of 5,000 teens (duplicated) in scheduled activities.	4,811 teens participated in activities. The target was not achieved due to a reduction in programs and closure of the Franklin Center for remodel work. The Franklin Center re-opened and ongoing programs will be promoted in FY 12.
Police	Maintain the number of hours volunteered by the Reserve and Volunteer Corps and Chaplains at previous three year average.	Unable to reach target volunteer hours due to several resignations and one long term illness. Will work to find replacement volunteers and Chaplains.
Public Works	Develop a sign management system to organize and categorize data for signage on city streets.	Streets, Transportation Operations and Information Systems are working to finalize the Request for Proposal (RFP). RFP will be released in early FY 12.
Waterfront	Complete 80% of minor capital projects under \$100,000 according to the approved schedule.	25 out of 32 projects were completed on schedule. The Ledbetter Restroom Upgrade was more extensive than anticipated and staff was diverted from other projects.

City of Santa Barbara



Comparative Indicators Report Fiscal Year 2012 Budgets

November 2011

The Comparative Indicators report is a snapshot of information in six key areas. The indicators are related to City demographics, general fund revenues and expenditures, public safety, library, parks and public works services with ten other cities. The cities include Carlsbad, Oceanside, Santa Cruz, Ventura, Sunnyvale, Newport Beach, Huntington Beach, Berkeley, Redondo Beach, Santa Monica and Santa Barbara. The information was gathered from adopted and proposed FY 2012 budgets, on-line resources and reports and communication with staff.

While every city faces different challenges based on expectations of service levels, fiscal constraints, and community demographics, this information provides a starting point to gauge our effectiveness and efficiency relative to these other California communities.

General Demographics

The City of Santa Barbara is just below the median in both population and land area and ranks forth in density at 4,250 people per square mile. The City of Berkeley has the greatest density at 10,862 people per square mile. According to the 2009 American Community Survey Santa Barbara's per capita income is \$35,883 just slightly below the median of \$40,183. The median household income increased in Santa Barbara to \$60,284 up from \$59,016. The median of this group of cities is down 6% to \$67,062 (Santa Monica) and Newport Beach continues to be the highest at \$107,500. According to the 2010 Census Owner Occupied housing was down slightly in Santa Barbara to 38.9%. The statewide average is 55.9% and Carlsbad continues to be the highest at 64.8% Owner Occupied Housing.

Financial

The financial information gathered and presented in this report, provides an overview of a city's expenses and financial resources. Since every city is structured and organized differently, this report does not include every department. Instead, this report reviews and evaluates key comparable areas.

When evaluating the General Fund revenue, Redondo Beach and Santa Monica continue as the outliers on the chart -- \$67,134,752 and \$286,177,658; respectively. Santa Barbara is 8% below the median at \$103,207,554; yet when assessing the per capita revenue Santa Barbara is 8% above the median at \$1,156. The General Fund revenue per capita spectrum ranges from Oceanside at \$668 to Santa Monica with \$3,174. Santa Monica is projecting an increase in General Fund Revenue of \$34.6 million from FY 2011 budget which includes an additional \$5 million from a one-half cent sales and use tax that was approved by voters in November 2010 and a \$5 million increase in revenue from the Transient Occupancy Tax.

The policies and amounts allocated to Capital projects from the General Fund varied greatly. Carlsbad and Sunnyvale have dedicated infrastructure and capital improvement funds that monies are allocated to every year. Oceanside also has a Capital Project Fund but additions to this fund are only made when there are funds remaining at year end. Many cities deferred large capital projects and maintenance over the last couple of years in response to the economic challenges. Acknowledging the impact of having deferred street maintenance the last couple of years Sunnyvale is planning on expending additional \$2.5 million of their Infrastructure Funds on street repair and improvements.

The source of General Fund revenues vary from city to city, including special tax districts, grant funds and other dedicated revenue. Five key general fund revenue sources were identified: Sales and Use, Property, Business License, Utility Users (UUT) and Transient Occupancy (TOT) taxes. Newport Beach, Carlsbad and Oceanside communities do not have a Utility Users Tax. These five key taxes represent 62% of Santa Barbara's General Fund revenue. Santa Barbara's projected Property and

Transfer Tax per capita is \$263, which is 14% below the median \$305 (Redondo Beach) and Newport Beach has the highest at \$845 per capita. Santa Barbara continues to have the third highest General Fund TOT revenue per capita at \$144. The TOT revenue per capita also illustrates the diversity of revenue receipts; Oceanside receives \$19 per capita, Sunnyvale \$47 per capita and Santa Monica receives \$387 per capita. The rates of TOT also vary from 9.5% (Sunnyvale) to 14% (Santa Monica). Most agencies projected moderate increases in TOT but Berkeley decreased its projections by 19% and Redondo Beach, Carlsbad and Ventura maintained projections as FY 2011 levels.

Authorized Positions

The range for authorized positions per 1,000 population is 5.10 (Huntington Beach) to 24.29 (Santa Monica). Santa Barbara has 11.32 authorized positions per 1,000 residents. The difference from one city to the next can be partially attributed to the number and types of enterprise operations such as; Airport, Waterfront, Golf, Transit Service, Water and Wastewater utilities. Additionally, the types of community services provided can affect this number, for example some communities provide their own animal shelter, paramedic and ambulance, and mental health services.

Public Safety

The percent of the General Fund Budget for Public Safety varies from 36% in Santa Monica to 63% in Oceanside and Redondo Beach. Santa Barbara's combined Police and Fire general fund expenditures are 54% of the General Fund budget.

Police Department

Data was gathered for three standard indicators: percentage of General Fund expense, number of authorized positions per 1,000 residents and Part One Crimes per 1,000 residents. The Part One Crimes data was pulled from the calendar year 2010 Department of Justice annual report and city websites. The Department of Justice cautions against using the data as a ranking tool because the information can be misleading due to the variability between communities.

The Police Department expenditure represents 33.7% of Santa Barbara's General Fund expense and is 9% above the median of this group. Oceanside expends 42.3% of General Fund revenue on Police while Carlsbad expends 25.1%. It should be noted that Sunnyvale is the only city that has an integrated Police and Fire service and together they are 40% of the General Fund budget. Variation in percentage may also reflect the size of other City General Fund departments, specifically Parks and Recreation and Community Development.

The number of sworn authorized positions per 1,000 residents provides an understanding of staffing levels in a community. Santa Barbara is the third highest with 1.61 authorized police officers per 1,000 residents moving up from the median in FY 2011. The range is 1.09 (Carlsbad) to 2.37 (Santa Monica).

Part One Crimes are defined as homicide, burglary, robbery, rape, vehicle, theft, aggravated assault, larceny and arson. Each city Police Department submits this information to the Federal Bureau of Investigation (FBI) according to specific guidelines. The data for this year was pulled from the 2010 Preliminary Report as well as city websites. It is recognized that this data potentially has the greatest variance due to city reporting policies, community practices and population characteristics. In 2010 the Part 1 Crime rate for Santa Barbara was 33.5 per 1,000 residents, down from 37 in 2009.

Fire Department

The Fire Departments of each city organization operate a variety of programs. Santa Barbara provides emergency medical response, fire fighting and emergency services as part of the department. Other communities include Marine Rescue (Santa Cruz), Lifeguards (Newport Beach) and paramedic and/or ambulance services (Santa Cruz, Redondo Beach, Newport Beach, Carlsbad and Huntington Beach). Some communities also receive funding from voluntary fire/medical programs, where individuals pay an annual fee (\$46-\$60) to receive paramedic, emergency ambulance service, and other additional services either free or at a reduced cost without insurance.

Santa Barbara's Fire Department Expenditures as a percentage of the General Fund are 20.6%, which exceeds the median of 18.1%. Santa Barbara has 1.06 sworn positions per 1,000 residents. Another measure utilized to better understand operations is the number of square miles covered per fire station. Each of Santa Barbara's fire stations covers approximately 3 square miles. (Fire Operations for the Santa Barbara Airport were excluded from the data as they are funded by the Airport and operate outside the downtown core.)

Library

Data on Library services was gathered from the California Public Library Survey of 2011 for Fiscal Year 2010. The size and organization of library systems vary greatly from one community to the next. Santa Barbara and Santa Cruz are unique agencies that provide Library services for both the County and City, making services available to a larger population (200,000+). Ventura Library information was included as well even though the system is run by the County and services a much larger population. Santa Barbara's Library Department operating budget in 2010 was \$5,612,451 and the per capita cost was \$24.49 down from \$27.47 in 2009. The median was \$52.8 (Redondo Beach) with the State average of \$32.70. The City's cost for serving City residents was isolated this year and is shown on the graphs as well.

Parks

When looking at city parks and recreation programs the organizational structures varied significantly. Some combined the programs under Community Services while others included larger departments like Waterfront and Library. Developed park acreage is a common indicator. Santa Barbara has 360 acres of developed parks as well as 1200 acres of open space. Santa Barbara is above the median (3.35) at 4.03 acres per resident. The range is 1.39 acres per person in Santa Monica to 12.3 acres per person in Santa Cruz.

Public Works

Street Pavement Condition Index

The Pavement Condition Index (PCI) indicates the quality of the streets in each city. This information is usually reported by cities every two years. As a result, some of the numbers presented are for various years and can vary depending on the rating system used. A PCI of 70 is considered to be good. In FY 2009 the City of Santa Barbara received a new PCI report and the PCI dropped to 68 from 70. Many agencies are experiencing the down grading of their Street PCI due to reduced budgets or deferral of maintenance. In response to this downgrading Sunnyvale has accelerated their investment in their streets maintenance and capital program with the goal of returning their PCI to 80 within 5 years.

Streets Maintained

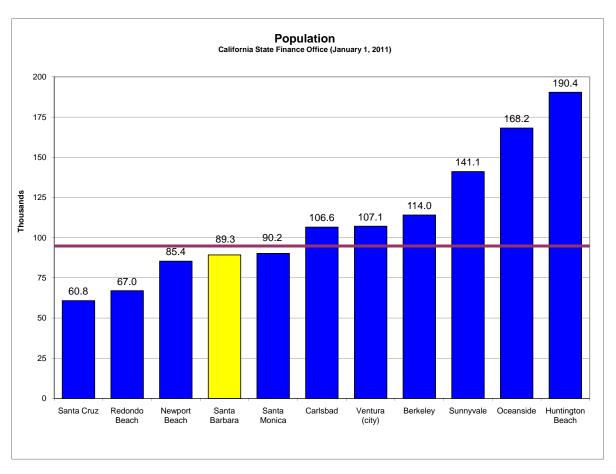
Street maintenance is also an indicator of the amount of miles that the city has to maintain and is a companion indicator to PCI. Some cities track center miles and others track lane miles. Center miles

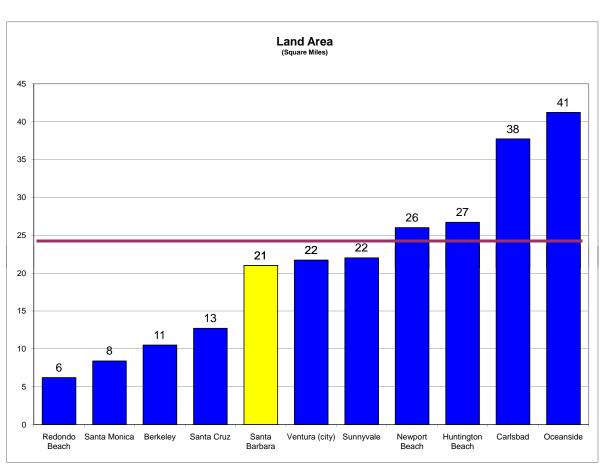
are measure from the center line of the street and don't count whether there are two or more lanes, while lane miles accounts for the distance covered by each lane. Santa Barbara maintains 240 paved center lane miles.

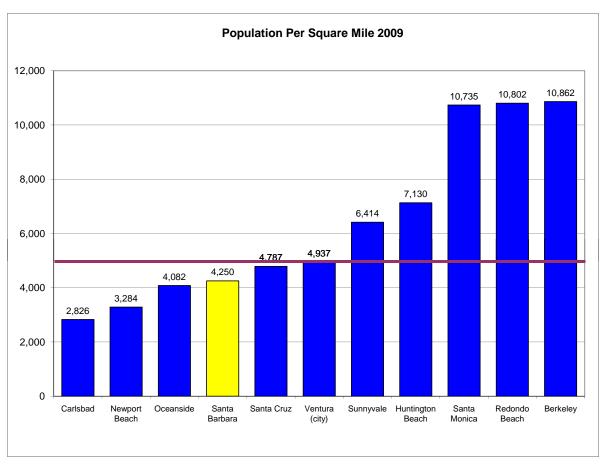
Conclusion

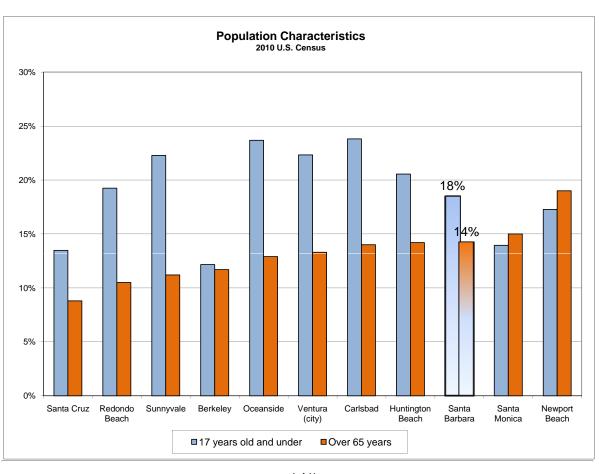
Review of the data collected indicates that Santa Barbara is at or just below the median for financial indicators and is at or just above service indicators. The areas where Santa Barbara exceeds the median represents the City's ongoing commitment to public service, safety, and open space.

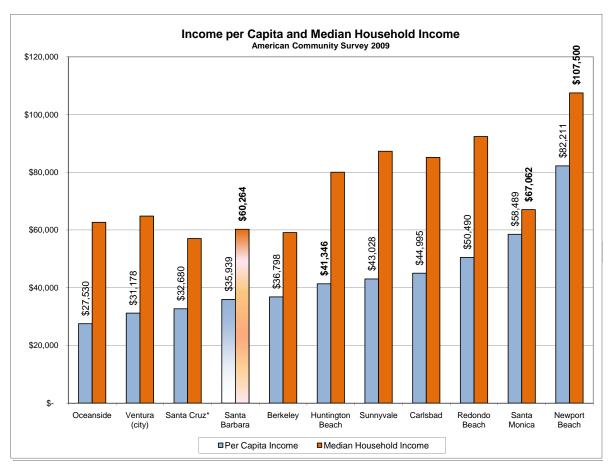
Note: The budget information provided is based on FY 2012 adopted budgets when available or was provide by the city's staff. Additionally information was gathered from on-line resources, annual reports and communication with staff. In the communities were information was not available they have been omitted from the graphs.

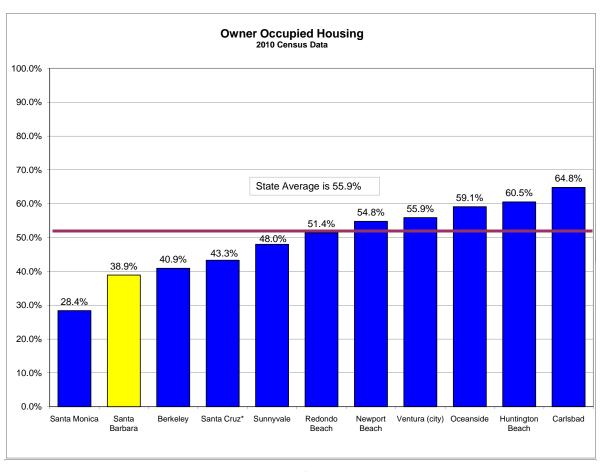


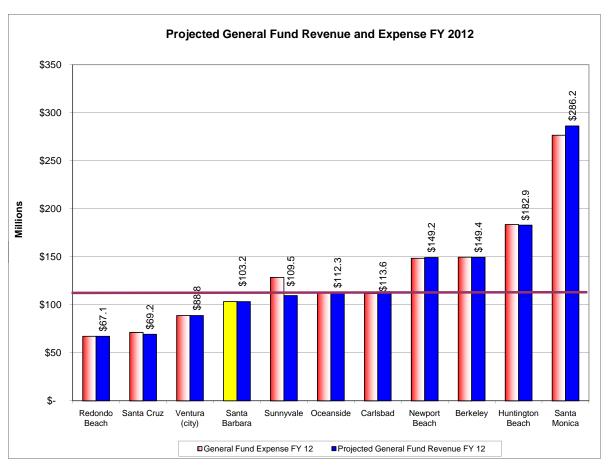


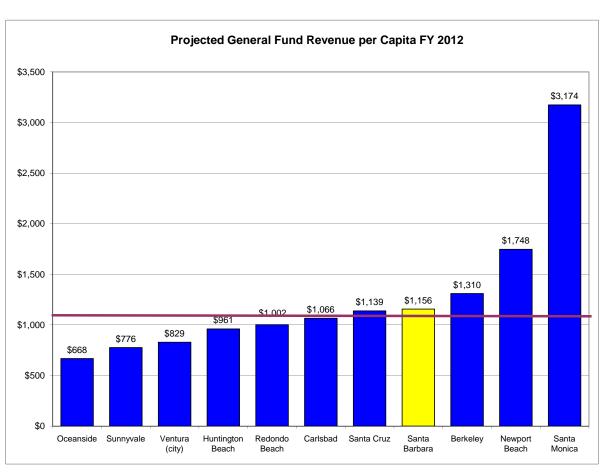


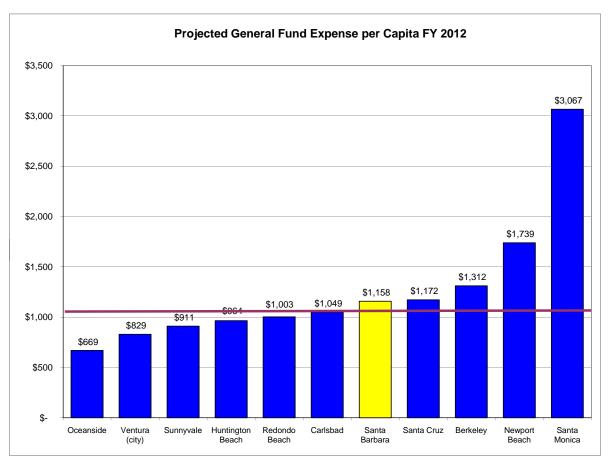


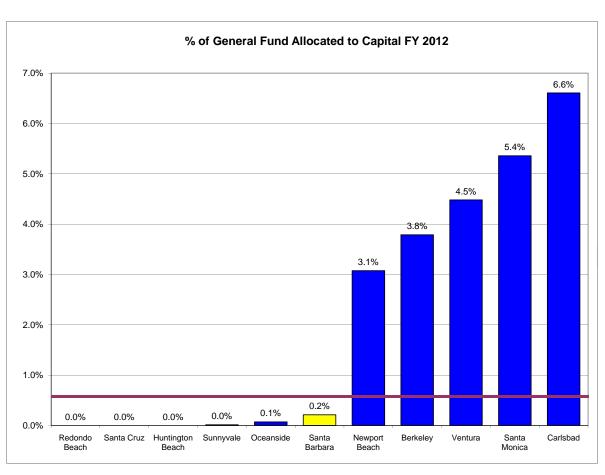


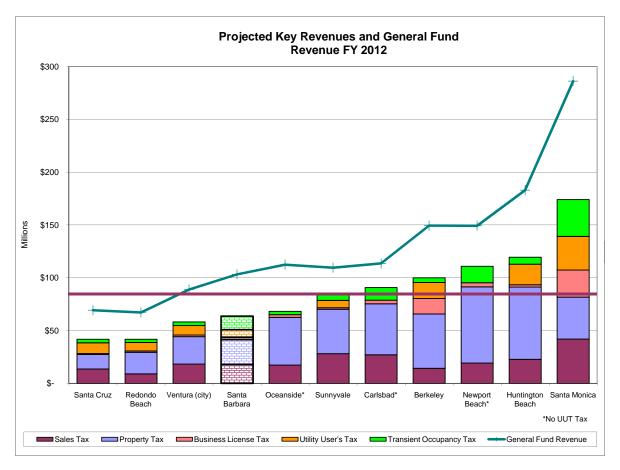


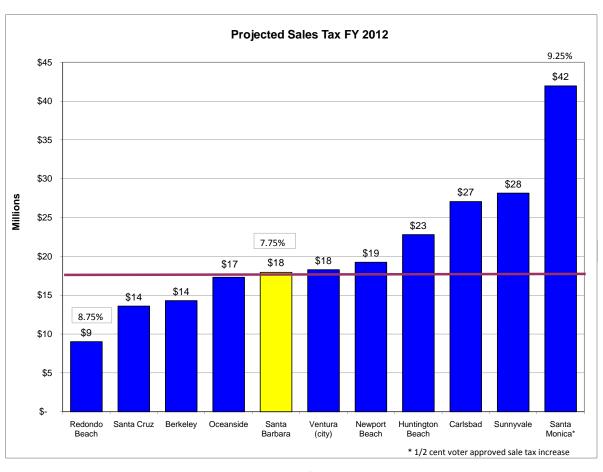


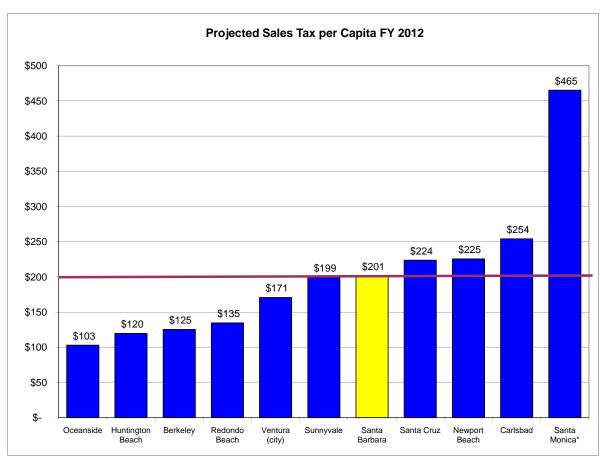


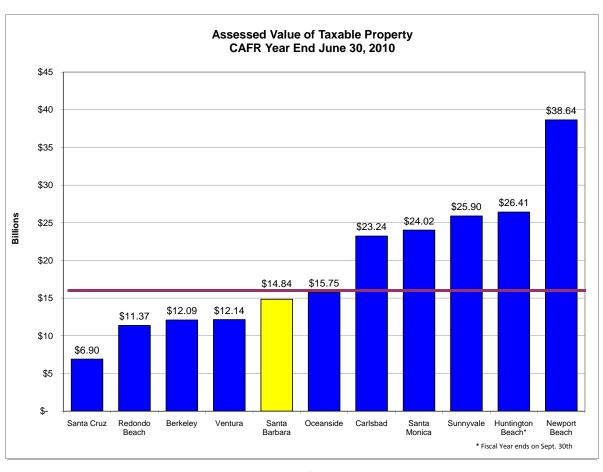


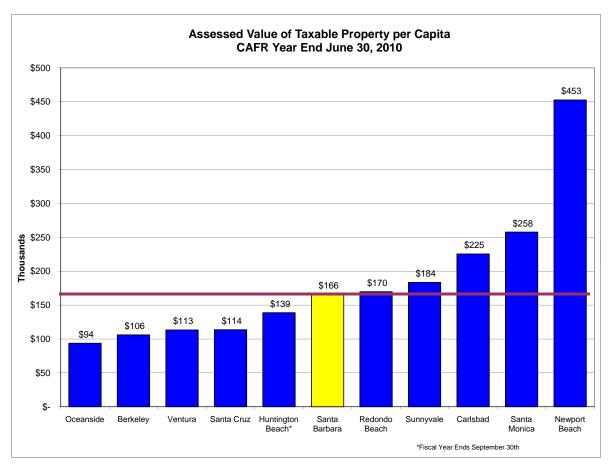


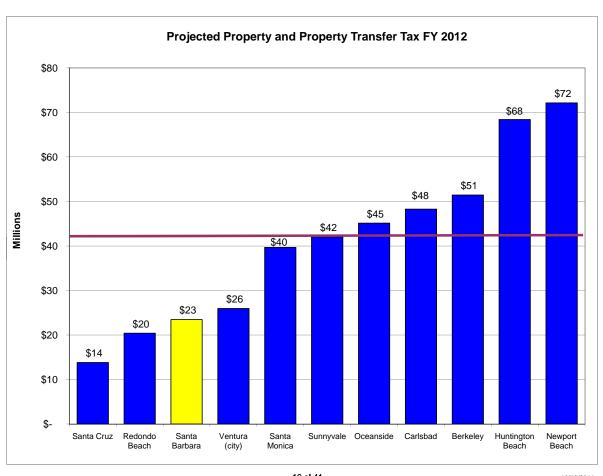




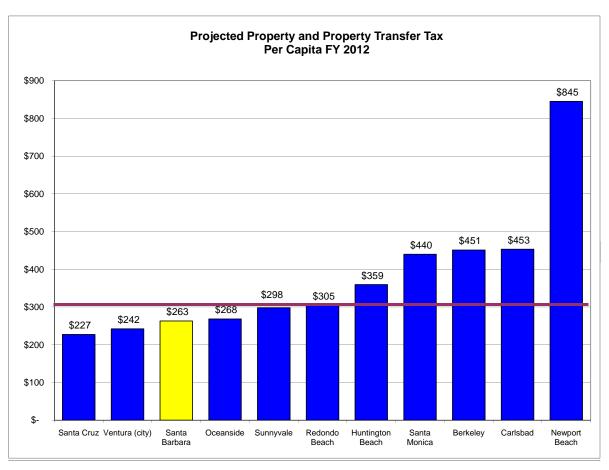


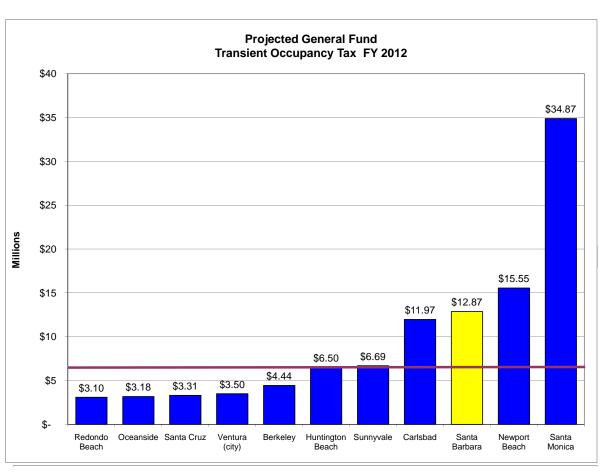




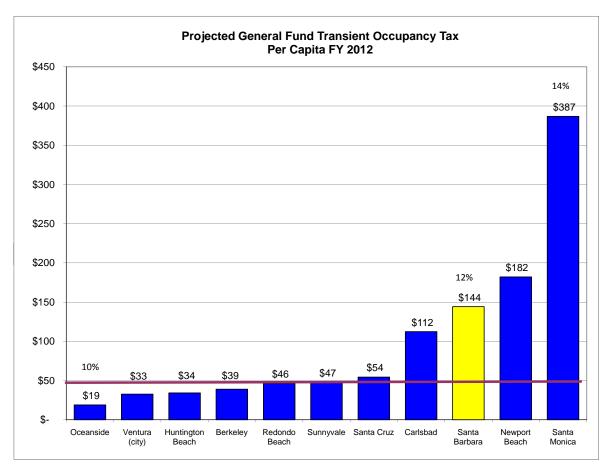


16 of 41

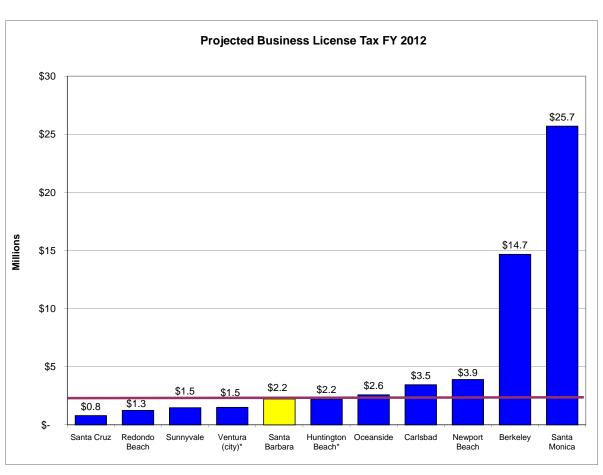


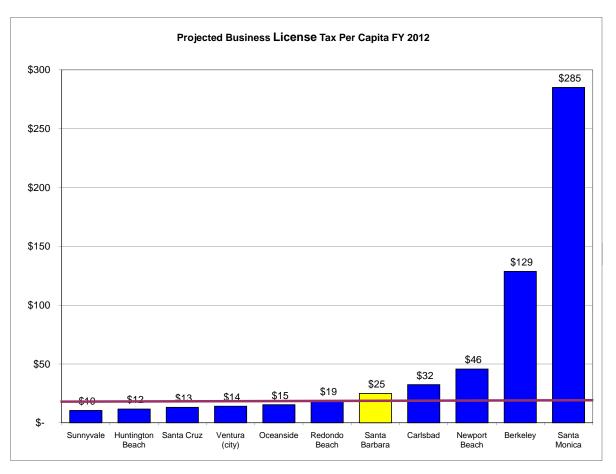


18 of 41

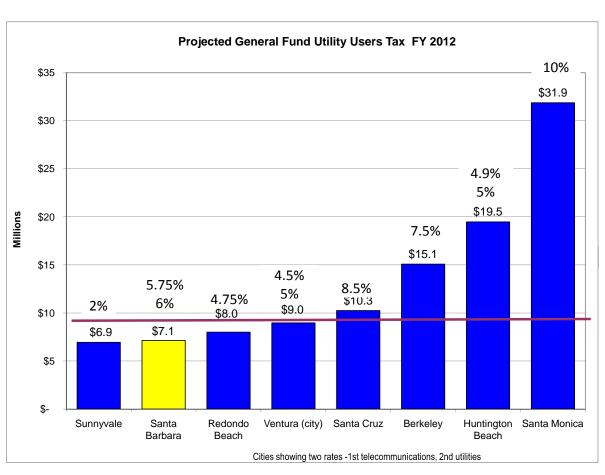


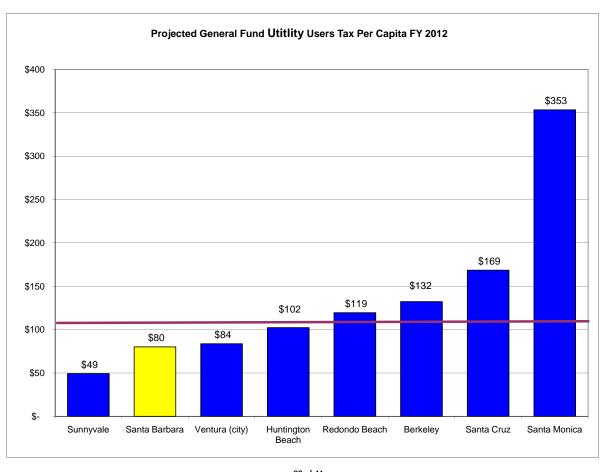




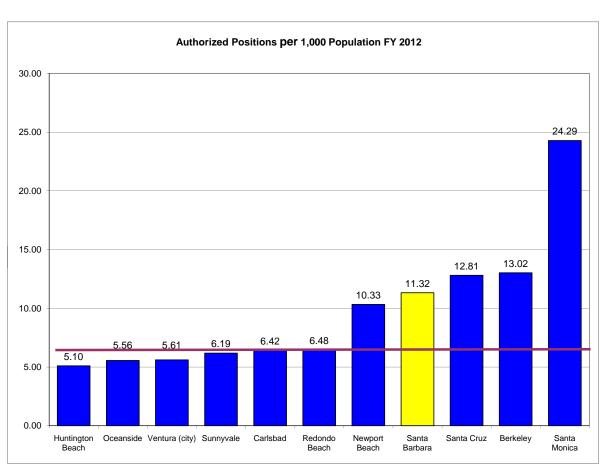


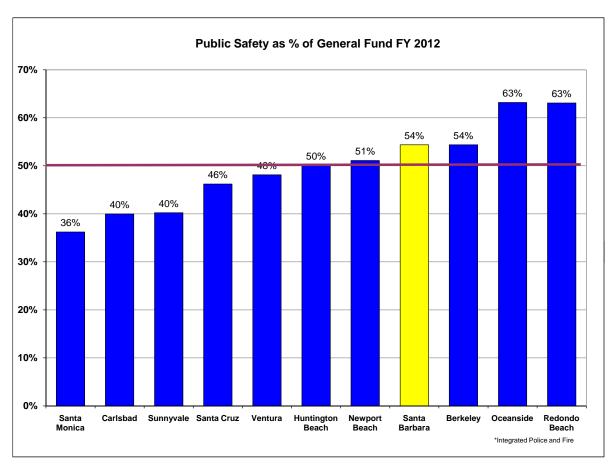


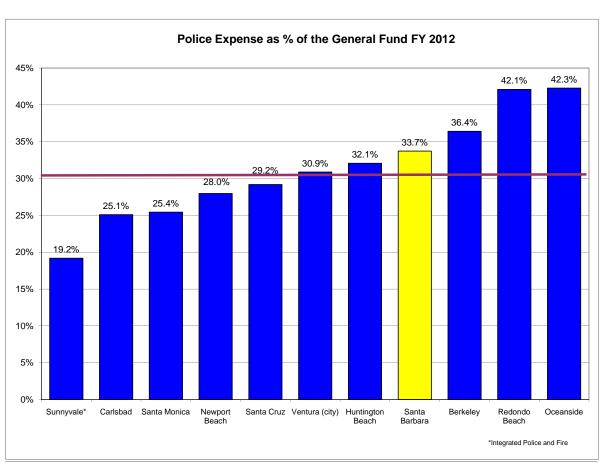


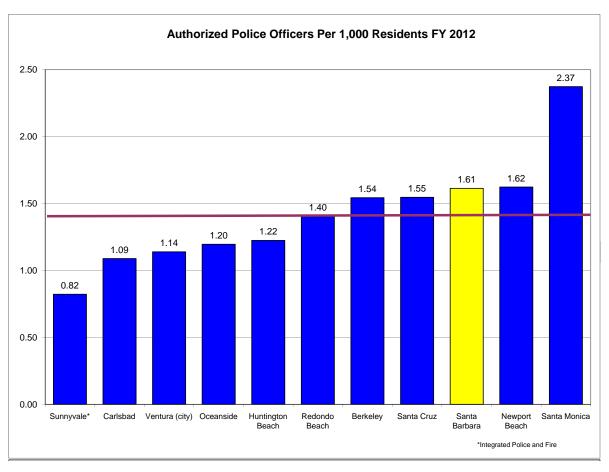


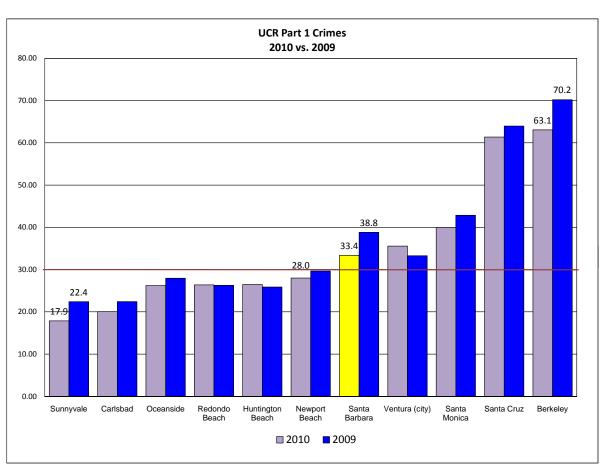


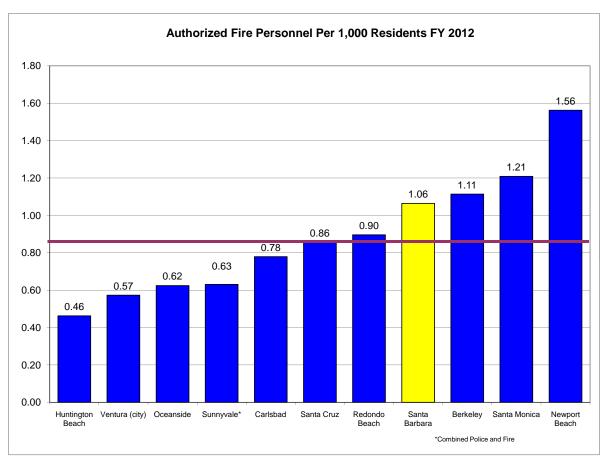


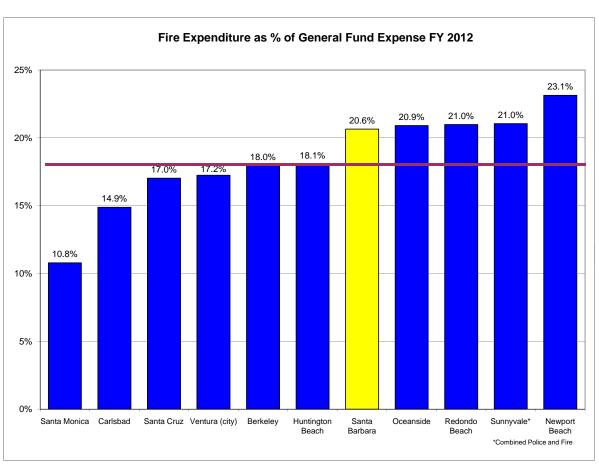


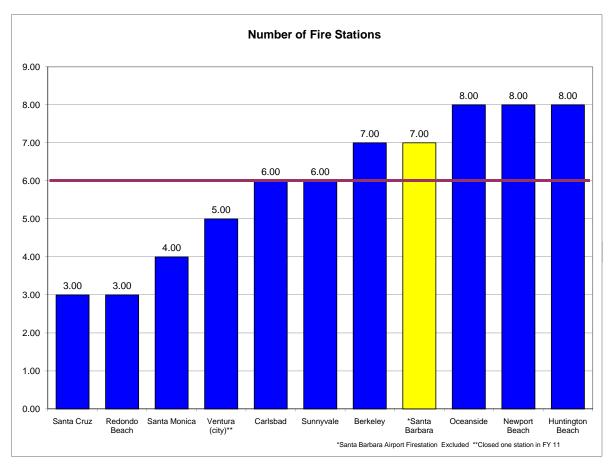


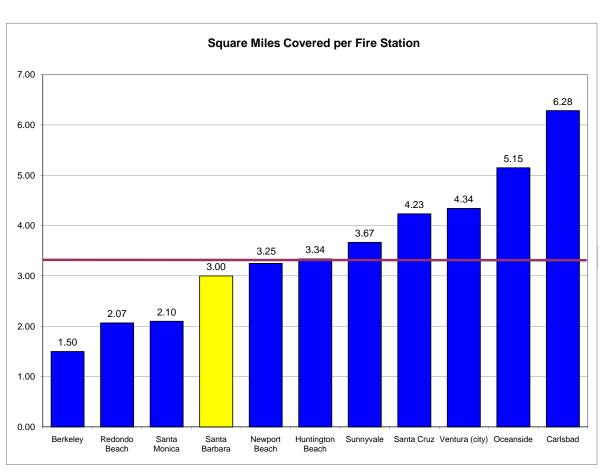


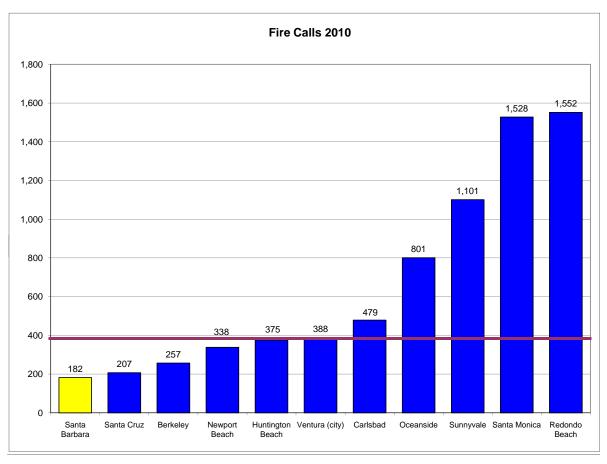




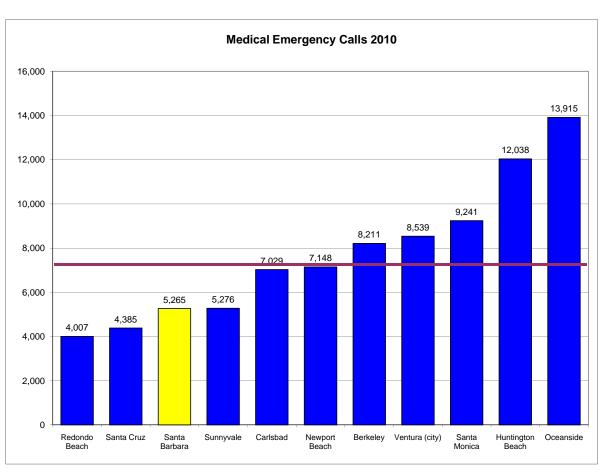


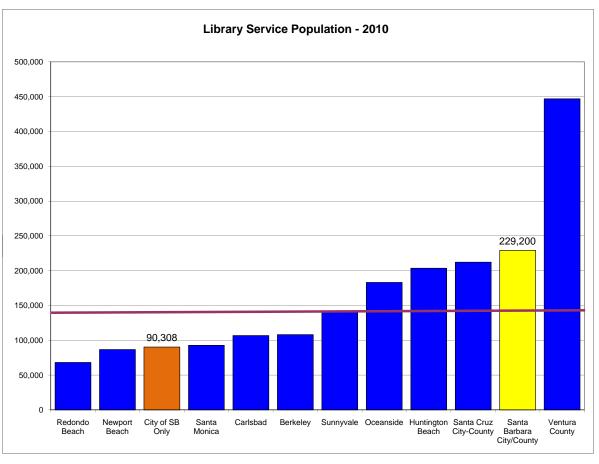


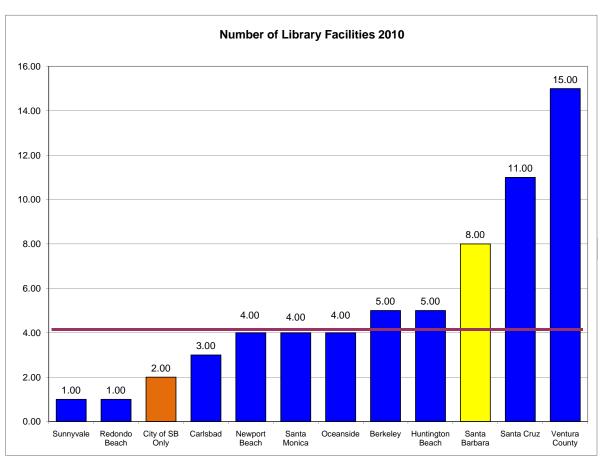


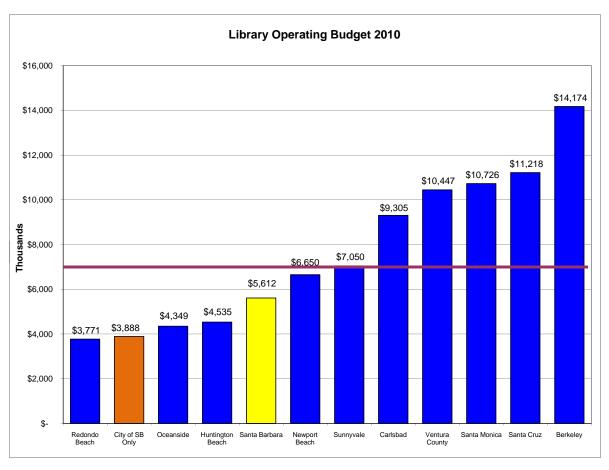


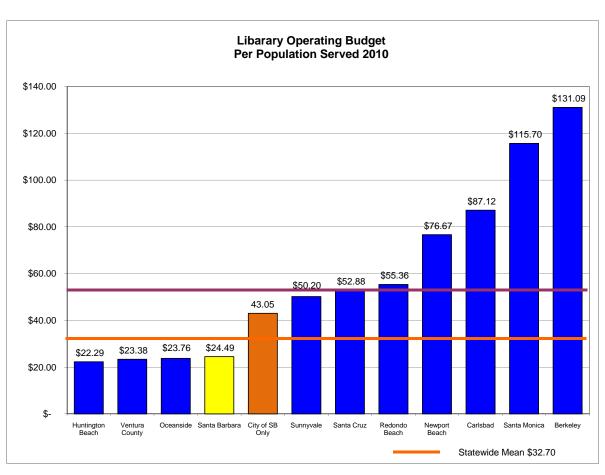


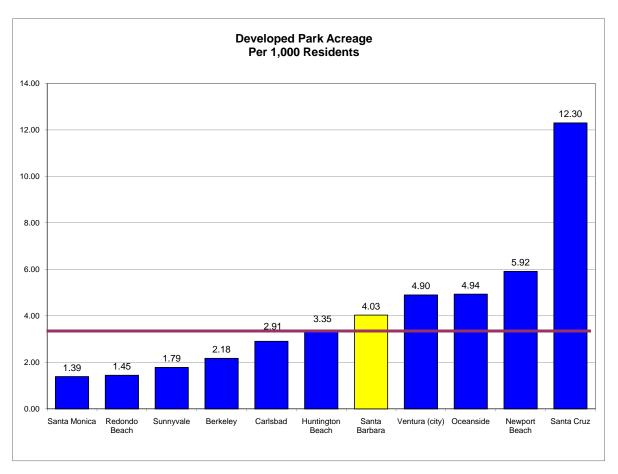


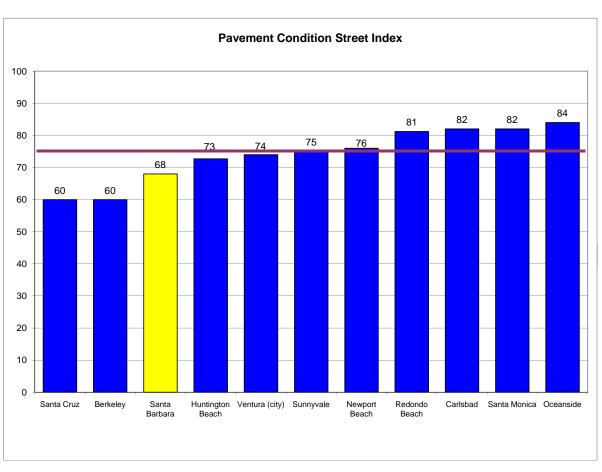


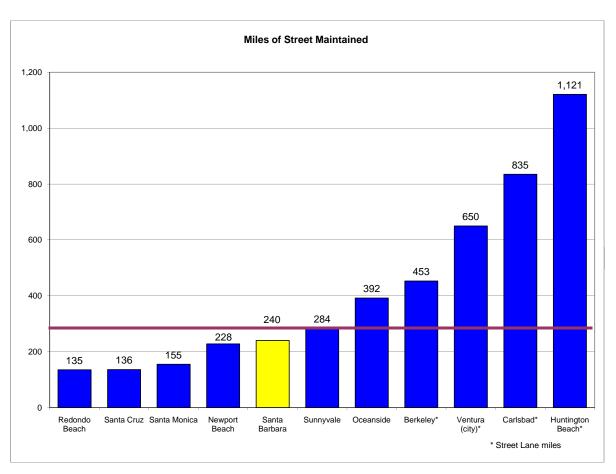












Comparative Indicators (FY 12 Adopted Budget)

																					Н	untington
	Sar	nta Barbara	S	anta Cruz	Red	dondo Beach	Newp	port Beach	Santa M	Ionica		sbad	E	Berkeley	V	entura	S	Sunnyvale	(Oceanside		ch Adopted
		Adopted		Adopted		Adopted	Ac	dopted	Adopt	ted	Ado	pted	/	Adopted	Ac	dopted		Adopted		Adopted	FY:	starts Oct. 1
<u>Indicator</u>		FY 2012		FY 2012		FY 2012	<u>F`</u>	Y 2012	FY 20	012	FY:	2012		FY 2012	<u>F`</u>	Y 2012		FY 2012		FY 2012*		FY 2012
Population California State Finance Office																						
(January 1, 2010)		89,253		60,800		66,970		85,376		90,174		106,555		114,046		107,124		141,099		168,173		190,377
Population United States Census Bureau (April 1,																						
2010)		88,410		59,946		66,748		85,186		89,736		105,328		112,580		106,433		140,081		167,086		189,992
Land Area (square miles)		21		12.7		6.2		26		8.4		37.7		10.5		21.7		22		41.2		26.7
Population per square mile		4,250.14		4,787.40		10,801.61		3,283.69	10	0,735.00		2,826.39		10,861.52		4,936.59		6,413.59		4,081.87		7,130.22
Population characteristics																						
17 and under (2010 Census data calc.)		18.5%		13.5%		19.2%		17.3%		14.0%		23.8%		12.2%		22.3%		22.3%		23.7%		20.6%
Over 65 years old (2009 ACSurvey Info.)		14.2%		8.8%		10.5%		19.0%		15.0%		14.0%		11.7%		13.3%		11.2%		12.9%		14.2%
Per Capita Income	\$	35,939	\$	32,680	\$	50,490	\$	82,211	\$	58,489 \$	6	44,995	\$	36,798	\$	31,178	\$	43,028	\$	27,530	\$	41,346
Median Household Income	\$	60,264	\$	57,034	\$	92,365	\$	107,500	\$	67,062 \$	6	85,146	\$	59,097	\$	64,831	\$	87,263	\$	62,657	\$	80,000
Percent of Owner-Occupied Housing		38.9%		43.3%		51.4%		54.8%		28.4%		64.8%		40.9%		55.9%		48.0%		59.1%		60.5%
Financial Information																						
General Fund Revenue	\$	103,207,554	\$	69,238,296	\$	67,134,752	\$ 14	49,204,608	\$ 286,	177,658 \$	113	,569,453	\$	149,408,992	\$ 8	38,791,777	\$	109,512,215	\$	112,339,585	\$	182,900,000
General Fund Revenue per Capita	\$	1,156	\$	1,139	\$	1,002	\$	1,748	\$	3,174 \$	5	1,066	\$	1,310	\$	829	\$	776	\$	668	\$	961
General Fund Expense	\$	103,339,119	\$	71,238,870	\$	67,174,023	\$ 14	48,436,070	\$ 276,	525,859 \$	111	,760,397	\$	149,576,309	\$ 8	38,791,777	\$	128,503,058	\$	112,475,422	\$	183,547,528
General Fund Expense per Capita	\$	1,158	\$	1,172	\$	1,003	\$	1,739	\$	3,067 \$	6	1,049	\$	1,312	\$	829	\$	911	\$	669	\$	964
Authorized positions per 1,000 population		11.32		12.81		6.48		10.33		24.29		6.42		13.02		5.61		6.19		5.56		5.10
General Fund Capital Improvement	\$	220,570	\$	-	\$	-	\$	4,567,100	\$ 14,8	817,016 \$	7	,382,000	\$	5,667,000	\$	3,978,610	\$	20,000	\$	80,000	\$	-
General Fund Capital as % of Gen Fund Exp.		0.21%		0.00%		0.00%		3.08%		5.36%		6.61%		3.79%		4.48%		0.02%		0.07%		0.00%
Total Tax Revenues Per Capita	\$	713	\$	687	\$	624	\$	1,298	\$	1,930 \$	5	852	\$	876	\$	543	\$	605	\$	406	\$	627
Sales Tax	\$	17,949,013	\$	13,595,172	\$	9,013,062	\$ 1	19,250,000	\$ 41,9	950,000 \$	27	,063,000	\$	14,298,072	\$	18,279,852	\$	28,144,466	\$	17,307,800	\$	22,800,000
Property and Property Transfer Tax	\$	23,473,000	\$	13,813,900	\$	20,400,000	\$ 7	72,155,615	\$ 39,6	669,491 \$	48	,273,000	\$	51,447,199	\$ 2	25,943,904	\$	42,033,969	\$	45,131,200	\$	68,400,000
Business License Tax	\$	2,229,800	\$	797,200	\$	1,250,000	\$	3,900,000	\$ 25,7	700,000 \$	3	,453,000	\$	14,671,795	\$	1,510,698	\$	1,479,000	\$	2,585,000	\$	2,230,000
UUT	\$	7,144,500	\$	10,250,000	\$	8,000,000	\$	-	\$ 31,8	868,622 \$	6	-	\$	15,083,771	\$	8,963,885	\$	6,947,373	\$	_	\$	19,480,000
ТОТ	\$	12.865.000		3,309,000		3,100,000		15.550.000		874.000 \$.968.000	\$	4.435.109		3,500,743	\$	6.689.607		3.175.000	\$	6,500,000
Total of Tax Revenue	\$	63,661,313	\$		\$	41,763,062	\$ 11	10,855,615	174,06	2,113.00 \$	90	,757,000	\$	99,935,946	\$ 5	58,199,082	\$	85,294,415	\$	68,199,000	\$	119,410,000
Percent generated from 5 taxes		62%		60%		62%		74%	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	61%		80%		67%		66%		78%		61%		65%

Comparative Indicators (FY 12 Adopted Budget)

	Santa Barbara	Santa Cruz	Redondo Beach	Newport Beach	Santa Monica	Carlsbad	Berkeley	<u>Ventura</u>	<u>Oceanside</u>	Huntington Beach	Sunnyvale*
Public Safety	FY 12										
Police Expenditures as % of Gen. Fund Exp.	34%	29%	42%	28%	25%	25%	36%	31%	42%	32%	19%
Sworn Police FTEs per 1,000 residents	1.61	1.55	1.40	1.62	2.37	1.09	1.54	1.14	1.20	1.22	0.82
DOJ 2010 - Part 1 Crimes per 1,000	33.37	61.36	26.38	28.00	39.99	20.06	63.06	35.55	26.22	26.46	17.87
Fire Expenditure as % of Gen. Fund Exp.	21%	17%	20.98%	23.13%	11%	15%	18%	17%	21%	18%	21%
Sworn Fire personnel per 1,000 residents	1.06	0.86	0.90	1.56	1.21	0.78	1.11	0.57	0.62	0.46	0.63
Number of Stations	7	3	3	8	4	6	7	5	8	8	6
Square Miles covered by station	3.00	4.23	2.07	3.25	2.10	6.28	1.50	4.34	5.15	3.34	3.67
Number of fire calls	182	207	1,552	338	1,528	479	257	388	801	375	1101
Number of medical emergency calls	5,265	4,385	4,007	7,148	9,241	7,029	8,211	8,539	13,915	12,038	5276

Public Safety Department Details

Santa Monica Police includes, Harbor, helicopter unit, jail Police fleet services and animal shelter

Berkeley Police Service includes Jail Services

Huntington Beach Police has helicopter opertions for traffic, jail and fleet maintenance

Santa Cruz Fire includes EMS and Marine Services (Lifeguards)

Redondo Beach includes EMT, paramedics and Harbor Patrol and hazmat

Newport Beach Fire includes EMS, Ocean Lifeguards and Jr. Lifeguards

Huntington Beach includes paramedic, ambulance service, fleet maintenance and hazmat

Berkeley Fire includes paramedic/amabulance service

Oceanside Fire has Paramedic and Lifequard

Carlsbad Fire provides paramedic and ambulance services

Library (stats from 2009-2010 report) pop.	Santa Barbara	Santa Cruz	Redondo Beach	Newport Beach	Santa Monica	<u>Carlsbad</u>	<u>Berkeley</u>	Ventura (County)	<u>Sunnyvale</u>	<u>Oceanside</u>	Huntington Beach	City of SB Libraries Only
Base	229,200.00	212,144.0	0 68,105.00	86,738.00	92,703.00	106,804.0	0 108,119.00	446,876	140,450.00	183,095.00	203,484.00	90,308.00
Operating Income	\$ 5,612,626	\$ 11,094,95	\$ 3,781,334	\$ 6,650,288	\$ 10,725,648	\$ 8,970,642	\$ 14,468,235	\$ 10,605,631	\$ 7,335,286 \$	4,627,936	\$ 4,545,604	\$ 3,982,045
Library Circulation Rate per Capita	6.68	n/a	11.89	20.97	19.14	12.8	9 19.25	3.89	16.94	3.06	4.96	7.68
Number of libraries and branches	8.00	11.0	0 1.00	4.00	4.00	3.0	5.00	15.00	1.00	4.00	5.00	2.00
Operating Budget	\$ 5,612,451	\$ 11,217,82	3,770,529	\$ 6,650,288	\$ 10,725,648	\$ 9,304,749	\$ 14,173,610	\$ 10,446,998	\$ 7,050,047 \$	4,349,481	\$ 4,535,037	\$ 3,887,871
Expenditures Per Capita	\$24.49	\$52.8	\$55.36	\$76.67	\$115.70	\$87.12	\$131.09	\$23.38	\$50.20	\$23.76	\$22.29	\$43.05
Public Works												
Pavement Condition Index	68	3	80 8	76	82	2 8	2 60	74	75	84	73	
Lane miles maintained (center lane/lane miles)	240	1:	6 135	228	155	83	5 453	650	284	392	1,121	

^{*}Sunnyvale is an integrated Police and Fire Department General Fund expenditure equals 55% of the General Fund

Agenda	Item	No.	
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File Code No. 610.05



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: Housing and Redevelopment Division, Community Development

SUBJECT: Human Services And Community Development Block Grant

Application Release And Funding Process

RECOMMENDATION: That Council:

A. Approve the City of Santa Barbara's Community Development Block Grant Administrative Manual and the updated City of Santa Barbara's Citizen Participation Manual:

- B. Review and provide input and direction to the Community Development and Human Services Committee on funding priorities for the Fiscal Year 2013 Human Services and Community Development Block Grant allocation process;
- C. Authorize staff to release the Fiscal Year 2013 funding application along with the committee application review process, criteria and schedule;
- D. Establish a funding commitment from the Fiscal Year 2013 General Fund in the amount of \$703,256 for the Human Services Program; and
- E. Authorize the allocation of up to \$75,000 from Fiscal Year 2013 Human Services Program funds to pay the City's share of staffing costs associated with a regional homeless collaborative.

EXECUTIVE SUMMARY:

Annually, Council confirms funding priorities, approves criteria, schedules, and determines funds availability prior to applications being made available for Community Development Block Grant (CDBG) and Human Services funds. Upon Council's approval, applications for Fiscal Year 2013 grants will be released on October 27, 2011.

DISCUSSION:

Administration and Citizen Participation Manuals

Each CDBG entitlement jurisdiction is required to adopt plans that set forth the jurisdiction's policies and procedures for administration and citizen participation. The City has always complied with federal regulations concerning the allocation, management, and reporting for its use of CDBG funds. With the approval of the Administrative Manual, the City will satisfy the federal requirement for a concise written policy and procedures manual that provides guidance to Community Development staff. The City's existing Citizen Participation Manual complied with the Housing and

Community Development Act of 1974, but required updating according to the HUD Office of Community Planning and Development (CPD). This manual provides guidance on techniques that foster citizen involvement in the process of developing and implementing comprehensive strategies, addressing needs, and assessing performance of entitlement jurisdictions.

It should be noted that, in addition to these program manuals, Community Development has for many years had a comprehensive Sub-grantee manual that provides detailed guidance to all CDBG and Human Services grantees. The manual is updated as required by federal regulations or Council direction.

These manuals are available to the public upon request, in the Council Reading File, the Community Development office and online.

CDBG Funding Priorities

Since 1974, when Congress established the Community Development Block Grant (CDBG) Program of the U.S. Department of Housing and Urban Development (HUD), the City of Santa Barbara has been a participating jurisdiction.

Under the Housing and Community Development Act of 1974, each CDBG-funded activity must meet one of three statutory objectives: 1) primarily benefit low and moderate-income persons; 2) eliminate or prevent slums or blight; or 3) meet other urgent local community development needs. HUD, through the CPD, seeks to develop viable communities by promoting integrated approaches that provide for 1) decent housing; 2) a suitable living environment; and 3) expanded economic opportunities for low-moderate-income persons.

While a small portion of CDBG funds may be expended on "Public/Human Service" activities, CDBG is primarily a "bricks and mortar" program, with the largest portion of the funds used for "Capital" projects.

The City Council previously adopted the following priorities for CDBG Capital project proposals:

- Proposals which facilitate housing for low and moderate-income persons;
- Proposals which revitalize downtown neighborhoods (Census Tracts 8.01, 8.02, 9, 10, 11.02 and 12.04);
- Proposals which strengthen or expand public or social service agencies that facilitate low and moderate-income housing; and
- Economic development proposals, which leverage financial resources to create or retain jobs for low and moderate-income persons.

Staff recommends that no changes be made to the CDBG Capital priorities because of the great flexibility allowed within which the varied needs and circumstances of programs and projects can be achieved.

Public/Human Services Funding Priorities

The CDBG program allows a maximum of 15% of CDBG funds to be used for Public/Human Service activities, which are combined with Human Services Funds provided from the City's general fund.

The City of Santa Barbara has contracted with local agencies to provide essential social services for many years. Until 1987, the Federal government provided funds for this purpose through the Federal Revenue Sharing Program. When that federal program was eliminated, the City Council committed to continue funding human service programs through the City's General Fund. Over the years, thousands of City residents have received assistance from agencies supported through City Human Services funds. In the current fiscal year, the City's Human Services allocation equaled \$703,256 which, when added to the CDBG 15% Public Service amount, totaled \$848,784 to fund fifty-four programs in the Public/Human Services category.

(Attachment 1: Fiscal Year 2012 CDBG/Human Service Funding Overview)

Council previously adopted two Public/Human Services priorities:

1st Priority - Proposals which help meet basic human needs, and programs that directly relate to City-initiated collaborative efforts, such as the South Coast Task Force on Youth Gangs and the Strategies to Address Community Issues Related to Homelessness; and

2nd Priority - Proposals that are preventative in nature and/or promote the highest degree of functioning that an individual is capable of achieving.

At the required public hearing regarding Housing and Community Development needs held September 27, 2011, the CDHSC reviewed Council's goals and priorities, and the federally mandated Consolidated Annual Performance and Evaluation Report (CAPER). This report details the City's use of CDBG funds during Fiscal Year 2011..

During the meeting, the Committee voted to recommend to Council that the Public/Human Services funding priorities remain unchanged this year. However, based upon suggestions brought forth by Council at the public hearing held March 22, 2011, the CDHSC voted to revise the application.

With the revisions, the CDHSC will be better able to determine:

- 1) The applicant's methodology (including demographics, statistics, and reports) used to describe the problem(s), need(s), issue(s) or service gap(s) that support the demand and need for their services.
- The methods employed by the applicant to monitor, analyze and quantify results, measure impact, and report outcomes as they relate specifically to their mission and goals; and

3) How the data obtained is utilized by the applicant for evaluation, analysis and possible changes or enhancements to the applicant's activities.

Application Criteria, Schedule and Process

Staff is not recommending any changes to the application criteria (Attachment 2). The schedule is similar to last year's (Attachment 3). Upon Council's authorization, a master funding application will be available October 27, 2011, which allows applicants approximately two additional weeks to prepare and submit their applications. The application period will end December 12, 2011 with interviews being held throughout the month of February 2012. Funding recommendations are tentatively scheduled to come before Council on March 27, 2012.

For the first time, this year's applicants will complete the entire application on-line using a user-friendly, web-based grant management program called "ZoomGrants". This web-based submission will make the grant submission process more streamlined, save applicants' and staff time, and eliminate redundancies arising from the previous paper-based process. In past years, City staff met with each applicant to review their application for completeness including missing attachments, typographical errors, calculation inconsistencies and other errors. By utilizing ZoomGrants, such errors are virtually eliminated as the program has spell check and calculation features, and requires that certain information fields be completed and required attachments uploaded before the application can be submitted and "accepted". City staff will have immediate online access to the applications and will review them upon submission and provide feedback to applicants prior to the due date. In addition to these benefits, ZoomGrants provides technical support and assistance for both the City and all applicants.

The mandatory application orientation/technical assistance workshop is scheduled for November 4, 2011. At the workshop, staff will explain in detail the application process, funding criteria, priorities, and answer any questions. A representative from ZoomGrants will present the online program. City TV is filming the entire workshop for viewing on the Community Development Programs web page. Exceptional support is provided to applicants given the longer application period, real-time staff, technical assistance, and a new "Frequently Asked Questions" section on the website.

The CDHSC will also have additional time to review applications and analyze the merits of each of the different competing proposals prior to the applicant interviews. They will continue to make their funding recommendations to Council in accordance with both CDBG and City Council objectives.

To encourage maximum participation in the application process and attendance at the orientation/workshop, an announcement will be mailed and e-mailed to all Human Services and Community Development Block Grant agencies that have expressed an interest in applying or have applied for funding in the past two years. In addition, a notice announcing the availability of applications will appear in the <u>Daily Sound</u> and the

<u>Santa Barbara News Press</u>; a press release will be disseminated to the local media; and an announcement will be posted on the City's website.

Based on the successful implementation of the grant process over the past years, it is important that distinct, established application criteria and funding priorities be available for use by applicants, staff and the CDHSC. Council now has the opportunity to provide additional direction to the CDHSC and/or to change the priorities for the upcoming grant year.

BUDGET/FINANCIAL INFORMATION:

Fiscal Year 2013 CDBG Funding

The CDBG program allocated \$970,185 for Fiscal Year 2012, which was a 16.62% decrease from the previous year's allocation. At this time, Staff cannot predict the amount of new entitlement funds the City will be awarded for Fiscal Year 2013.

Fiscal Year 2013 Human Services Funding and Allocation

Council has expressed a desire to increase Human Services funds by 3 percent each year to account for inflation. However, with recent economic challenges, Council has approved level funding each year since Fiscal Year 2009. Staff is recommending level funding of \$703,256 for Fiscal Year 2013. The funding commitment approved by Council today will be included in the City's Fiscal Year 2013 budget.

On September 13, 2011, Council approved, in concept, the creation of a regional homeless collaborative. In anticipation of the collaboration, and with the expectation that other public government bodies will also contribute their fair share, Council approved setting aside Fiscal Year 2013 Human Services funding to pay the City's share of staffing costs. Staff recommends that an amount not to exceed \$75,000 be allocated from Fiscal Year 2013 Human Services funding for this purpose.

ATTACHMENTS: 1. CDBG/HS Funding for Fiscal Year 2012 by Priority, Rating and Category

2. CDHSC Combined Funding Application Criteria, Review and Interview Process

3. Proposed Fiscal Year 2013 Application Schedule

PREPARED BY: Brian Bosse, Housing and Redevelopment Manger/der

SUBMITTED BY: Paul Casey, Community Development Director

APPROVED BY: City Administrator's Office

		ls:	. دو		201	11-2012 FUNDING	
AGENCY	PROGRAM	Priority	Service	Avg Rating	CDBG	HUMAN SERVICES	TOTAL
PUBLIC / HUMAN							
1. Casa Esperanza Homeless Center	Homeless Day Program	1st	Homeless	24.0	\$52,250		\$52,250
2. Casa Esperanza (Fiscal Umbrella)	BOCH	1st	Homeless	23.7		\$14,250	\$14,250
3. Casa Esperanza Homeless Center	Community Kitchen	1st	Food	23.7		\$48,156	\$48,156
4. Aids Housing Santa Barbara	Sarah House	1st	Homeless	23.3		\$24,250	\$24,250
5. Foodbank	SB Warehouse	1st	Food	22.9		\$23,250	\$23,250
6. Channel Islands YMCA	Noah's Anchorage		Homeless	22.9	\$21,000		\$21,000
7. Pacific Pride Foundation	Necessities of Life	1st	Food	22.8		\$22,000	\$22,000
8. S.B. Rape Crisis Center	Same		Emergency	22.8		\$24,000	\$24,000
9. S.B. Neighborhood Clinics	Dental Care for Homeless		Homeless	22.7	Ф00.000	\$25,000	\$25,000
10. Transition House	Comp. Homeless Services		Homeless	22.4	\$39,000	# 44.000	\$39,000
11. S.B. Community Housing Corp.	New Faulding Coordinator		Housing	22.2	#00.070	\$14,000	\$14,000
12. Domestic Violence Solutions	Emergency Shelter		Housing	22.0	\$33,278	\$10,722	\$44,000
13. Domestic Violence Solutions	Second Stage		Housing	21.9		\$6,750	\$6,750
14. S.B. Community Housing Corp.	Riviera Dual Diagnosis Prog.		Housing	21.9		\$19,000	\$19,000 \$14,750
15. New Beginnings Counseling Center			Homeless	21.7		\$14,750	\$14,750 \$24,750
16. WillBridge	WillBridge		Housing	21.7		\$21,750	\$21,750 \$21,000
17. Legal Aid Foundation	Emergency Legal Services		Homeless	21.5		\$21,000	' '
18. Foodbank 19. CADA	Brown Bag	1st		21.1		\$7,750 \$17,000	\$7,750 \$17,000
	Project Recovery Detox		Homeless	21.0			\$17,000
21. Unity Shoppe, Inc	Central Distribution Facility	1st	Food	20.5		\$22,000	\$22,000
20. St. Vincent's	PATHS		Housing	20.5		\$8,750	\$8,750
22. People's Self Help Housing	Supportive Housing Program		Housing	20.1		\$7,000	\$7,000
23. Community Action Commission	Senior Nutrition	1st	Food	19.8		\$11,000	\$11,000
24. Catholic Charities	Emergency Services	1st	Food	19.7		\$11,000	\$11,000
25. Unitarian Society (Fiscal Umbrella)	Freedom Warming Centers Transitional- Youth	1st	Homeless	19.0		\$6,000	\$6,000
26. Channel Islands YMCA	Housing	1st	Housing	18.4		\$16,000	\$16,000
27. Casa Serena	Scholarship Program	1st	Housing	18.1		\$8,000	\$8,000
28. Community Action Commission	So. Coast Taskforce on Gangs	s 1st	Gangs	17.3		\$15,000	\$15,000
29. Food From The Heart	Food From The Heart	1st	Food	17.2		\$3,500	\$3,500
30. Family Service Agency	Big Brothers/Big Sisters	2nd	Child	23.4		\$7,500	\$7,500
31. S.B. Co. DA - Victim Witness Assista	ı S.A.R.T.	2nd	Other	23.3		\$7,500	\$7,500
32. Friendship Center	Adult Day Services	2nd	Senior	22.9		\$20,000	\$20,000
33. CALM	Biling. Child Abuse Treatmen	t 2nd	Child	22.5		\$19,000	\$19,000
34. Transition House	Homelessness Prevention	2nd	Other	22.5		\$7,500	\$7,500
35. City At Peace	City At Peace	2nd	Youth	22.1		\$7,500	\$7,500
36. Planned Parenthood	Peer Advocates/ Education	2nd	Youth	22.0		\$7,500	\$7,500
37. S.B. Police Activities League	PAL Jr. High After School	2nd	Youth	22.0		\$16,000	\$16,000
38. Family Service Agency	2-1-1/HelpLine	2nd	Other	21.8		\$19,000	\$19,000
39. Long Term Care Ombudsman	Ombudsman Services	2nd	Senior	21.7		\$21,000	\$21,000
40. Family Service Agency	Caregiver Mental Health	2nd	Other	21.5		\$2,000	\$2,000
41. Storyteller Children's Center	Same	2nd	Child	21.5		\$22,000	\$22,000
42. Rental Housing Mediation TaskFord		2nd		21.4		\$22,000	\$22,000
43. Family Service Agency	Family Resource Centers	2nd		21.2		\$9,000	\$9,000
44. United Boys & Girls Club (Westside	. 3	2nd		21.1		\$13,500	\$13,500
45. Future Leaders of America	Equality in Education	2nd		21.1		\$8,000	\$8,000
46. Mental Health Association in S.B.	Fellowship Club	2nd		20.9		\$9,000	\$9,000
47. Independent Living Resource Ctr.	Independent Living Services	2nd		20.4		\$18,000	\$18,000
48. AHA! Academy of Healing Arts	AHA! Academy of Healing Ar			20.0		\$9,500	\$9,500
49. Boys & Girls Club of SB	Power Hr Homework Club	2nd		20.0		\$7,000	\$7,000
50. S.B. Arts Alliance City of SB - P & R	SB Arts Alliance	2nd		19.6		\$7,000	\$7,000
51. Jewish Federation of Greater S.B.	Portraits of Survival	2nd		18.7		\$5,000	\$5,000
52. CADA	CORE	2nd		18.6		\$5,000	\$5,000
53. Job Apprenticeship City of SB - P &54. People's Self Help Housing	Job Apprenticeship Program Gang Prevention through	2nd 2nd		18.1 17.4		\$9,000 \$2,878	\$9,000 \$2,878
5 reopies sell ricip riousing	Gang Frevention through	ZIIU			\$145,528	\$703,256	
				TOTAL	\$145,528	\$703,256	\$848,784

2011-2012 FUNDING OVERVIEW

	CAPIT	AL					
1.	SB Neighborhood Clinics	Westside Clinic Flooring	N/A	23.1	\$34,833	\mathbb{N}	\$34,833
2.	CADA	Project Recovery Capital	N/A	23.0	\$5,375	\bigvee	\$5,375
3.	City of S.B. – NITF	Access Ramps	N/A	22.4	\$50,000	\bigvee	\$50,000
4.	Girls Inc. of Santa Barbara	Kitchen remodel	N/A	22.1	\$55,000	\bigvee	\$55,000
5.	City of S.B. – NITF	Sidewalk Infill	N/A	22.1	\$155,086	\bigvee	\$155,086
6.	Casa Esperanza Homeless Center	Bathroom and Floor Replace	N/A	22.0	\$90,000	><	\$90,000
7.	Women's Economic Ventures	Microenterprise Development	N/A	21.9	\$25,000	M	\$25,000
8.	Jewish Federation	Community Ctr. Rehab	N/A	21.3	\$110,000	\bigvee	\$110,000
9.	City of S.B. – NITF	Ortega Park Lighting	N/A	20.1	\$60,000	\bigvee	\$60,000
10.	City of S.B. – NITF	Westside Ctr. Cameras	N/A	19.9	\$47,000	\bigvee	\$47,000
11.	City of S.B. – NITF	Bus Shelters	N/A	19.7	\$48,369	\searrow	\$48,369
				TOTAL	\$680,663		\$680,663
	Administration				\$194,615		\$194,615
	RHMTF				\$39,179		\$39,179
	Fair Housing				\$10,243		\$10,243
				TOTAL	\$244,037		\$244,037

				GRAND	TOTAL	
			CDBG	HUMAN	SERVICES	TOTAL
CDBG Entitlement Amount	\$ 970,185	\$	1,070,228	\$	703,256	\$ 1,773,484
CDBG Reprogrammed Amount	\$ 100,043					
	\$ 1,070,228					

TOTAL PUBLIC/HUMAN SERVICES BY CATEGORY							
ی.			20	11-2	012 FUNDING		
Service					HUMAN		
So			CDBG		SERVICES		TOTAL
Emergen	су			\$	24,000	\$	24,000
Food				\$	148,656	\$	148,656
Gangs				\$	15,000		
Homeles	s	\$	112,250	\$	122,250	\$	234,500
Housing		\$	33,278	\$	111,972	\$	145,250
Child				\$	48,500	\$	48,500
Youth				\$	97,878	\$	97,878
Other &	Senior			\$	135,000	\$	135,000
Total		\$	145,528	\$	703,256	\$	848,784

COMMUNITY DEVELOPMENT HUMAN SERVICES COMMITTEE CDBG/HS COMBINED FUNDING APPLICATION CRITERIA

- Programs should primarily benefit low and moderate-income residents.
- Programs must address specific social or physical needs and conditions of the people they propose to serve. Documentation could include social indicators, demographic data, surveys, community plans and need as perceived by potential consumers.
- Programs must present a marketing strategy, which includes specific efforts to reach ethnic communities.
- Programs must demonstrate support from the people for which the program is proposed.
- Agencies must clearly identify all funding sources and justify proposal if services are available through another source.
- Agencies shall seek funding, or demonstrate funding support from other public/private sources. The City shall not be committed to total support of a program nor shall the City be committed to continue funding in the case where other support is withdrawn.
- City funds should support only those services that directly benefit residents of the City of Santa Barbara. Programs operated on a county or regional basis must show documentation that (a) services benefit City residents, and (b) sufficient funds are available to support non-city residents.
- Administrative costs shall be held to a minimum and will be scrutinized during the program review process.
- Programs shall identify geographical areas where they propose to provide services.
- Programs that pay the local minimum wage (as described in Chapter 9.128 of the City of Santa Barbara Municipal Code) to all staff for which CDBG/Human Services funds are requested shall receive an extra point in the rating process.

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COMMUNITY DEVELOPMENT AND HUMAN SERVICES COMMITTEE REVIEW AND INTERVIEW

A thirteen-member committee appointed by the City Council will review applications, interview agencies and make funding recommendations to the City Council. The City Council makes final decisions on funding. The Community Development and Human Services Committee include representatives of the following groups:

- Youth-Oriented Services
- Business/Economic Development Community
- Human Services
- Latino/Hispanic Community
- African American Community
- Senior Citizens
- Housing Interests
- Four (4) Low Income Neighborhoods: Eastside, Westside, Downtown, and Lower Westside
- Disabled Community
- Housing Authority Representative

At the scheduled interview, agencies will be allowed a 4-minute presentation, with 10 minutes for questions from the Committee, and an agency response/final statement. Agencies are requested to have a member of the Board of Directors and appropriate staff present at the interview.

In reviewing applications, the following criteria are used by the CDHS Committee:

- Eligibility
- Priority
- Need for service
- Quality of the program and its administration
- Cost effectiveness of the service to be provided
- Fiscal management
- Ability to implement the project and expend funds within the fiscal year. HUD may requisition unused or inappropriately used funds
- Completeness of proposal

To ensure that verbal presentations made by agency representatives are accurate and reasonable, applicants are advised that information provided to the Committee plays an important factor in formulation of specific funding recommendations; furthermore, statements made by an applicant upon which the Committee relies in making a funding recommendation shall become binding and included as part of any contract which may be executed. Agencies should come prepared to justify their proposal within the priorities outlined previously.

Applicants are further advised that the Committee may request additional information on any part of the proposal after the scheduled interview. Applicants will be required to respond in writing within 5 days of such request.

City of Santa Barbara Community Development Block Grant and Human Services APPLICATION SCHEDULE AND PROCESS Fiscal Year 2012-2013

Application Available On LineThursday, October 27, 2011
Mandatory - Application Orientation and Friday, November 4, 2011 Technical Assistance Workshop David Gebhard Public Meeting Room Community Development Building 630 Garden Street Application Orientation and Friday, November 4, 2011 2:30 – 4:30 p.m. (All Applicants)
Applications Due MIDNIGHT DECEMBER 12, 2011
LATE APPLICATIONS CANNOT BE SUBMITTED OR ACCEPTED – WEBSITE IS LOCKED
Staff Reviews Applications
Applications Reviewed by CDHSC January 9 - February 28, 2012
Applications Available to CDHSC
Applicant Interviews February 2, 7, 9, 14, 16, 2012 (4:00 - 9:00pm)
CDHSC Meeting to Rank Applications
CDHSC Subcommittee Meeting to
CDHSC Meeting on Recommendations
Funding Recommendations Available*Wednesday, February 29, 2012 to City Council and Public (Tentative)
City Council Public Hearing*Tuesday, March 27, 2012 on Committee Recommendations (6:00 P.M., Council Chambers) (Tentative)
City Council Action*Tuesday, March 27, 2012 (Tentative)
Environmental Reviews*April, 2012
Application to HUDMay, 2012 Execution of Contracts*June, 2012
Funds Available*July 1, 2012
Contract PeriodJuly 1, 2012 - June 30, 2013

^{*}Tentative Dates

Agenda Item No._

 $\mathsf{File}\ \mathsf{Code}\ \mathsf{No.}\ \ 330.03$



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Closed Session Regarding Real Property

RECOMMENDATION:

That Council hold a closed session, pursuant to Section 54956.8 of the Government Code to consider real property negotiations between the staff of the City Administrator's office for the City of Santa Barbara and the staff of the Santa Barbara County Executive Officer concerning a possible lease of parking spaces to the County. The subject real property is known as:

Granada Parking Garage 1221 Anacapa Street Santa Barbara, CA 93101 APNs 039-183-046 and -054

Scheduling:

Duration: 30 minutes

Time: Anytime

Report: None anticipated

PREPARED BY: Paul A. Casey, Assistant City Administrator

SUBMITTED BY: James L. Armstrong, City Administrator

APPROVED BY: City Administrator's Office

Agenda Item No._

 $\mathsf{File}\ \mathsf{Code}\ \mathsf{No.}\ \ 440.05$



CITY OF SANTA BARBARA

COUNCIL AGENDA REPORT

AGENDA DATE: October 25, 2011

TO: Mayor and Councilmembers

FROM: City Administrator's Office

SUBJECT: Conference With Labor Negotiator

RECOMMENDATION:

That Council hold a closed session, per Government Code Section 54957.6, to consider instructions to City negotiator Kristy Schmidt, Employee Relations Manager, regarding negotiations with the Hourly Employees' bargaining unit.

SCHEDULING: Duration, 15 minutes; anytime

REPORT: None anticipated

PREPARED BY: Kristy Schmidt, Employee Relations Manager

SUBMITTED BY: Marcelo López, Assistant City Administrator

APPROVED BY: City Administrator's Office